

Nuclear parton structure in heavy-ion collisions with CMS (towards the EIC)

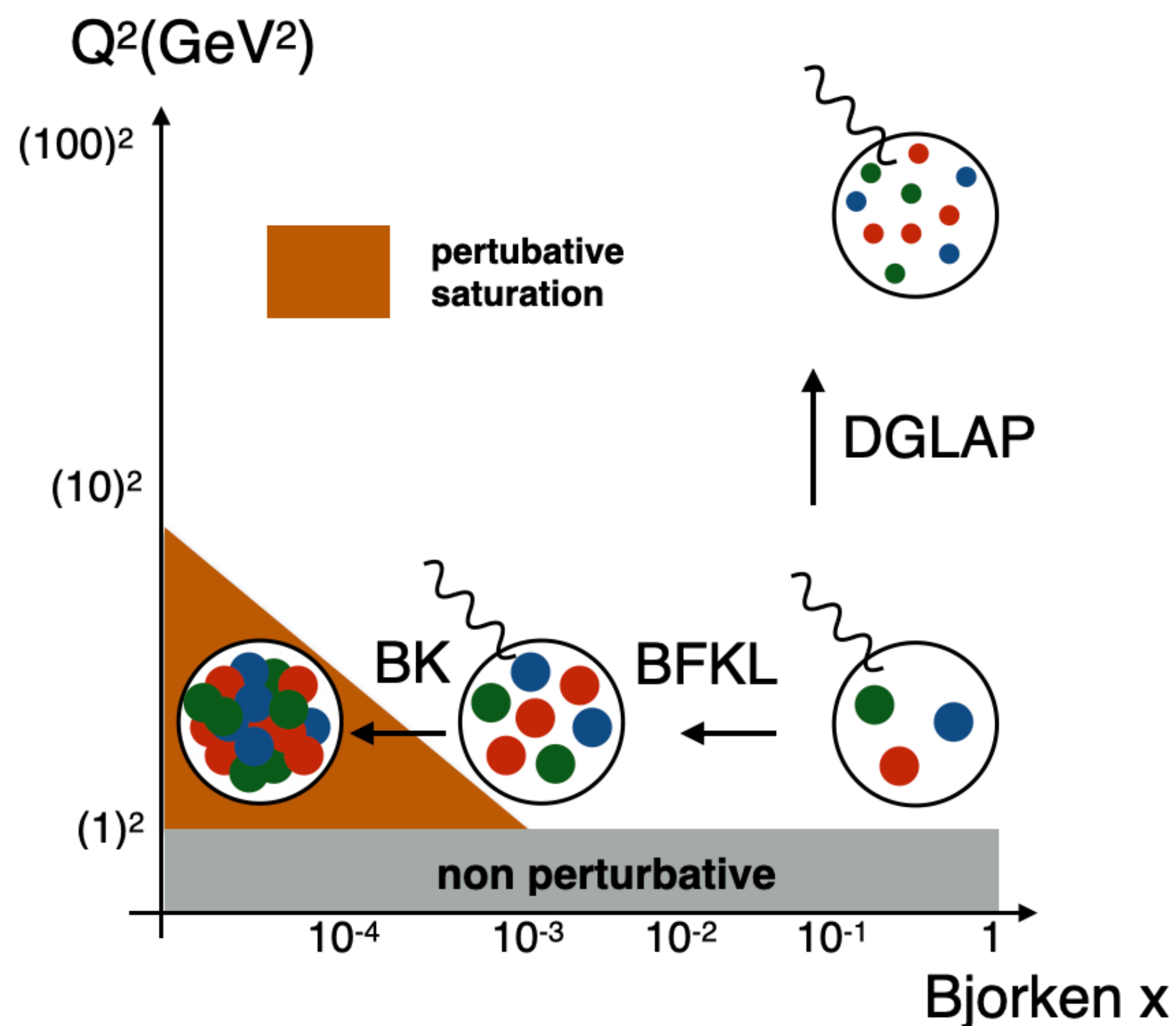
Heavy Ion Physics in the EIC Era
August 19-24, 2024, INT (Seattle)

Gian Michele Innocenti
Massachusetts Institute of Technology

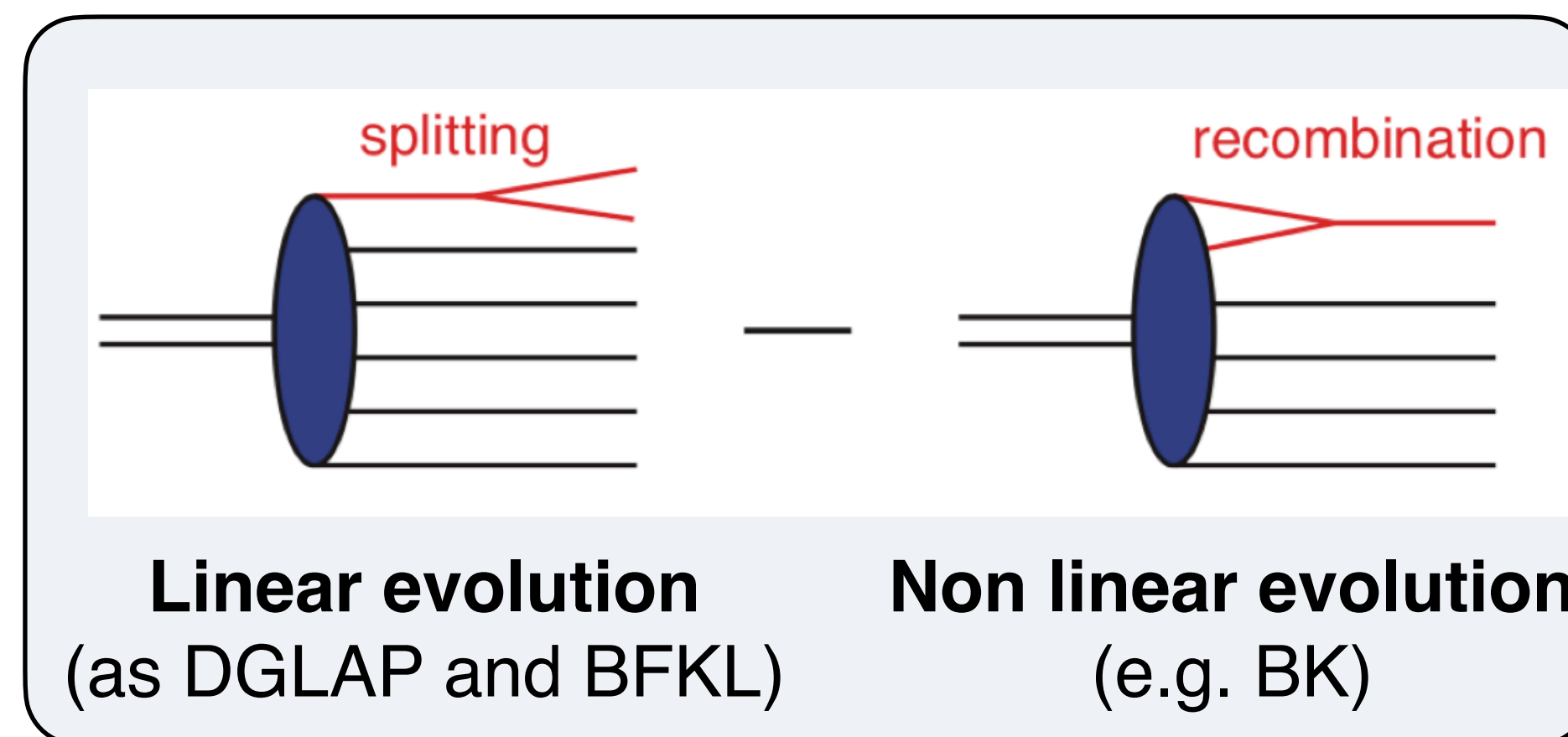
Constraining parton dynamics in nuclei in (x, Q^2)

Exploit the advantages of heavy-ion collisions to study parton dynamics in the widest region of x and Q^2

In nuclei, high-density effects are expected at higher x -values

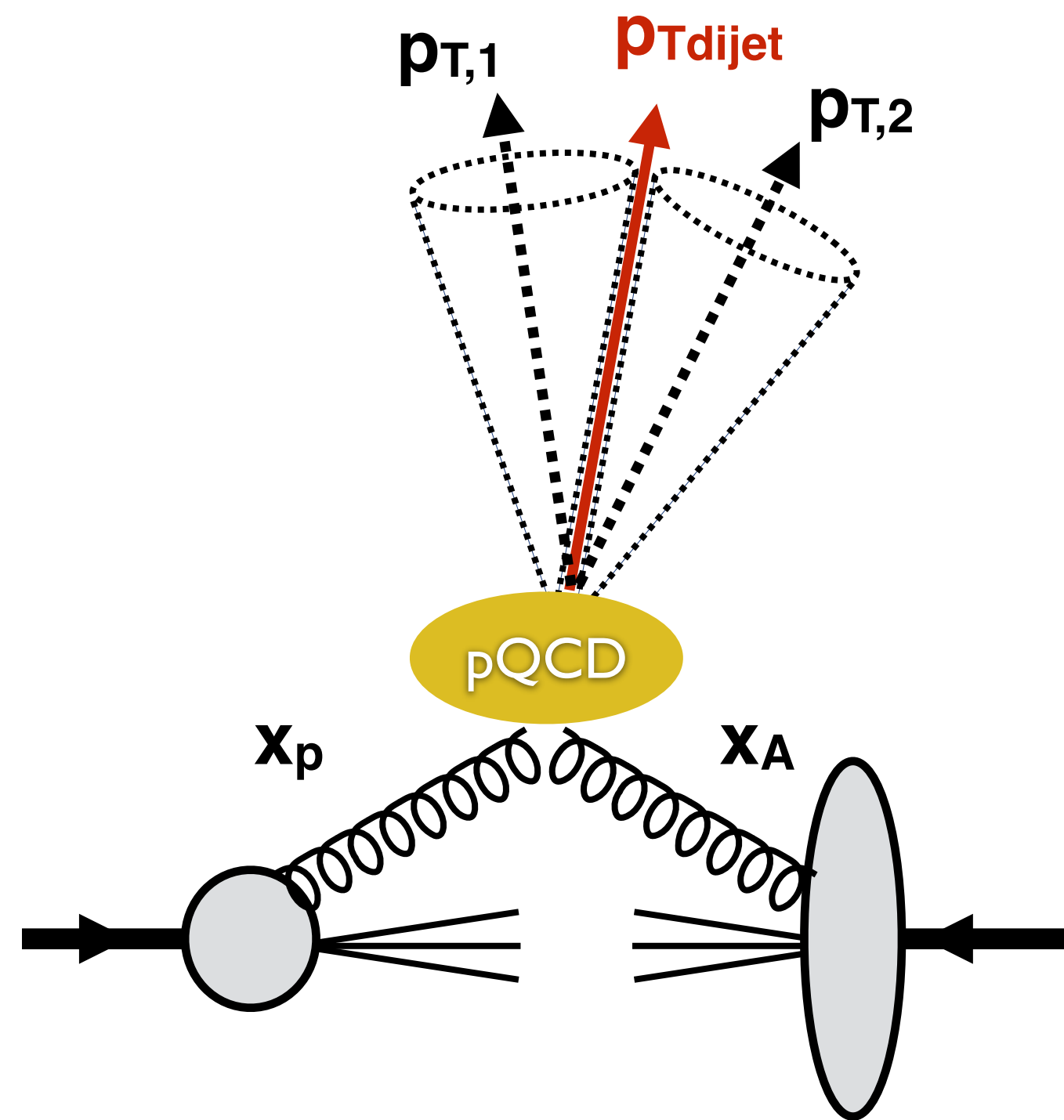


Searches for non-linear evolution equations

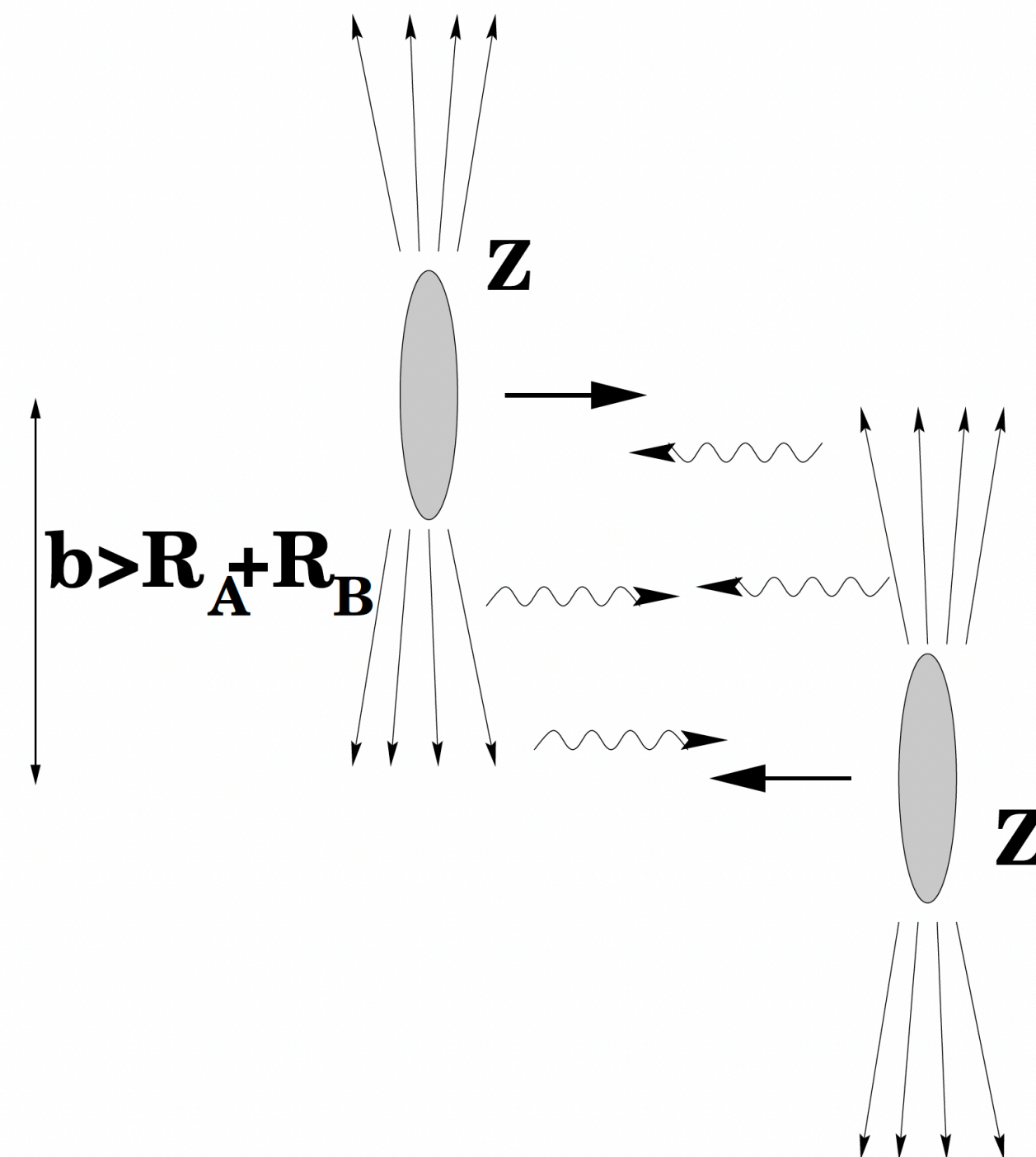


- do we see saturation?
- what is its shape in (x, Q^2) ?
- what is the dependence on A ?
- can we characterize the transition across the different regimes?

