Generalized parton distributions: an especially tricky inverse problem

Adam, Freese Thomas Jefferson National Accelerator Facility July 12, 2024 An especially tricky inverse problem 🎍

- The goal: extract generalized parton distributions (GPDs) from deeply virtual Compton scattering (DVCS) events.
- ▶ The usual caveats of inverse problems apply:
 - ► Finite data, continuous functions.
 - Epistemic uncertainty (interpolation & extrapolation error).
- ► The issue is worse for GPDs—the formal inverse *almost* doesn't exist.
 - ► I'll explain this over the next few slides.
- ► Caveats:
 - This is all a work in progress.
 - I'm just one member of the team—I'll point you to others' slides where they can explain things better.
 - I'm not an expert in inverse problems or uncertainty quantification; if I'm saying or doing anything foolish, feel free to correct me—I'll benefit from being led on the right path!

Generalized parton distributions

Generalized parton distributions



- **Generalized parton distributions** are 4-variable functions.
- Probed in processes such as deeply virtual Compton scattering (DVCS).
- Exciting because they encode **spatial distributions** of quarks and gluons.

The GPD variables





n defines the reference frame

- x is *average* momentum fraction of struck parton.
- 2ξ is the **skewness**: momentum fraction lost by struck parton.
- t is the invariant momentum transfer.
- ► GPDs also depend on **resolution scale** Q^2 .

DVCS and GPDs



- Loop in diagram: x is integrated out
- ► Integrated quantities seen in experiment: Compton form factors

$$\mathcal{H}(\xi,t;Q^2) = \int_{-1}^{1} \mathrm{d}x \, C(x,\xi) H(x,\xi,t;Q^2) \stackrel{\text{LO}}{=} \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} \mp \frac{1}{\xi + x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2) \int_{-1}^{1} \mathrm{d}x \, \left[\frac{1}{\xi - x - \mathrm{i}0} + \frac{1}{\xi - x - \mathrm{i}0}\right] H(x,\xi,t;Q^2)$$

Shadow GPDs

Need to invert the relationship:

$$\mathcal{H}(\xi, t; Q^2) = \int_{-1}^{1} \mathrm{d}x \, C(x, \xi) H(x, \xi, t; Q^2)$$

- For *fixed* Q^2 , the inverse doesn't exist!
- Multiple solutions encoded by shadow GPDs:

 $\int_{-1}^{1} \mathrm{d}x \, C(x,\xi) \mathfrak{h}(x,\xi,t;Q_0^2) = 0$

- $H(x,\xi,t,Q_0^2)$ and
- ► $H(x, \xi, t, Q_0^2) + \mathfrak{h}(x, \xi, t, Q_0^2)$ give the same physical amplitude.
- ▶ Bertone, et al., PRD103 (2021) 114019
- Akin to inverting a 4×3 matrix.
- But this is not the end.



Evolution equations

• GPDs obey **evolution equations** for Q^2 dependence:

$$\frac{\mathrm{d}H(x,\xi,t,Q^2)}{\mathrm{d}\log(Q^2)} = \int_{-1}^{+1} \mathrm{d}y \, K(x,y,\xi,Q^2) H(y,\xi,t,Q^2) \equiv K \otimes H$$

- **Kernel** $K(x, y, \xi, Q^2)$ known theoretically (up to NLO).
- Basically a generalization of DGLAP evolution.
- Only need 3D GPD at one scale Q_0^2 to fix 4D GPD at all Q^2 .

$$H(x,\xi,t,Q^{2}) = \left(\frac{Q^{2}}{Q_{0}^{2}}\right)^{K\otimes} H(y,\xi,t,Q_{0}^{2})$$

Please excuse the horrendous abuse of notation!

Shadow GPDs evolve into non-shadows:

$$\mathcal{H}_{ ext{shadow}}(\xi,t,Q^2) = \int_{-1}^1 \mathrm{d}x \, C(x,\xi) \left(rac{Q^2}{Q_0^2}
ight)^{K\otimes} \mathfrak{h}(y,\xi,t,Q_0^2)
eq 0$$

- Three variable function \rightarrow three variable function.
- We now have a proper inverse problem.
- The inverse exists, but how well can we find it—given finite data, with uncertainties?

The framework

Pixelation



- We **pixelate** the GPD: $H(x, \xi, t, Q^2) \rightarrow H_{ijkl}$
- Avoids biases of functional forms.
- Meshes well with finite element methods.
- Number of needed pixels furnishes a resolution. (A kind of uncertainty quantification?)
- Integrals become tensor contraction: $\int dy \, C(y) H(y,\xi,t,Q^2) \to \sum_i C_i H_{ijkl}$
 - Fast and differentiable!

Notes on pixelation

- ▶ Pixel widths & placement constitute a covert model dependence.
- Linear vs. logarithmic spacing is motivated by expected functional behavior.
- Allowing pixel widths to float being explored—talk by Daniel Adamiak (see QR code).