Our toolbox: hadronic and UPC collisions



proton-nucleus hadronic collisions
(e.g. pPb pr pO)



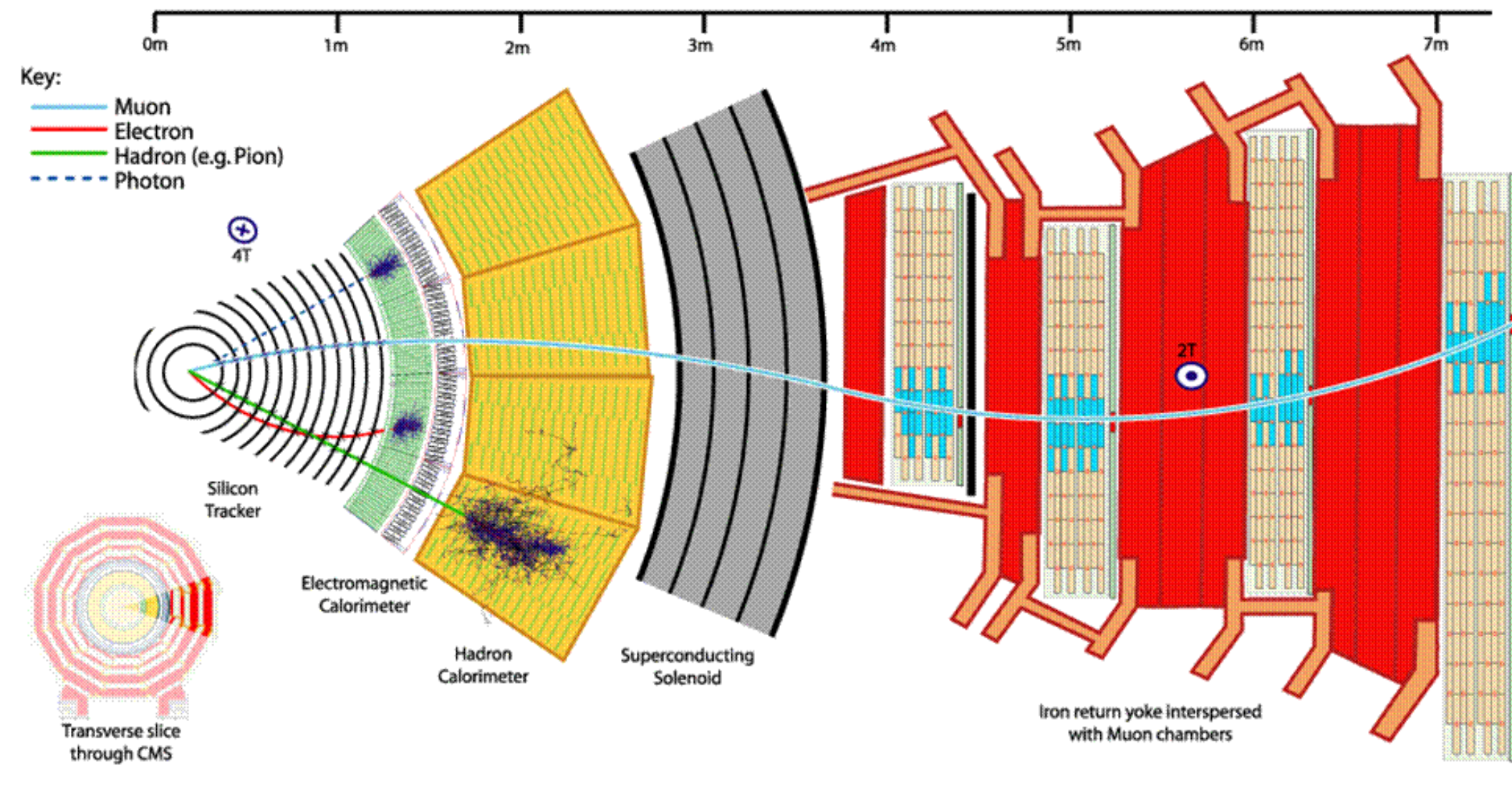
ultra-peripheral heavy-ion collisions

Wide set of experimental observables in the largest region of p_T and pseudorapidity:

- sensitivity to gluon and quark nPDFs
- large region of (x, Q^2)

$$x_{ion} \sim \frac{M_V}{\sqrt{s_{NN}}} \exp(-y_V)$$

CMS as a broad-spectrum high-density QCD experiment



Large-coverage high-rate detector for hadronic and EM probes

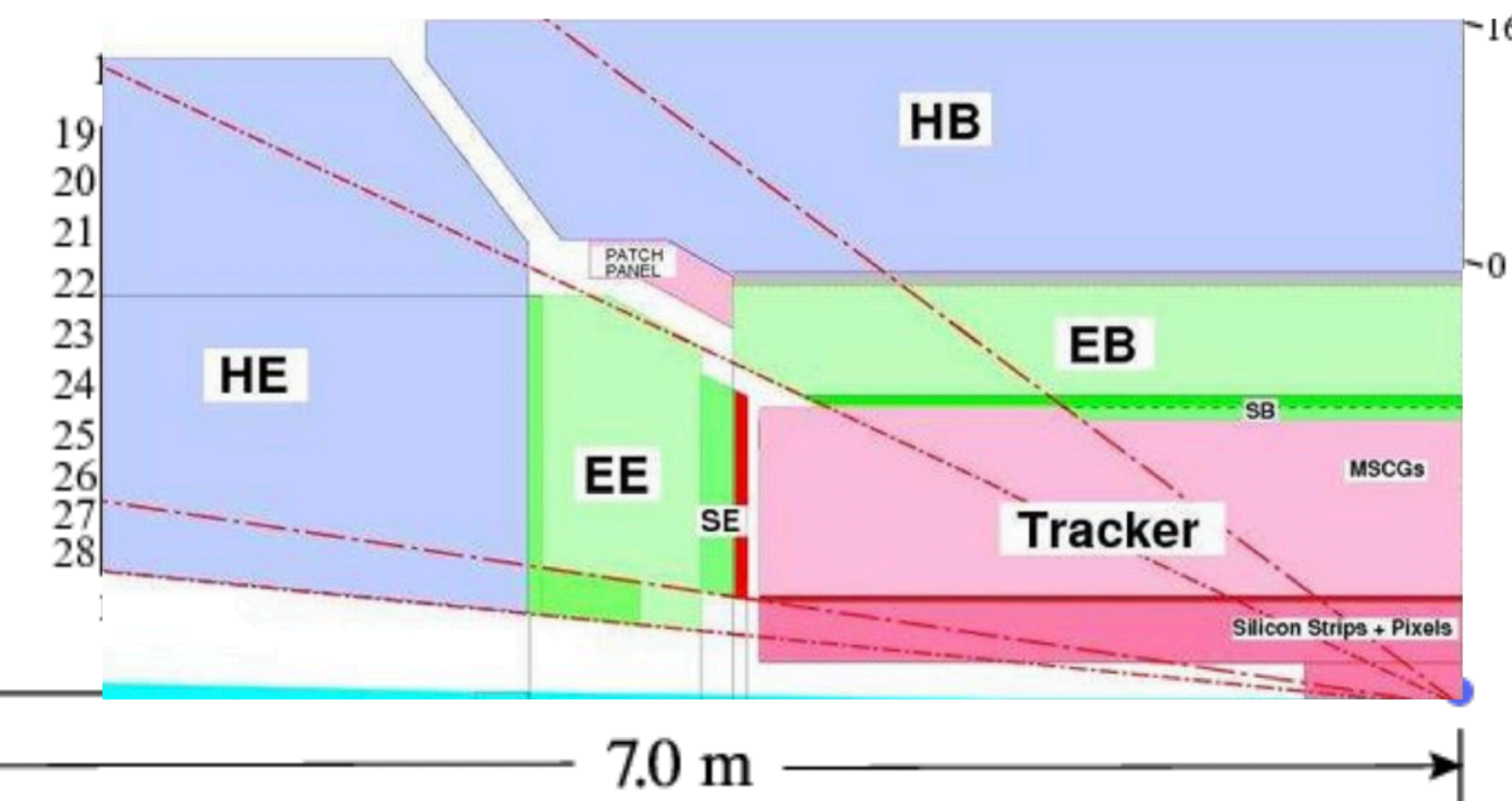
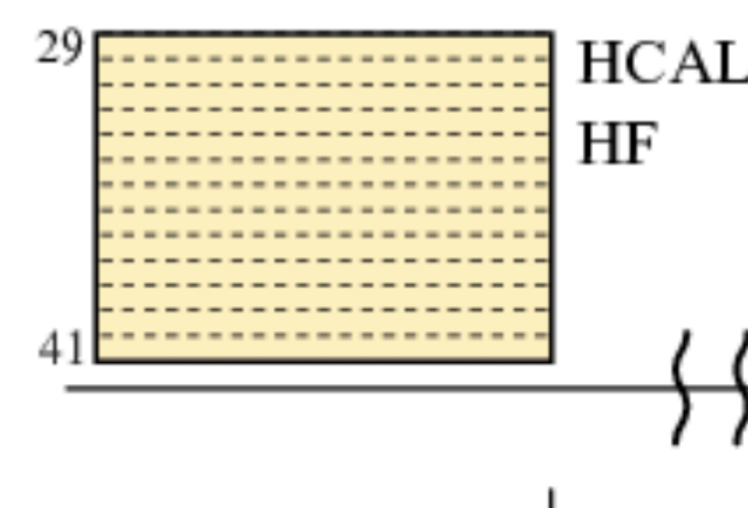
- charged hadrons
- jets, heavy-flavour hadrons
- isolated photons, Z/W bosons

Wide pseudorapidity coverage, from high to low p_T :

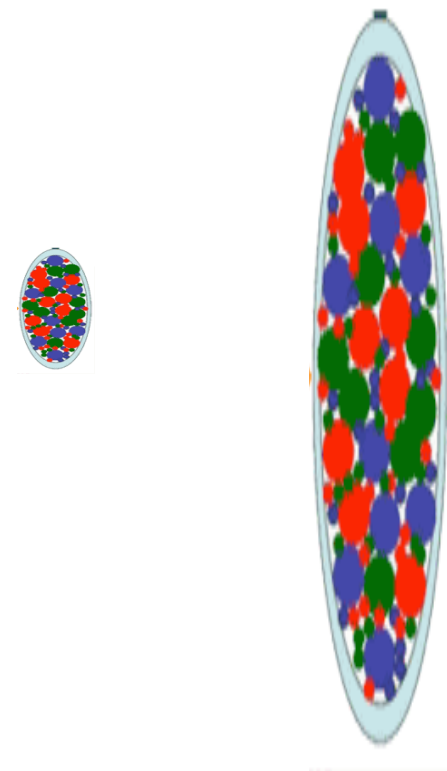
- Charged tracks in $|\eta_{\text{tracks}}| \leq 3$
- Calorimetry (ECAL/HCAL) in $|\eta_{\text{cal}}| \leq 5.2$
- Muon detectors in $|\eta_{\text{muon}}| \leq 3.0$
- ZDC + PPS detectors

→ With even stronger capabilities after HL-LHC upgrades

$$x_{ion} \sim \frac{M_V}{\sqrt{s_{NN}}} \exp(-y_V)$$

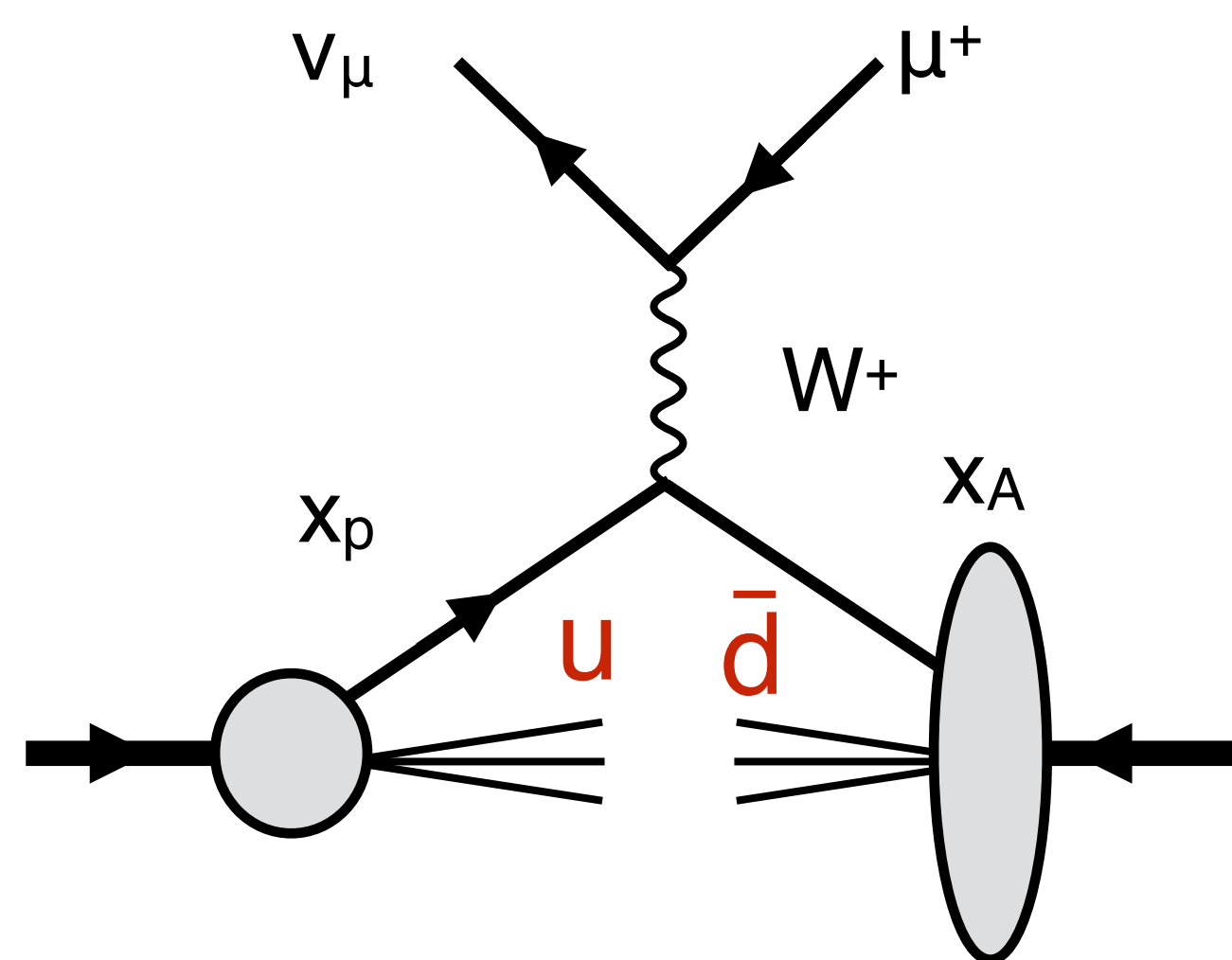


Constraining nuclear PDFs at the LHC with “hadronic” collisions

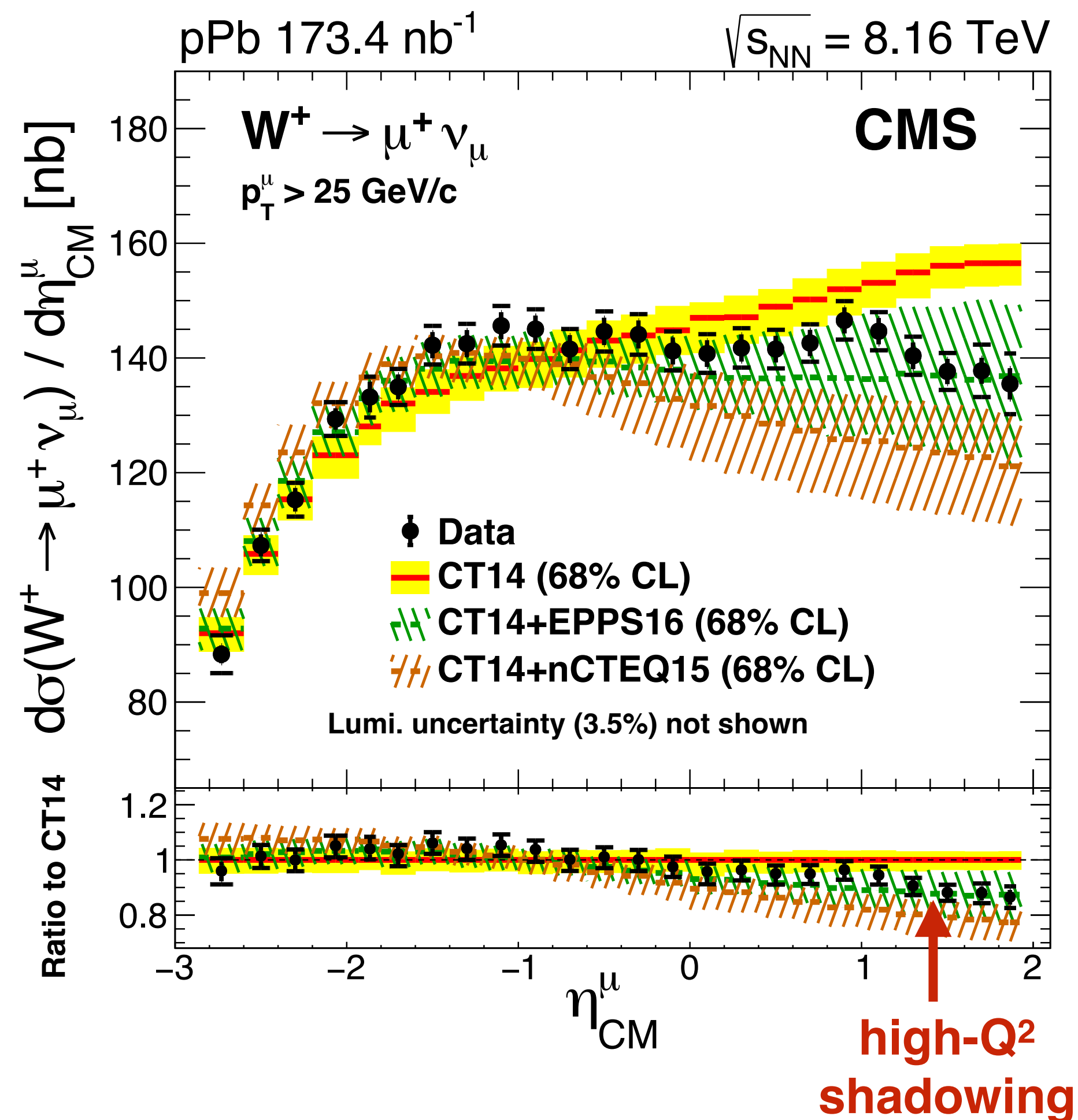


W[±] measurements in pPb with CMS

$Q^2 \sim M_W^2$, (anti)shadowing
valence/sea light quarks
mid-rapidity



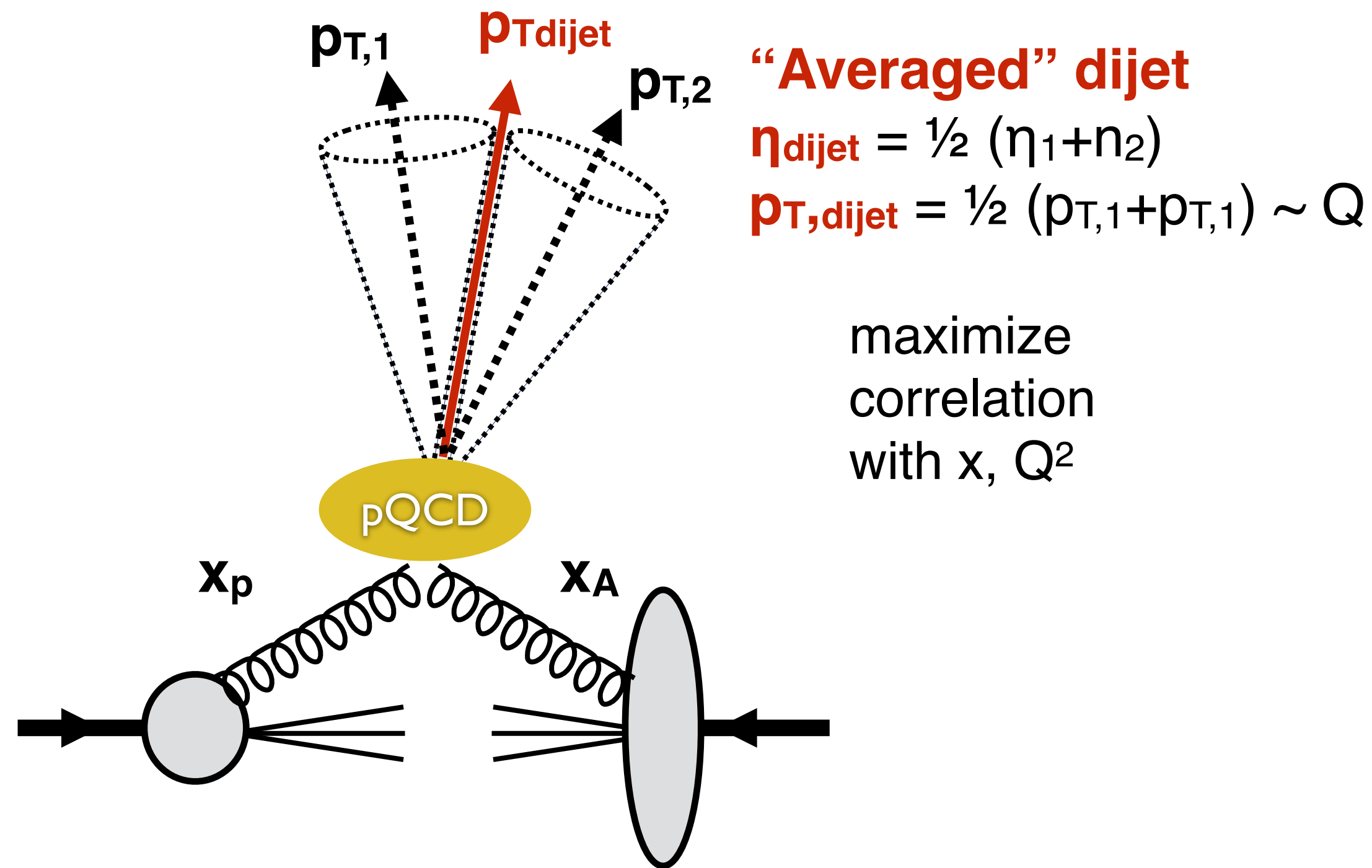
backward
higher x_A



forward
low x_A

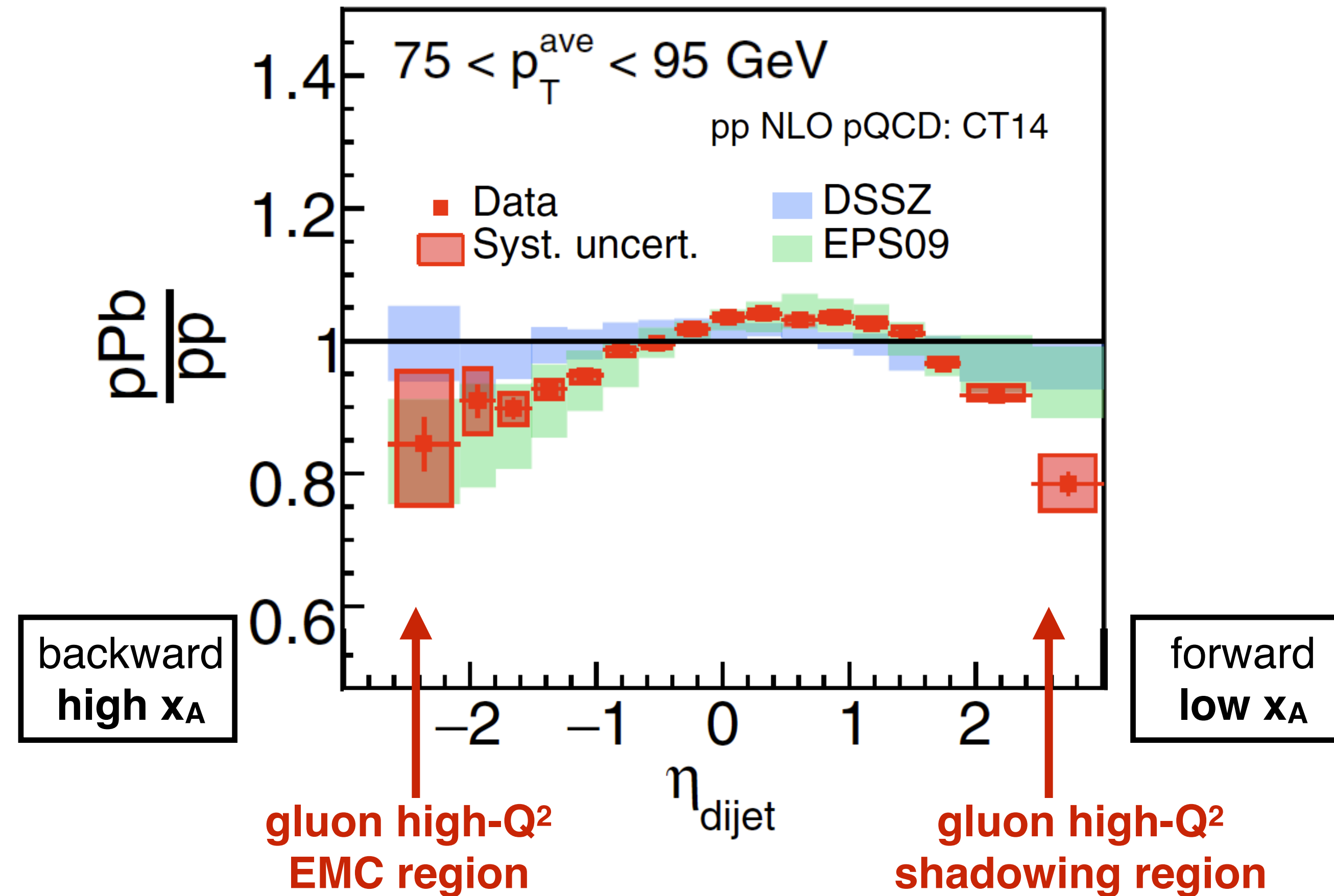
W[±] and W asymmetry vs η measured at mid-rapidity
 • experimental uncertainty < calculation uncertainties
 → **sizeable constraints on nPDF** (starting from EPPS16)

Dijets in hadronic pPb collisions



CMS

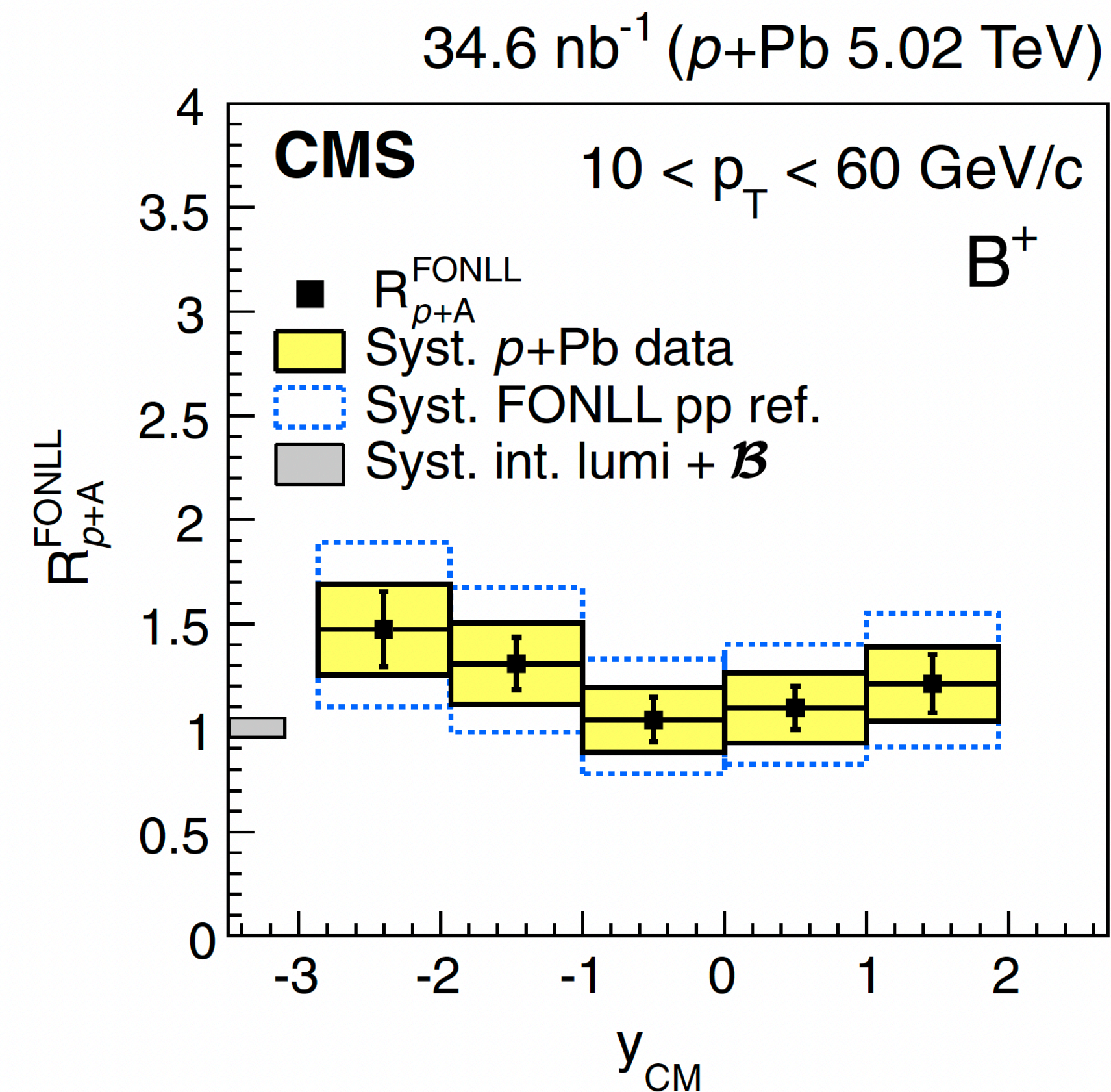
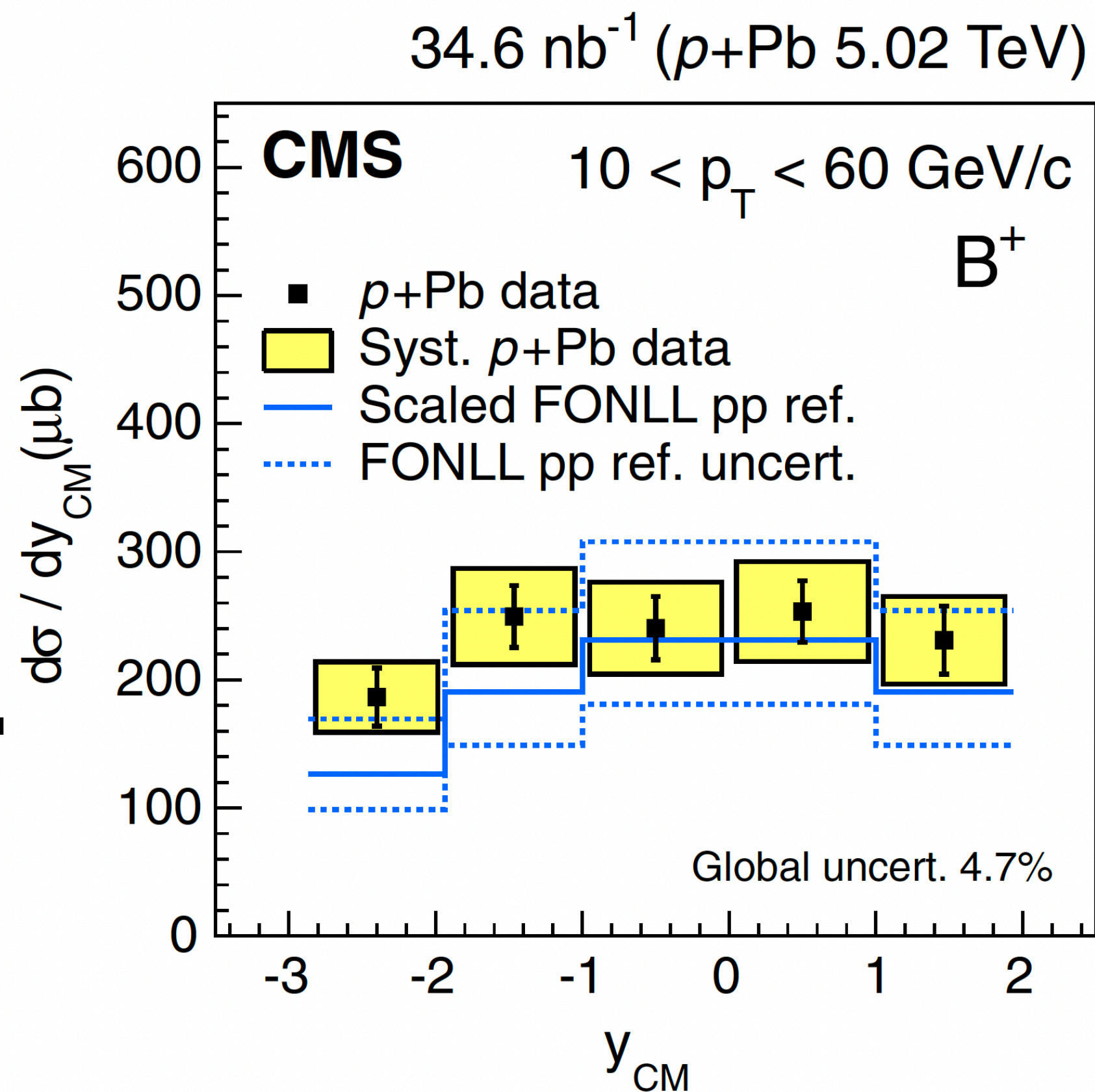
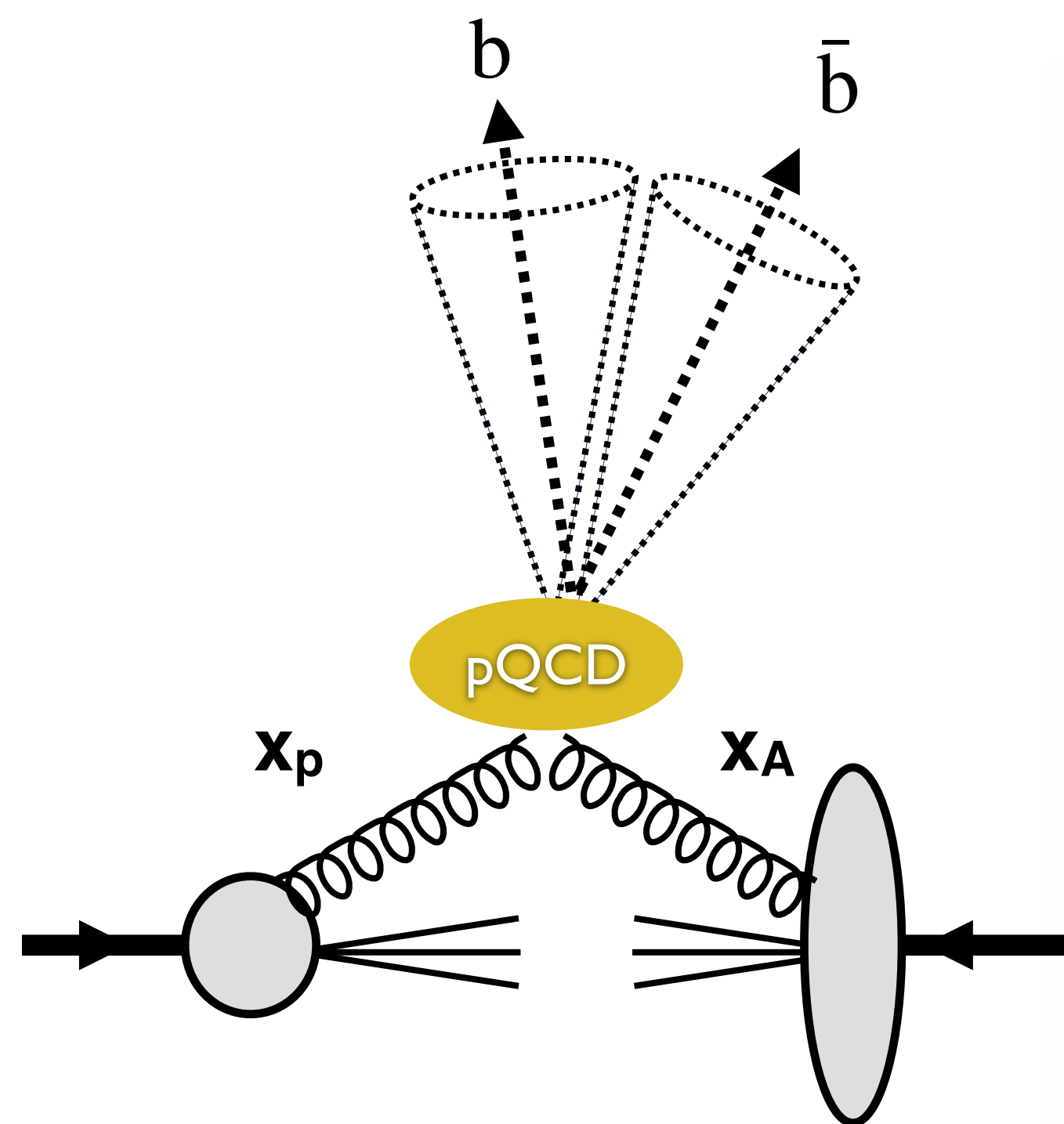
pPb (35 nb^{-1}), pp (27.4 pb^{-1})
 $\sqrt{s_{NN}} = 5.02 \text{ TeV}$