Interpixels

► Interpixels (interpolated pixel): interpolation basis functions.

Exploit linearity of polynomial (e.g., Newton) interpolation:

 $N[y_1 + y_2](x) = N[y_1](x) + N[y_2](x)$

► GPD pixelation is a sum of pixels:

$$\boldsymbol{H} = \begin{bmatrix} H_1 \\ H_2 \\ \vdots \\ H_n \end{bmatrix} = H_1 \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix} + H_2 \begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix} + \dots + H_n \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix} \equiv H_1 \hat{e}_1 + H_2 \hat{e}_2 + \dots + H_n \hat{e}_n$$

Interpolated pixelation is a sum of interpixels!

 $N[\mathbf{H}](x) = H_1 N[\hat{e}_1](x) + H_2 N[\hat{e}_2](x) + \ldots + H_n N[\hat{e}_n](x)$

- Basically a shoddy finite element method.
 - ▶ I just learned this Wednesday that this can be done better.
- Get convolution matrices by putting $H[\hat{e}_j](x)$ into integrals.



- ► Interpixel is a *piecewise* polynomial.
 - Of fixed order.
 - Avoids Runge phenomennon.
- Knots on the discrete x grid.
- ► Each interpixel is oscillatory.
- Oscillations cancel in sum.
- Improvement at high N_x .



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Convolutions as tensor contractions

► A pixelated GPD is a rank-4 tensor:

$$H(y,\xi_j,t_k,Q_l^2) \approx \sum_{i=1}^{N_x} H_i(\xi_j,t_k,Q_l^2)\hat{e}_i(y)$$

▶ Put inside an integral—e.g., for the Compton form factor:

$$\mathcal{H}(\xi_j, t_k, Q_l^2) = \int_{-1}^{+1} \mathrm{d}y \, C(y, \xi_j) H(y, \xi_j, t_k, Q_l^2) = \sum_{i=1}^{N_x} \underbrace{\left(\int_{-1}^{+1} \mathrm{d}y \, C(y, \xi_j) \hat{e}_i(y)\right)}_{C_i} H_i(\xi_j, t_k, Q_l^2)$$

• Getting the Compton form factor entails a loss of rank:

$$\mathcal{H}_{jkl} = \sum_{i=1}^{N_x} C_i H_{ijkl}$$

• Of course this operation isn't invertible.

Evolution as a gain of rank

► Evolution of pixelated GPDs (deferring *Q*² discretization):

$$\frac{\mathrm{d}H_{ijk}(Q^2)}{\mathrm{d}\log Q^2} = \sum_{i'=1}^{N_x} K_{ii'jk}(Q^2) H_{i'jk}(Q^2)$$

Solution:

$$H_{ijkl} = \sum_{i'=1}^{N_x} M_{ii'jkl} H_{i'jk}(Q_0^2)$$



Talk by me on GPD evolution

- Numerically implemented via RK4.
- Compton form factors in terms of model scale GPD:

$$\mathcal{H}_{jkl} = \sum_{i'=1}^{N_x} \underbrace{\left(\sum_{i=1}^{N_x} C_i M_{ii'jkl}\right)}_{\mathcal{M}_{li'}(\xi_j, t_k)} H_{i'jk}(Q_0^2)$$

- Effectively matrix multiplication ($x_{i'}$ dependence $\rightarrow Q_l^2$ dependence).
- **Inverse problem**: invert \mathcal{M} (in terms of x and Q^2 indices).

 $n_x = 40$



- "Ground truth" determined by adaptive integration of model function.
- Error represents error from both pixelation & interpolation.



Probably a source of epistemic uncertainty in extractions.

 $n_x = 100$



- "Ground truth" determined by adaptive integration of model function.
- Error represents error from both pixelation & interpolation.



Probably a source of epistemic uncertainty in extractions.

 $n_x = 300$



- "Ground truth" determined by adaptive integration of model function.
- Error represents error from both pixelation & interpolation.



Probably a source of epistemic uncertainty in extractions.

 $n_x = 1000$



- "Ground truth" determined by adaptive integration of model function.
- Error represents error from both pixelation & interpolation.
- Sub-percent error even at $n_x = 40$.

► Probably a source of epistemic uncertainty in extractions.

The extractions?

Extraction: Compton form factors





Talk by Marco Zaccheddu

- ► A "neural network" (one linear layer) fit.
- ► Compton form factors can be "extracted" quite accurately ...
 - ...with lots of arbitrarily precise data.
- Preliminary toy extraction

Extraction: generalized parton distributions





Talk by Marco Zaccheddu

- ► A "neural network" (one linear layer) fit.
- ► This inverse problem appears unsolved by evolution.
- Preliminary toy extraction
- **Remaining work**: uncertainty quantification, constructing/exploring latent space.

Credits

- Daniel Adamiak
- ► Ian Cloët
- Chris Cocuzza
- ► Adam Freese
- Nobuo Sato
- Marco Zaccheddu

Thank you for your time!