→ Sensitivity to gluon nPDF for $55^2 < Q^2 < 400^2 \text{ GeV}$, $0.005 < x_A < \sim 0.8$ (EMC)

→ **Strong constraints since Run1 on nPDF of gluons (EPPS21 and NNPF3.0)**

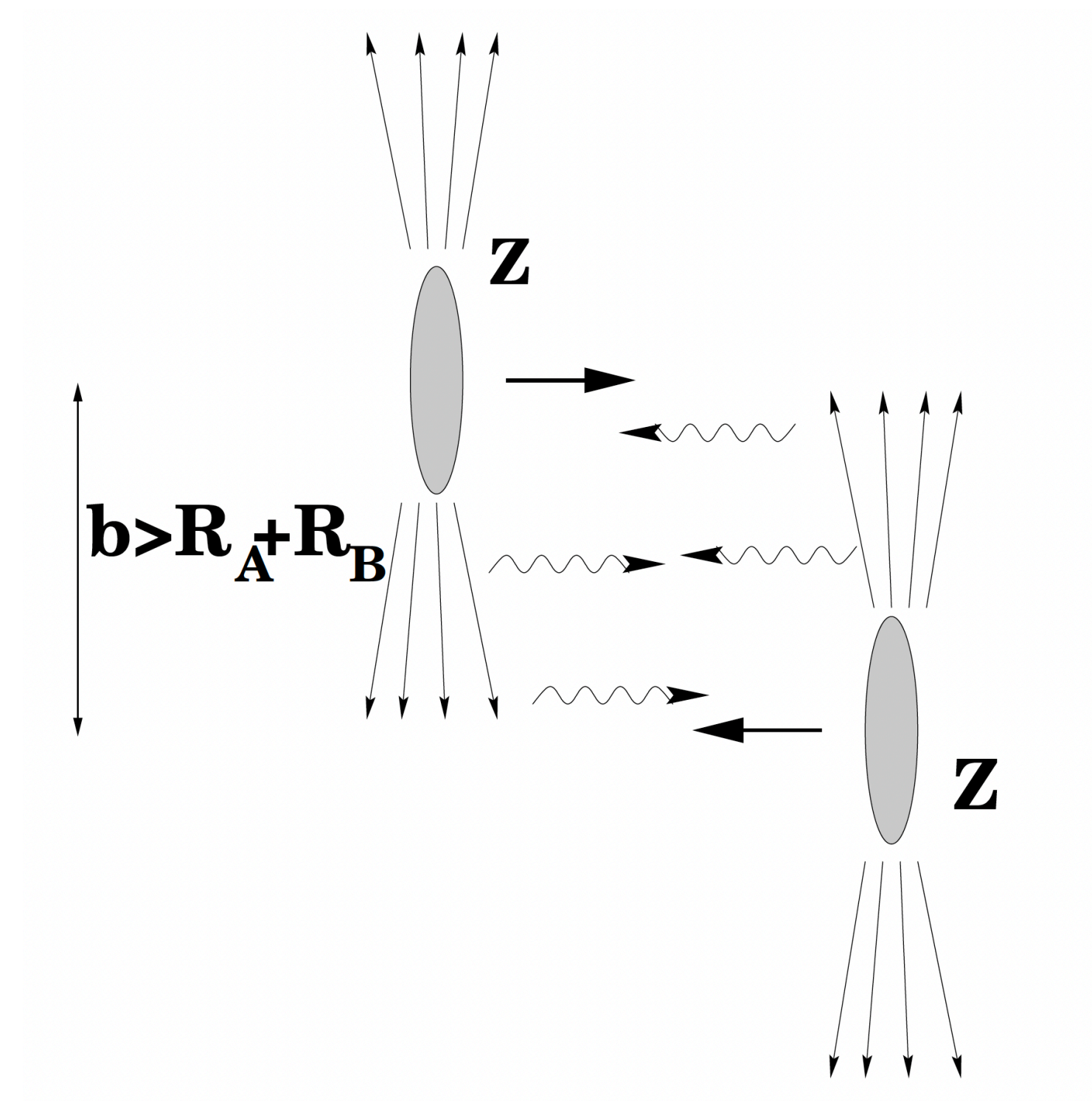
B-meson production in pPb collisions at 5.02 TeV



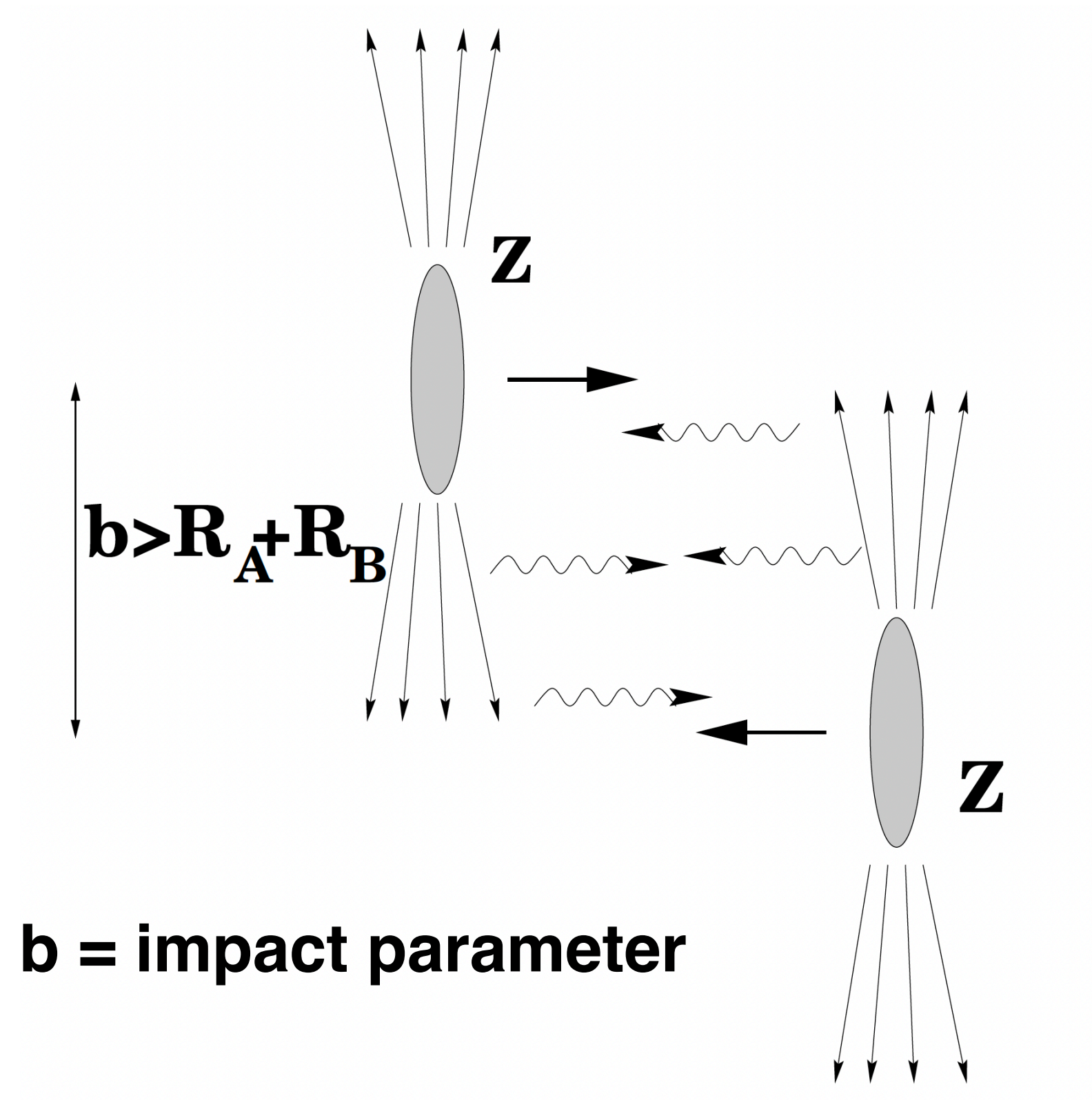
First attempt to use beauty quarks to study nPDF modifications of gluons (limited experimental accuracy)

- lack of proton-proton reference (R_{pA} built w.r.t. to FONLL predictions)
- **rely on larger pPb statistics in Run 4 (or Run 3?)** and beyond to improve the uncertainties of these measurements

Constraining nuclear PDFs at the LHC in UPCs



UPC collisions: LHC as a photon-nucleus collider



Ultra-peripheral collisions (impact parameter $b > R_A + R_B$)

- the flux of quasi-real photons is proportional to Z^2

- **Photon kinematics:**

- $p_T < \hbar/R_A \sim 30 \text{ MeV}$

- $E_{\text{max}} \sim O(100) \text{ GeV}$ at LHC.

K. Hencken, M. Strikman, R. Vogt, P. Yepes, [Phys. Rept. 458:1-171, 2008](#)

Access to photo-nuclear collisions to test nuclear matter:

- at the highest γN center-of-mass energies experimentally reachable

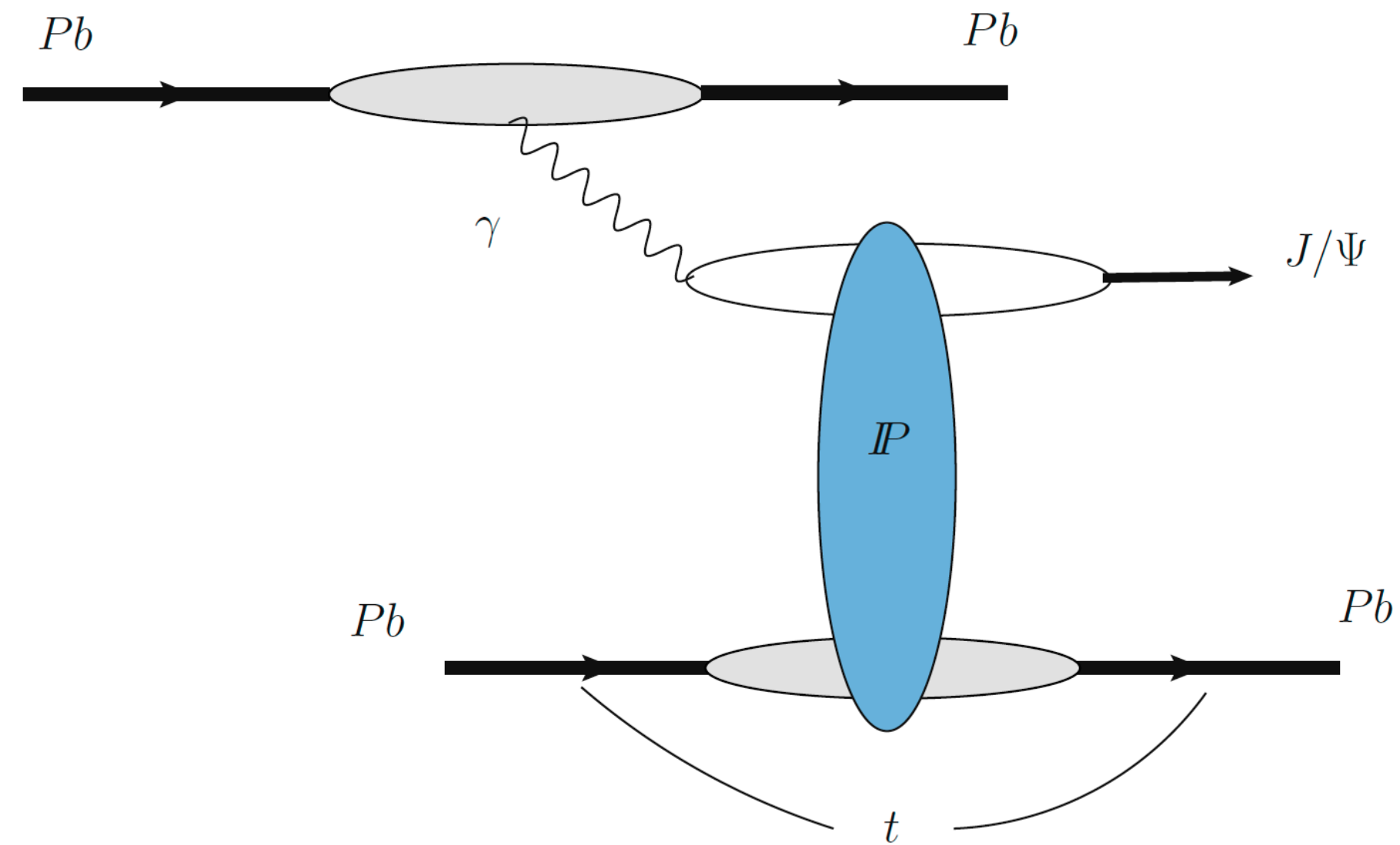
- in the absence of significant final-state interactions (as in hadronic pPb collisions)

J/ψ production in coherent γ Pb collisions

Heavy quarkonia in γ N collisions are produced via coupling to small x gluon fields

→ allow to test small dipole interactions with small- x gluon fields

→ heavy-quark mass guarantees a perturbative description



Properties of coherent production:

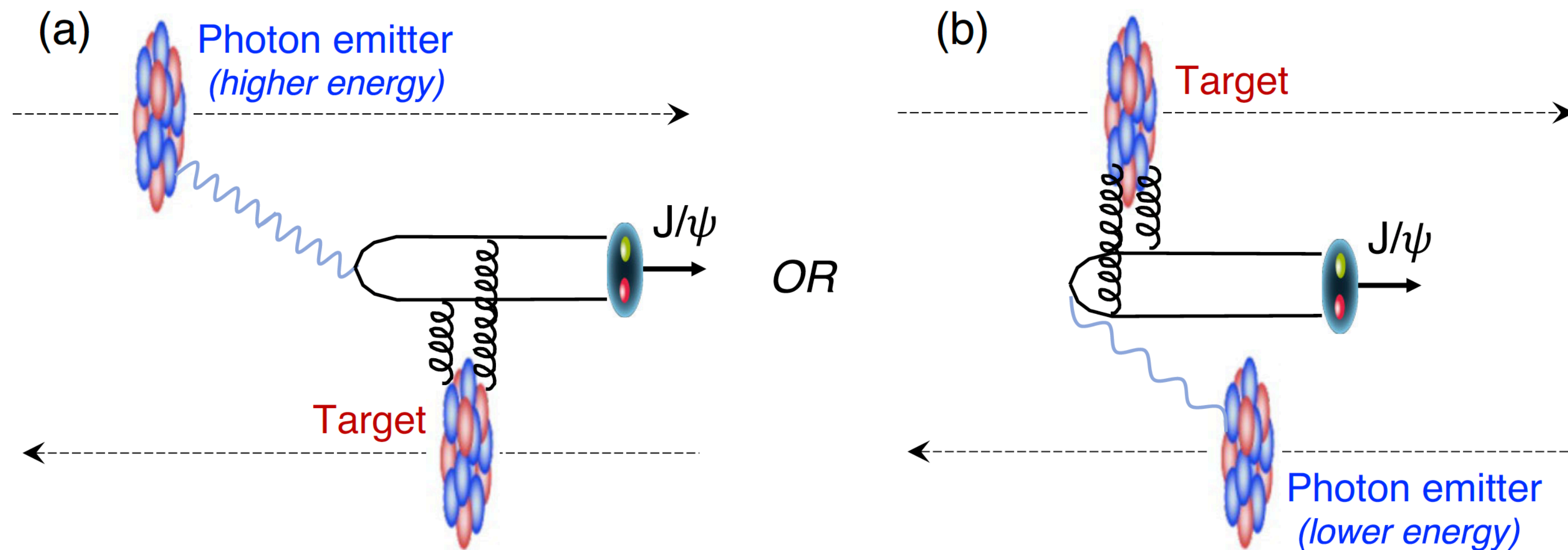
• $\gamma A \rightarrow \gamma A \mu\mu$ with no nuclear breakup

→ $\sigma_{\text{coherent}} \sim g(x, Q_2)$

→ Gluon properties with $x \ll 10^{-3}$, $Q_2 \sim 2.5-4.0 \text{ GeV}^2$

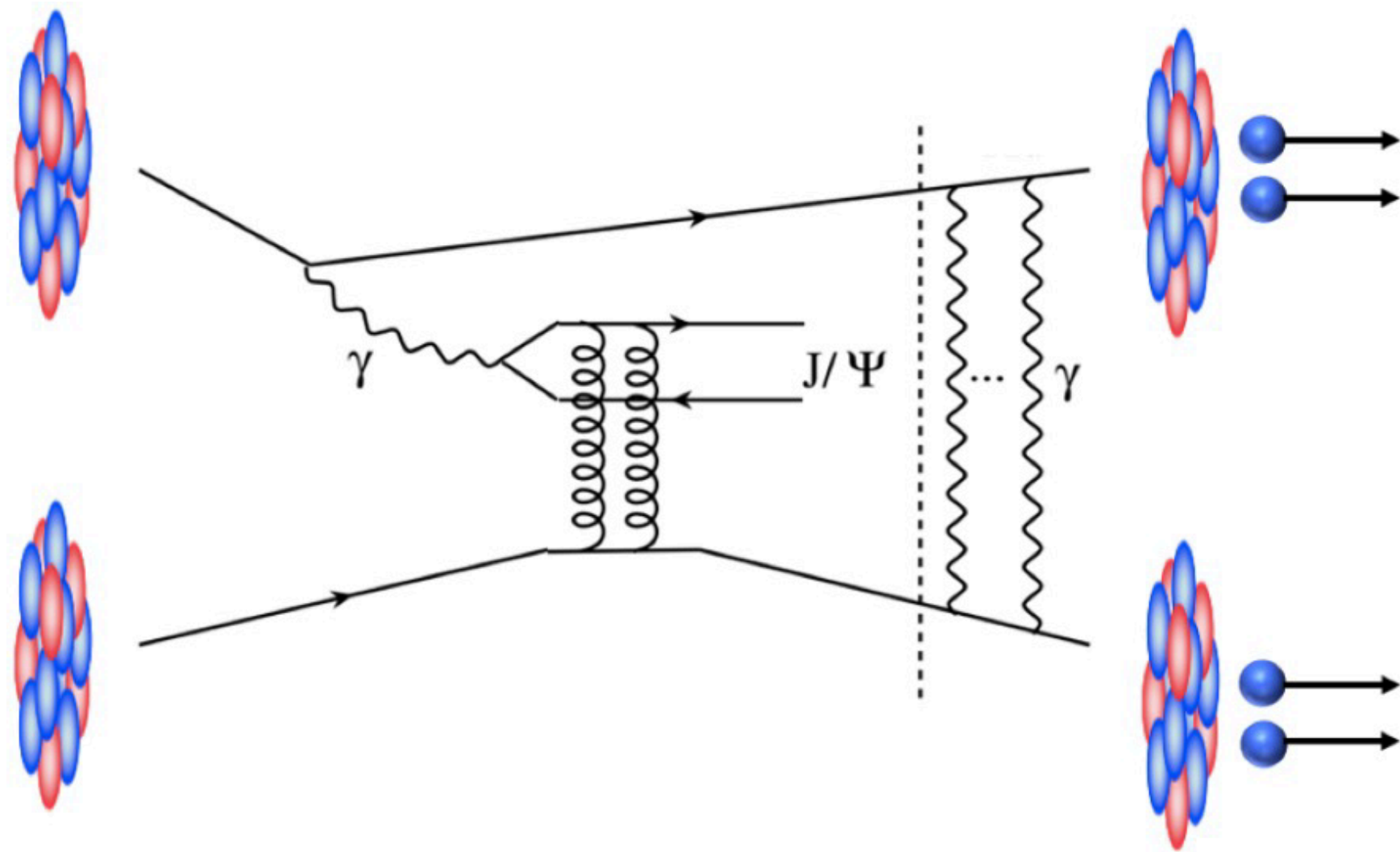
Two-way ambiguity in coherent γ Pb collisions

- The initial direction of the photon is not fully defined
 - at $y_{J/\psi}$, a mixture of low- x and higher- x events
- **limit the constraining power due to uncertainty on the value of x**

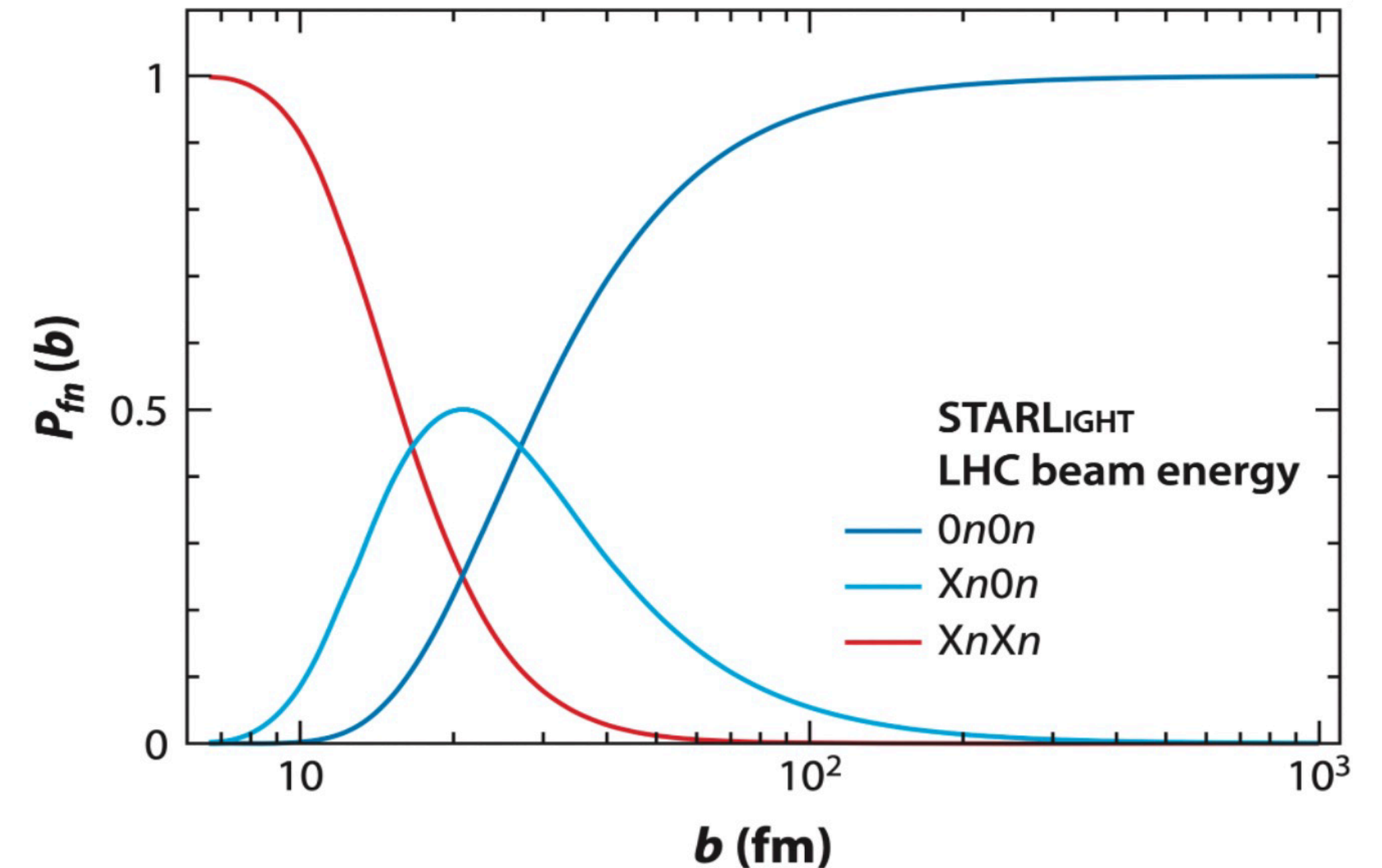


Solving the photon ambiguity with neutron information from ZDC

Exploit the properties of electromagnetic dissociation (EMD) to distinguish between the two event classes



EMD = neutron emission due to soft-photon exchanges

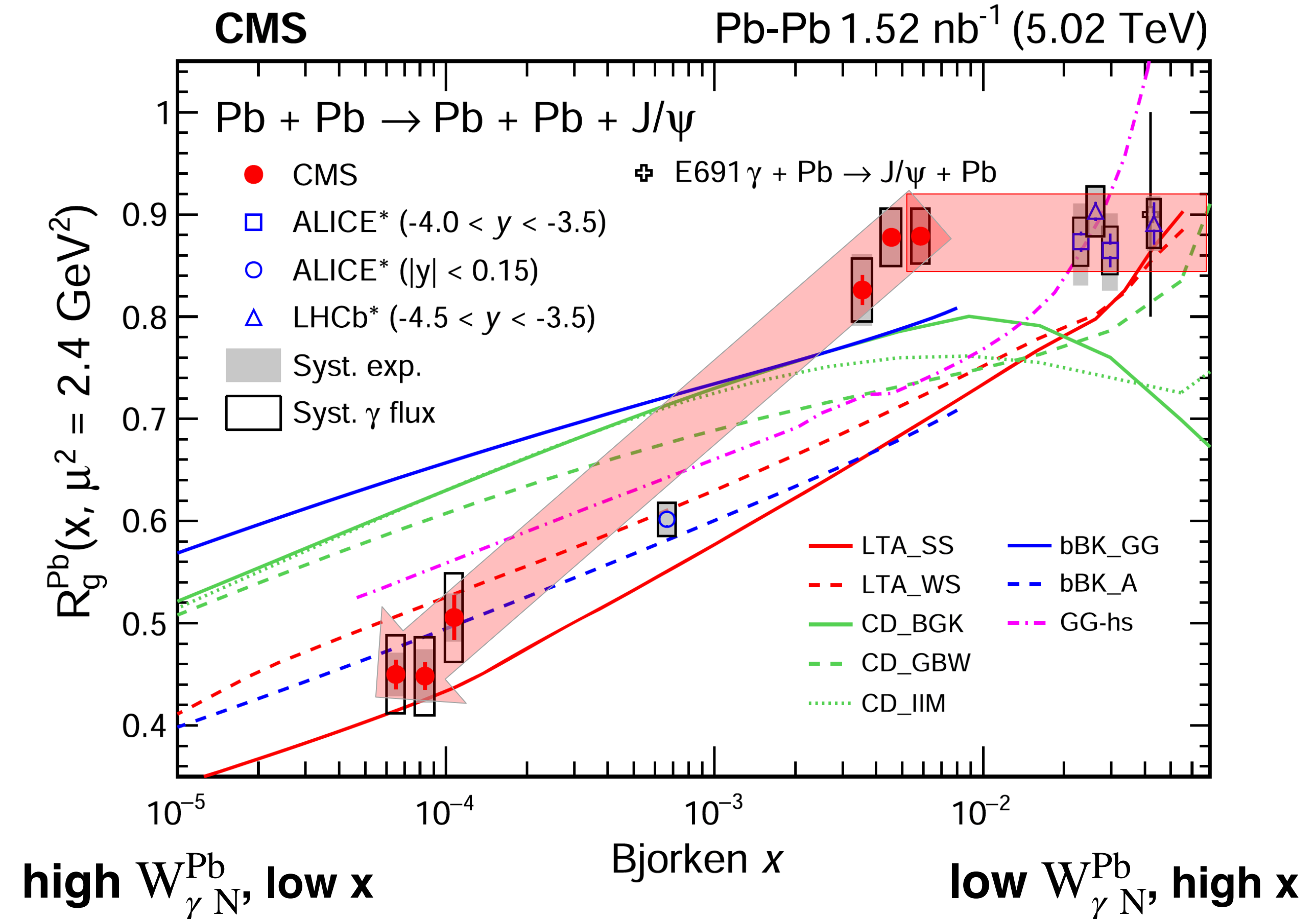
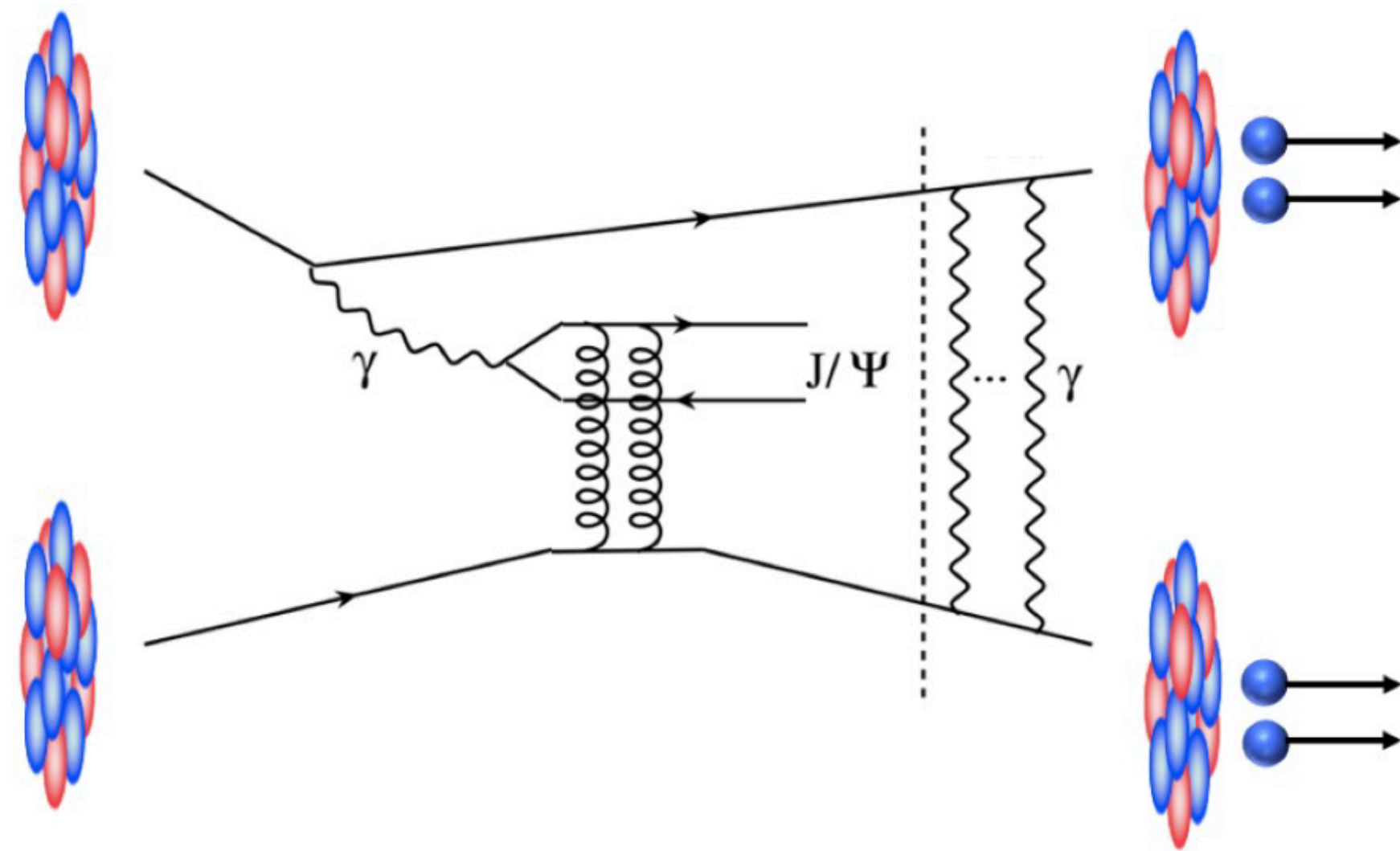


→ **EMD is larger for collisions at small impact parameters**

By selecting events with at least one neutron on the same “side” of the J/ψ

→ **select events corresponding to a high-energy photon (low-x gluon)**

Coherent J/ψ in PbPb UPCs with forward-neutron tag with CMS



Increased sensitivity to low- x effects without “low/high energy” ambiguities

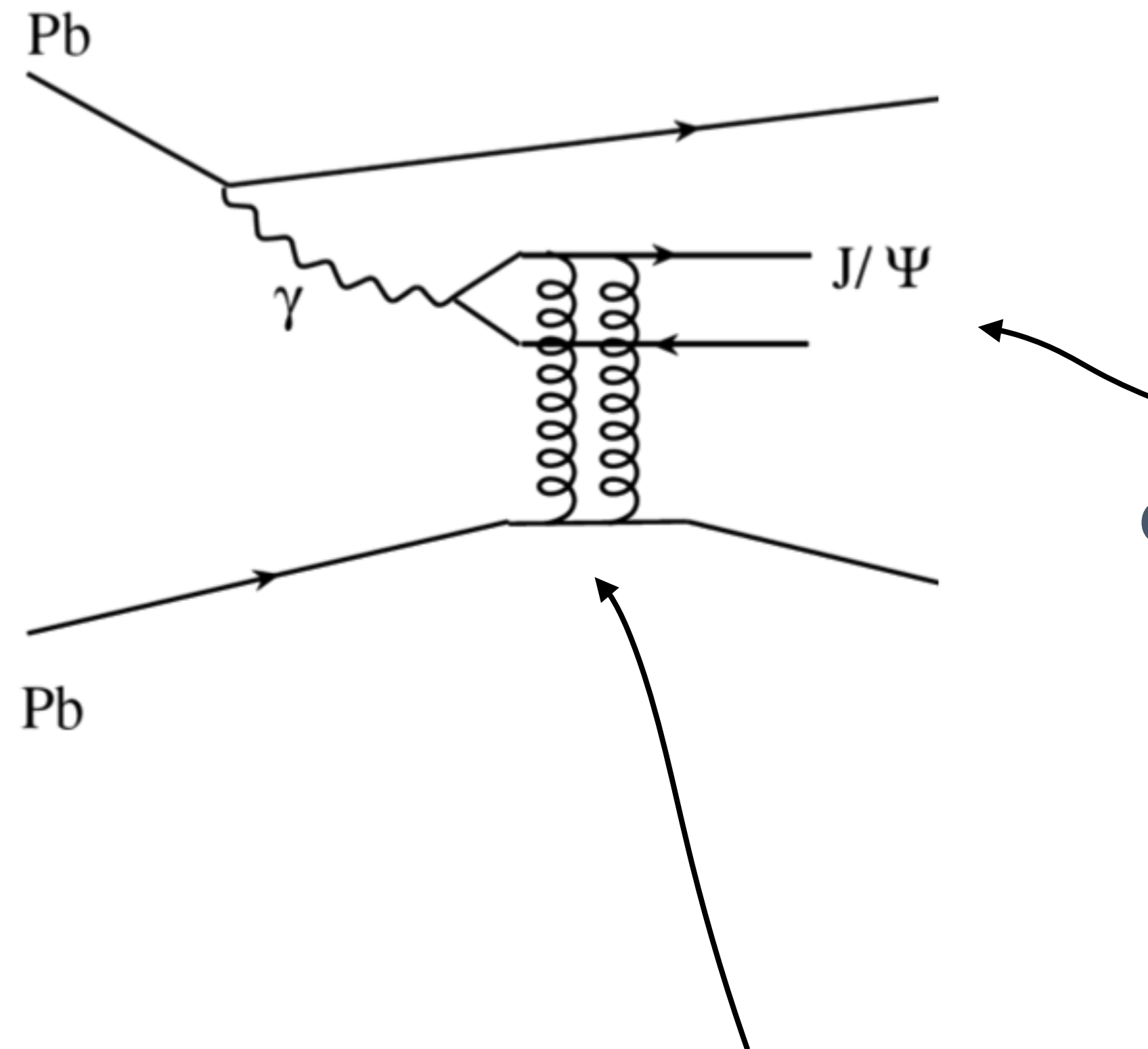
- strong experimental constraints on theory for $x_{BJ} < 10^{-4}$ at low Q^2
- non trivial dependence of R_g^{Pb} vs x , currently not fully captured by theoretical calculations

CMS, Phys. Rev. Lett. 131 (2023) 262301

**Hard-processes in UPCs:
to test the transition towards low-x**

Coherent J/ψ in PbPb UPCs

gluons with $x < 10^{-4}$, $Q^2 \sim 2.5-4.0 \text{ GeV}^2$



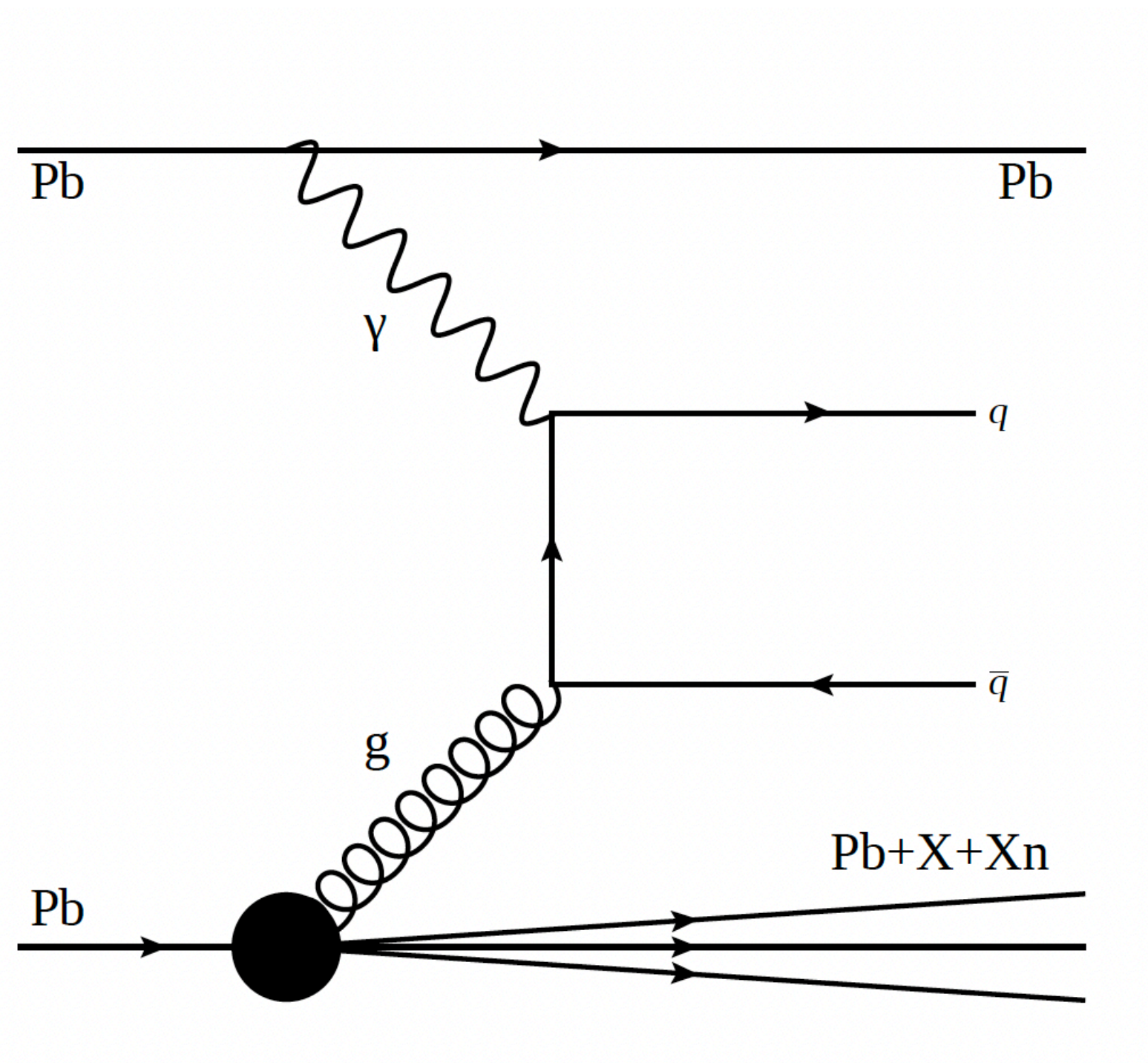
Constraints on a "fixed" region of Q^2

Complex theoretical description

- J/ψ production at low p_T and NLO calculations are challenging

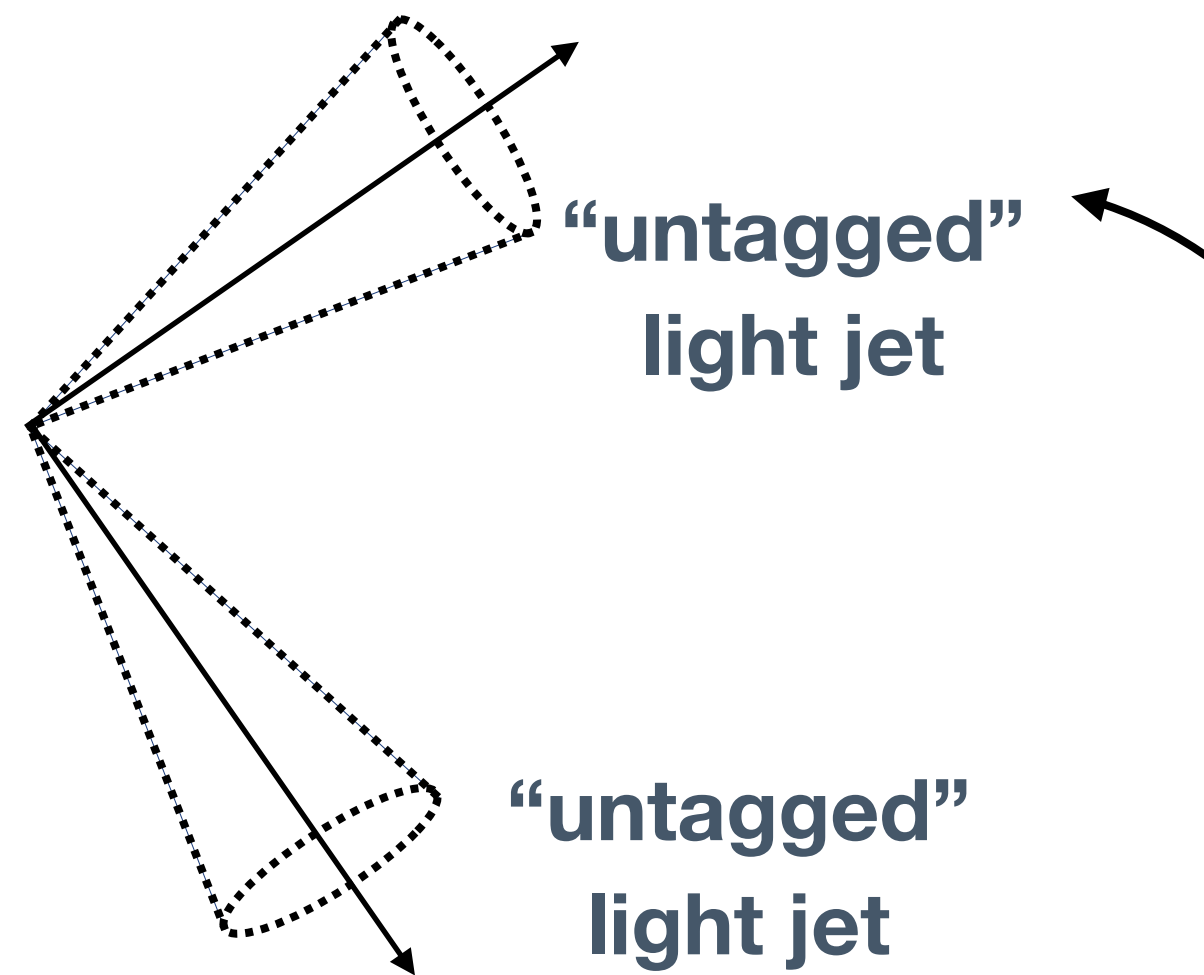
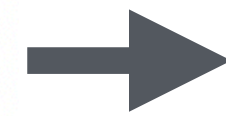
ALICE, JHEP 10 (2023) 119
CMS, Phys. Rev. Lett. 131 (2023) 262301

Untagged di-jets in γN scatterings



Dynamic constraints on (x, Q^2)

by varying dijet kinematics

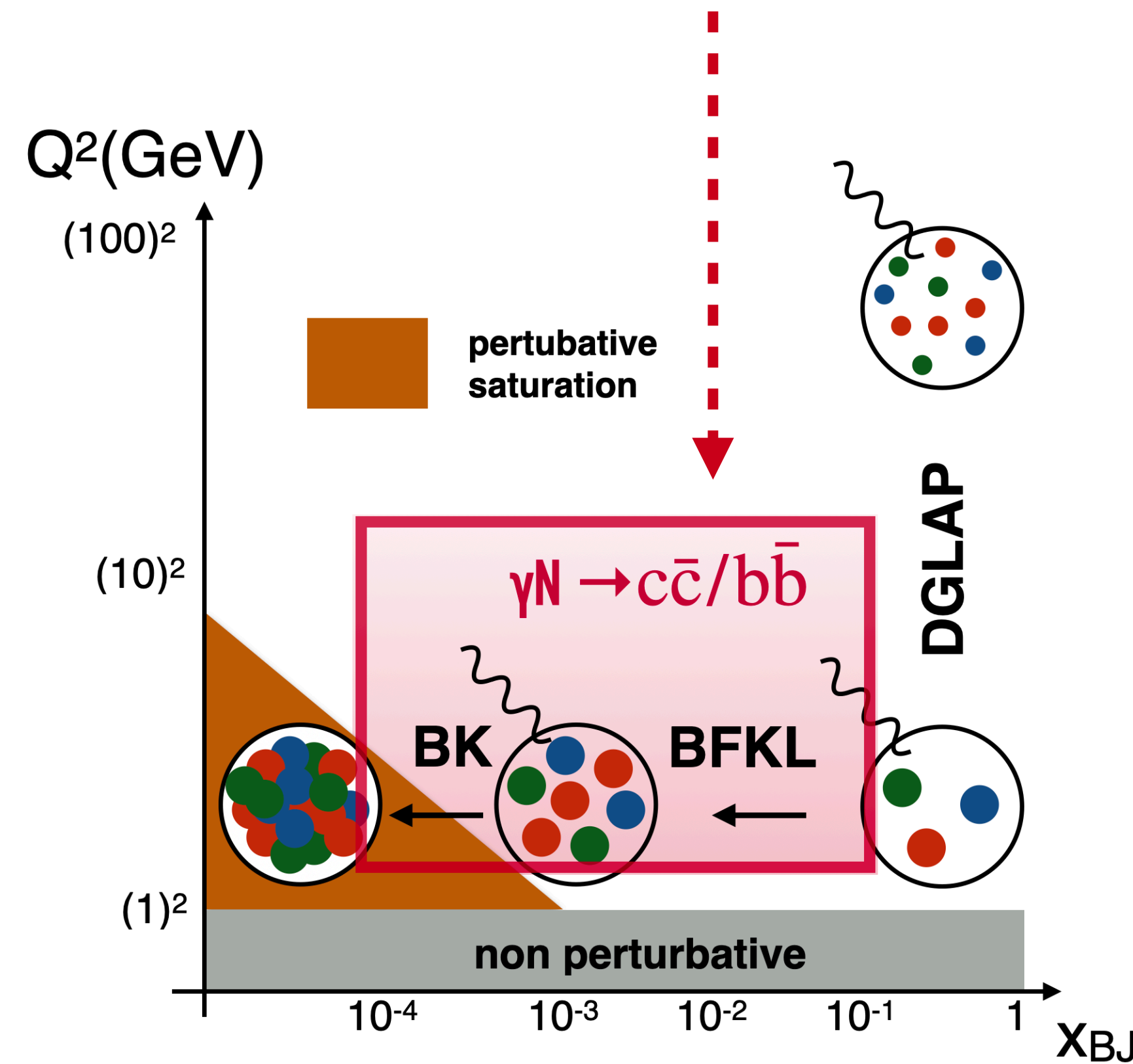
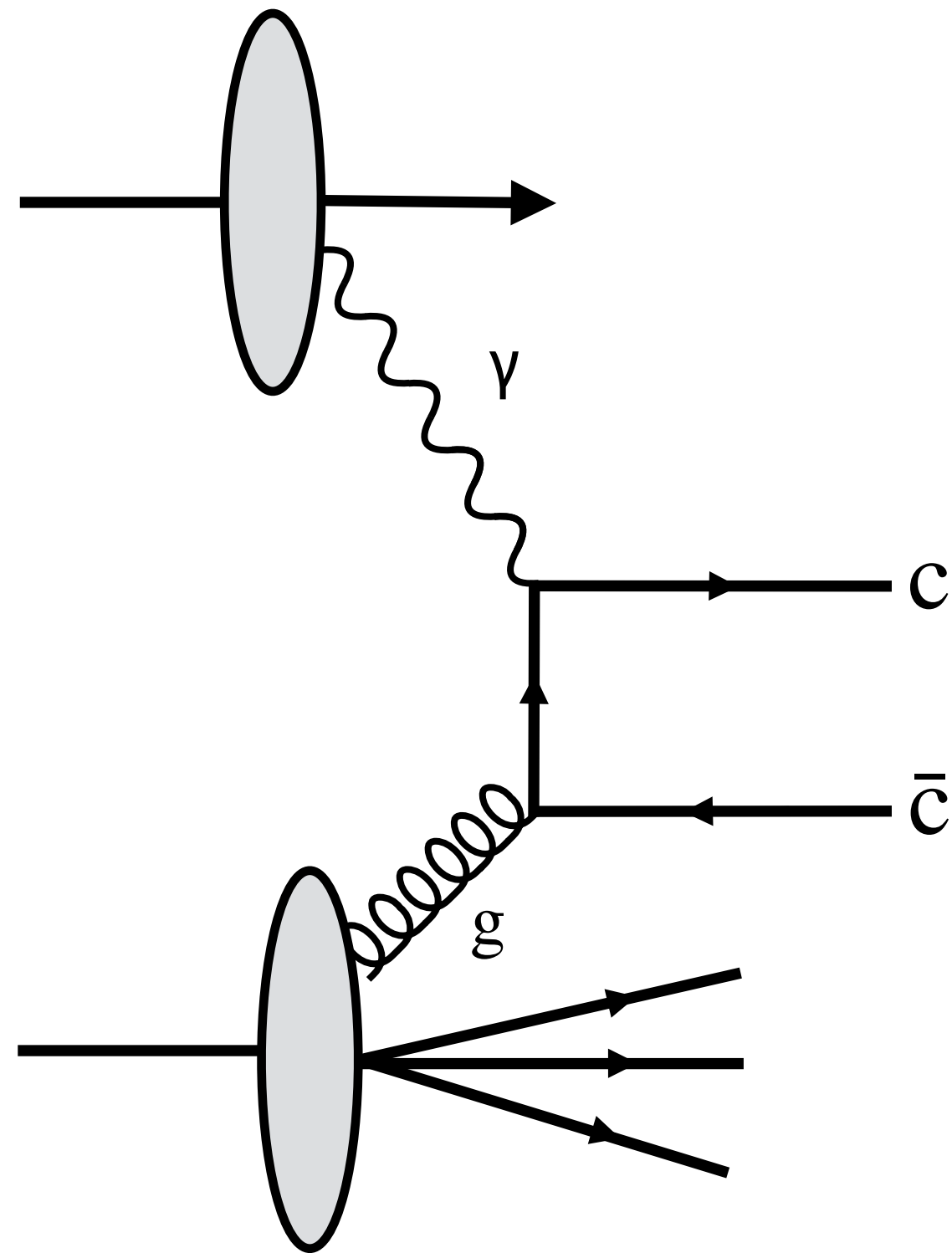


Limited access to low x and low Q^2

→ jets limited to $p_T > 10-15 \text{ GeV}/c$

“Open” heavy-flavor and HF-jet photoproduction in UPCs

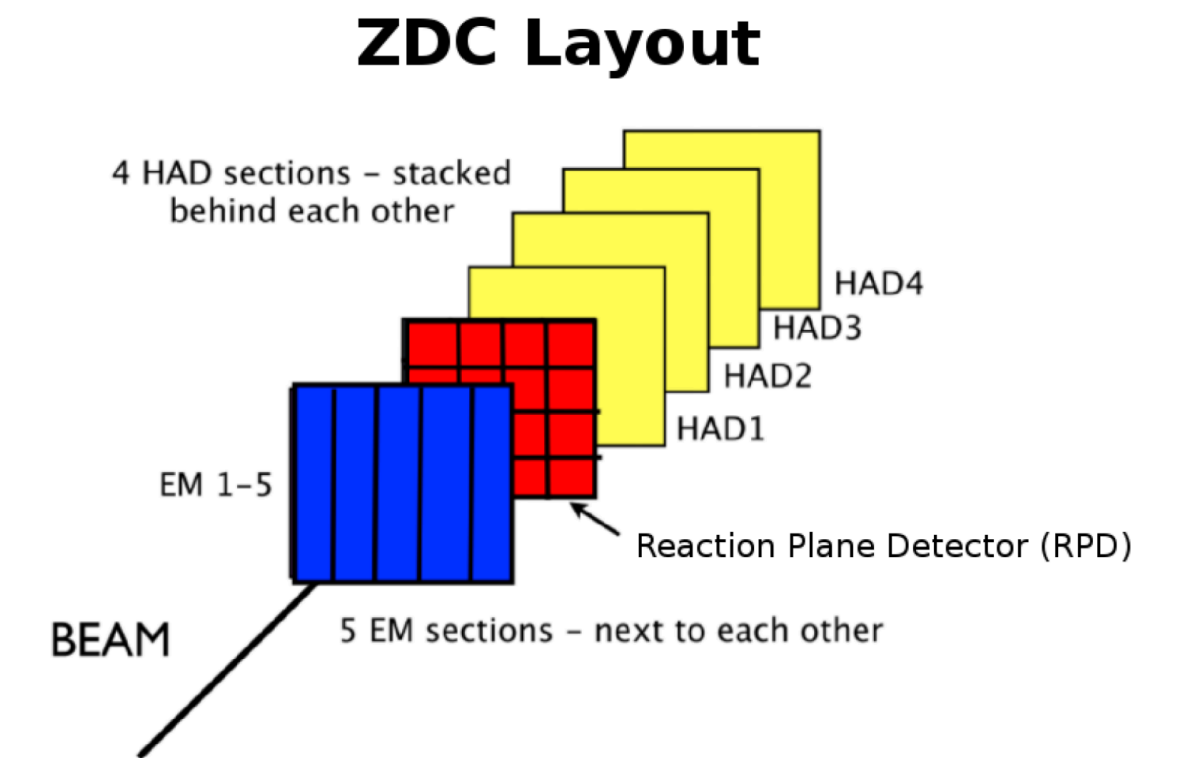
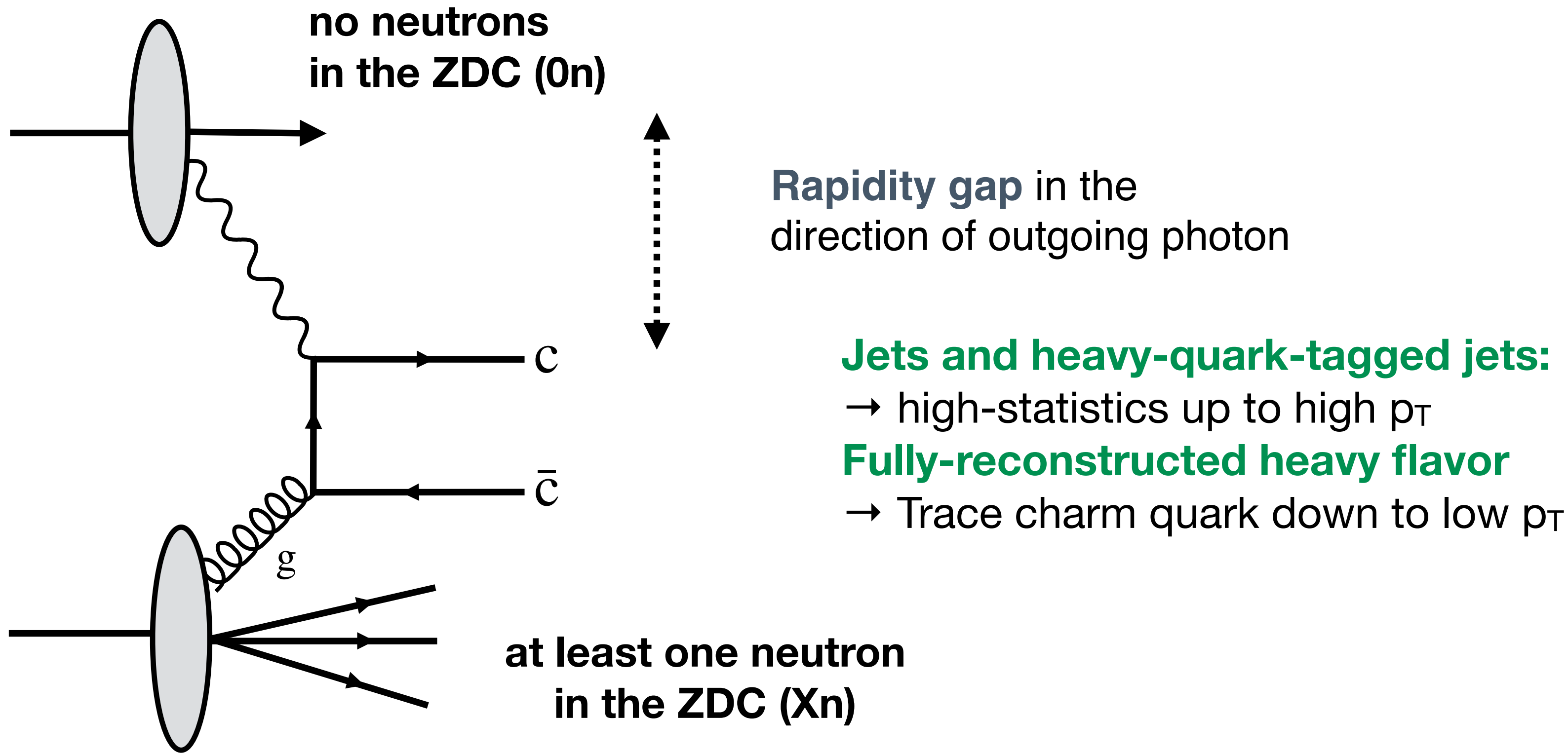
- Simple pQCD description down to $p_T=0$
- dynamical access to a wide region of x , Q^2 region down to low x_{BJ}
- fill the gap between the high and low p_T regime
- scan the region where high-density effects should emerge with a perturbatively-produced probe



- $x_{\min} \approx 10^{-4}$ with low p_T , forward probes (LHC)
- $Q_{\min}^2 \approx m_{c\bar{c}}^2$

Spencer Klein, R. Vogt et al: *Phys. Rev. C*, v66, 2002

Experimental strategy for “hard” inclusive photoproduction

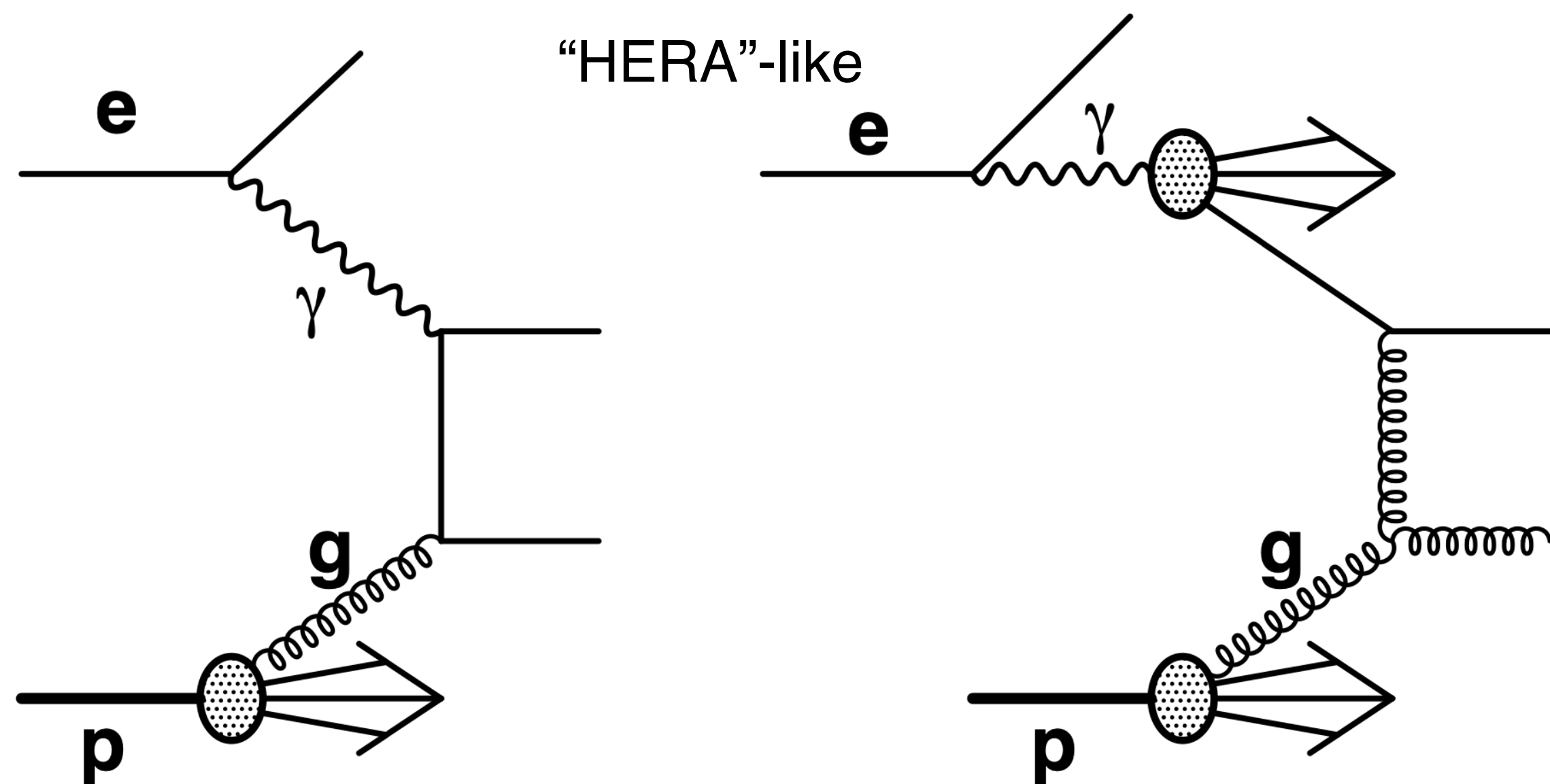


Nontrivial questions about signal “definition” and theoretical challenges:

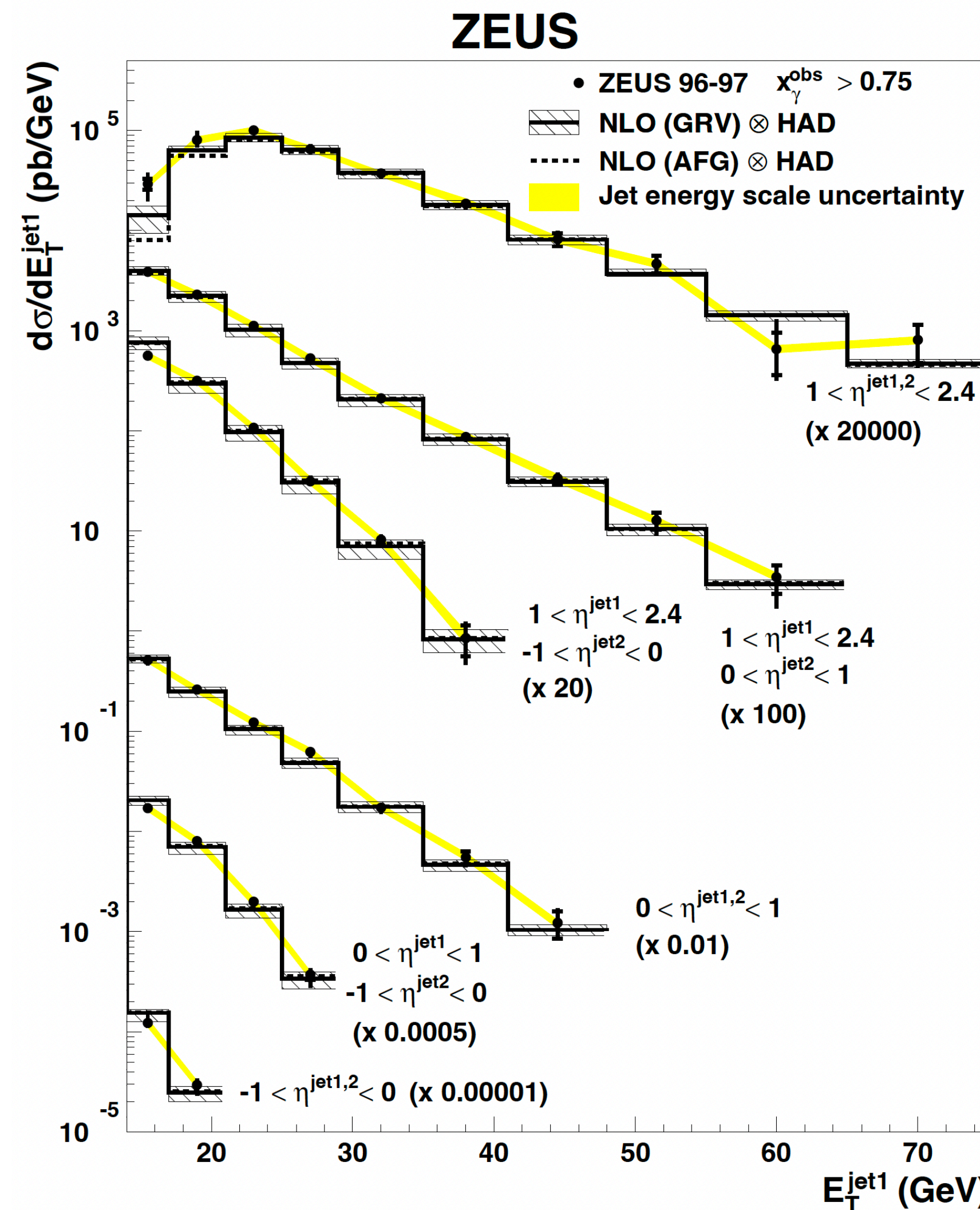
- inclusive? semi-inclusive due to requirement on the $X_n 0_n$?
- how to improve the control of the photon-flux and on EM dissociation?

Jets and open heavy-quarks in γp scatterings in pPb collisions

→ γp scatterings in pPb collisions as the baseline for γPb measurements



In combination with HERA and EIC measurements:
 → **New constraints on proton nPDFs, GDF, TMD**
at the highest γp center of mass energies available

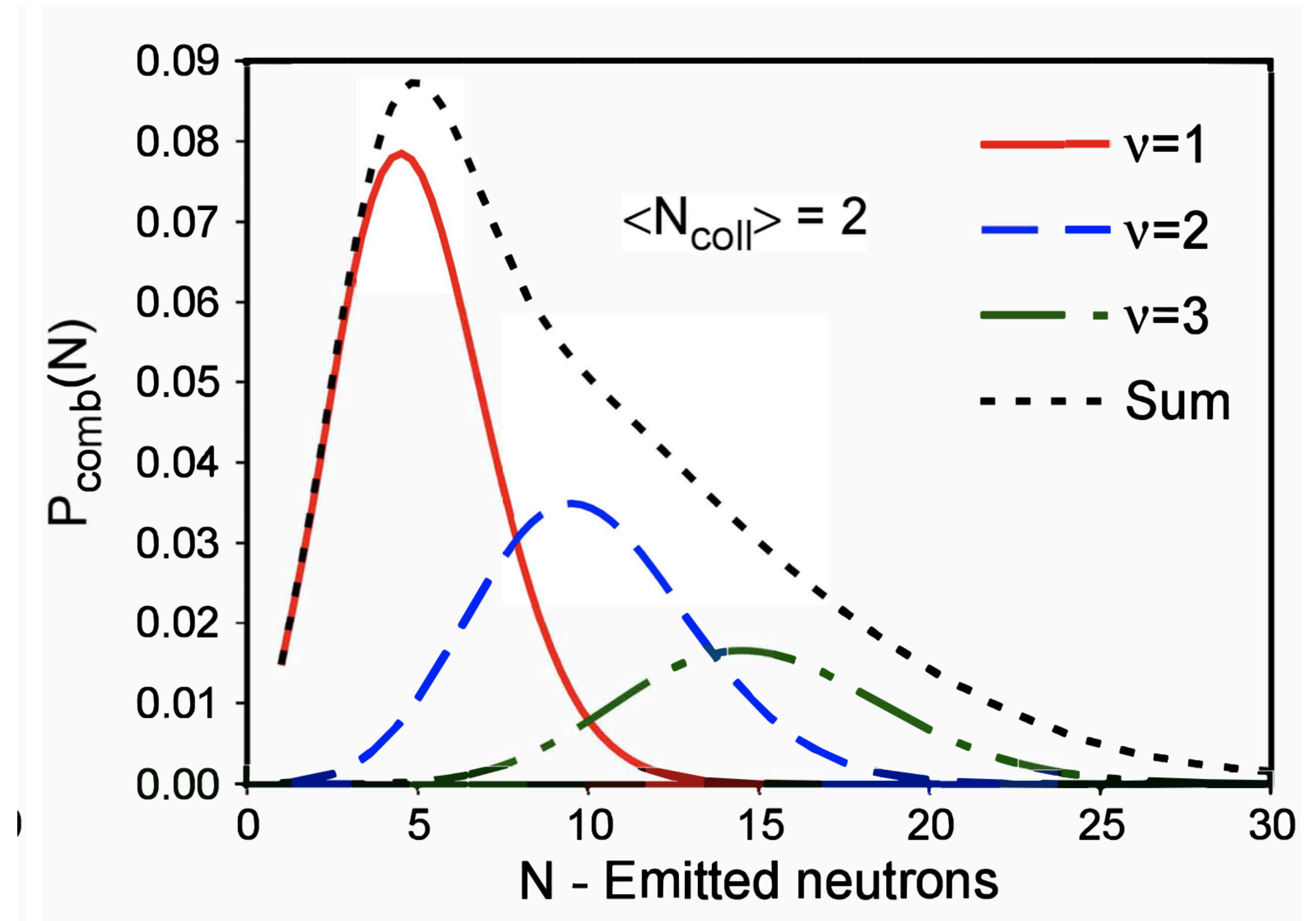
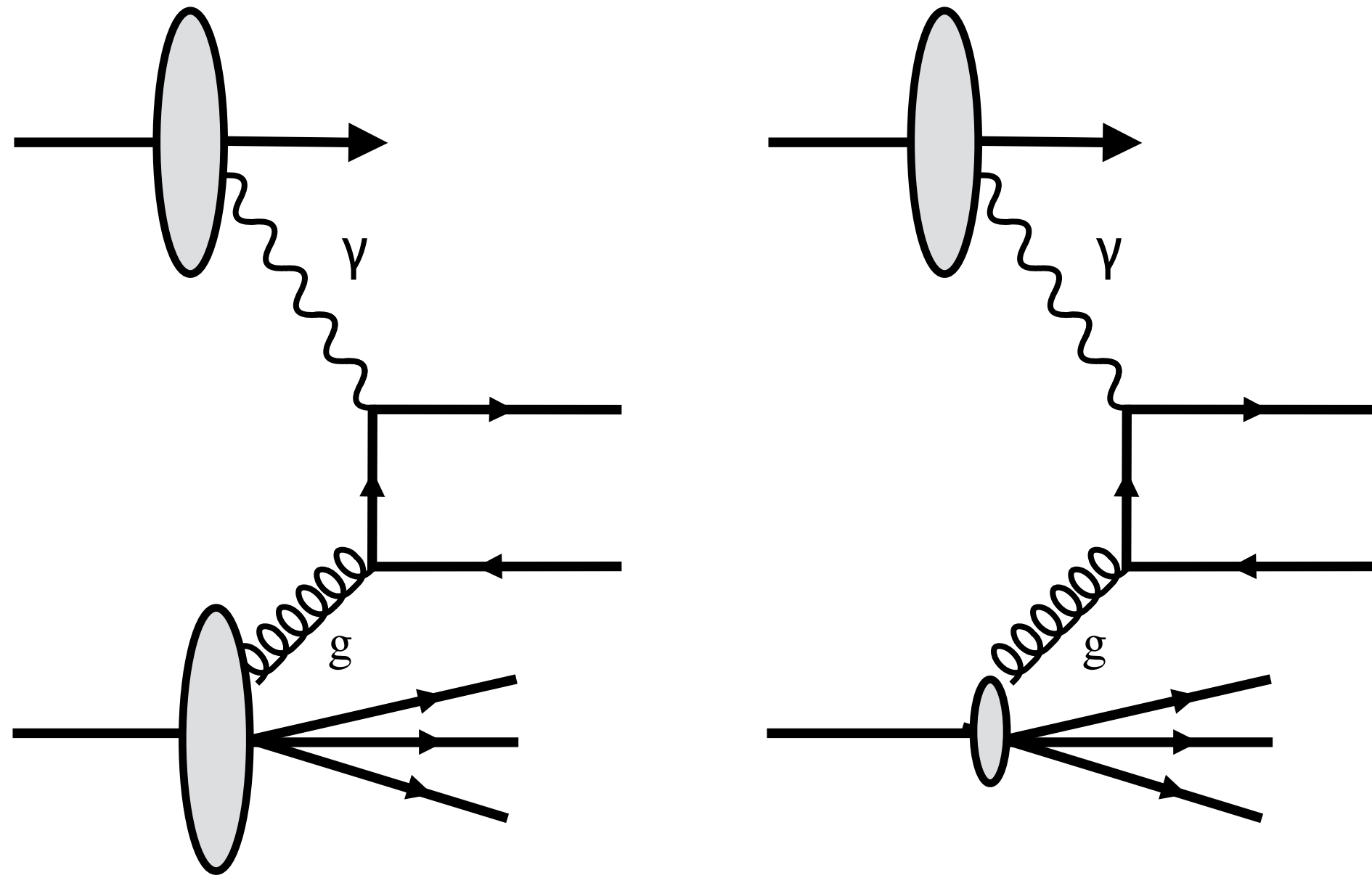


ZEUS, Eur.Phys.J.C23:615-631,2002

New observables in $\gamma p/\gamma Pb$ collisions

Basic concept: “over-constraining” low-x models by measuring both barrel and very forward observables

M. Strikman, V. Guzey et al., [arXiv.2402.19060](https://arxiv.org/abs/2402.19060)



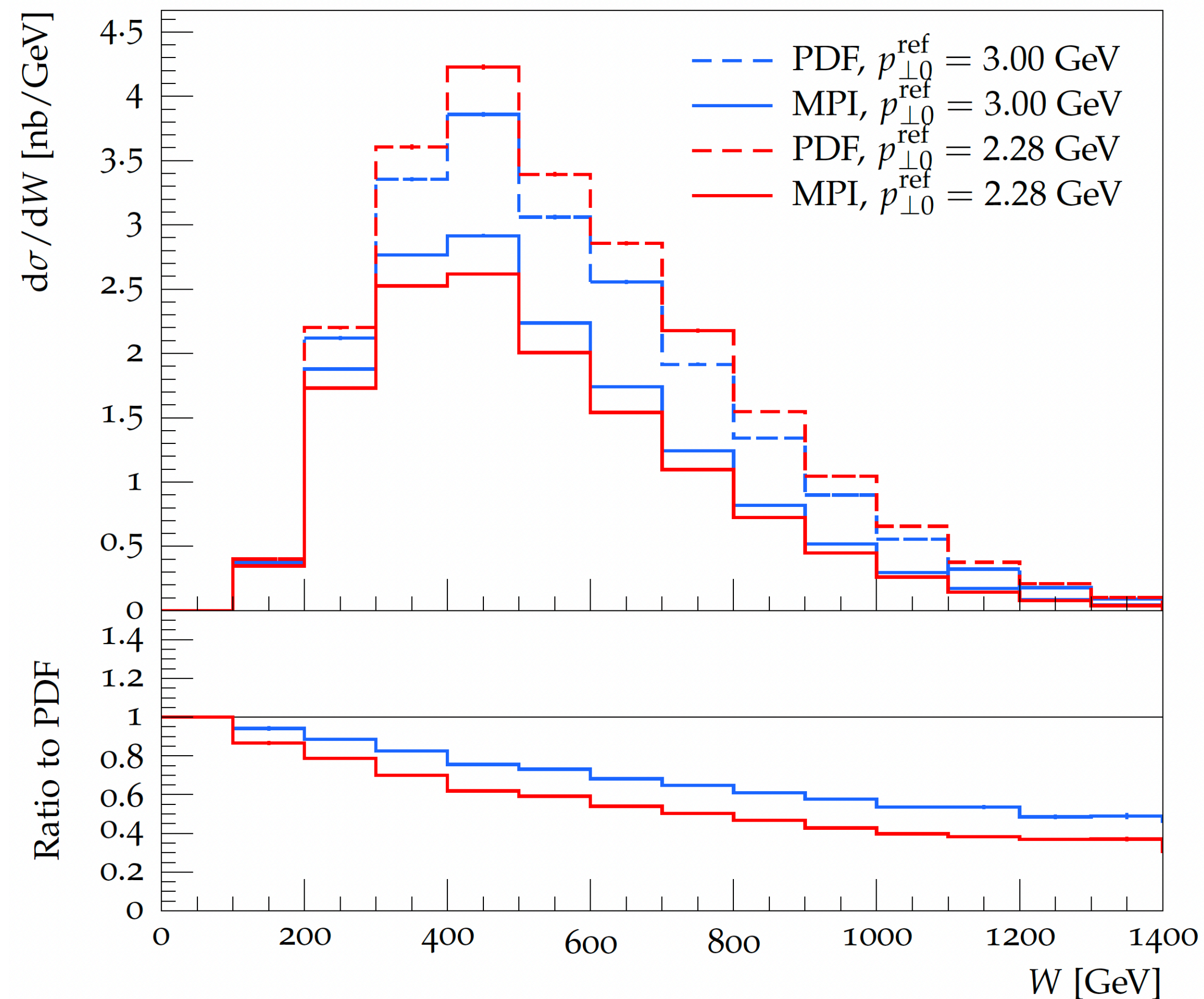
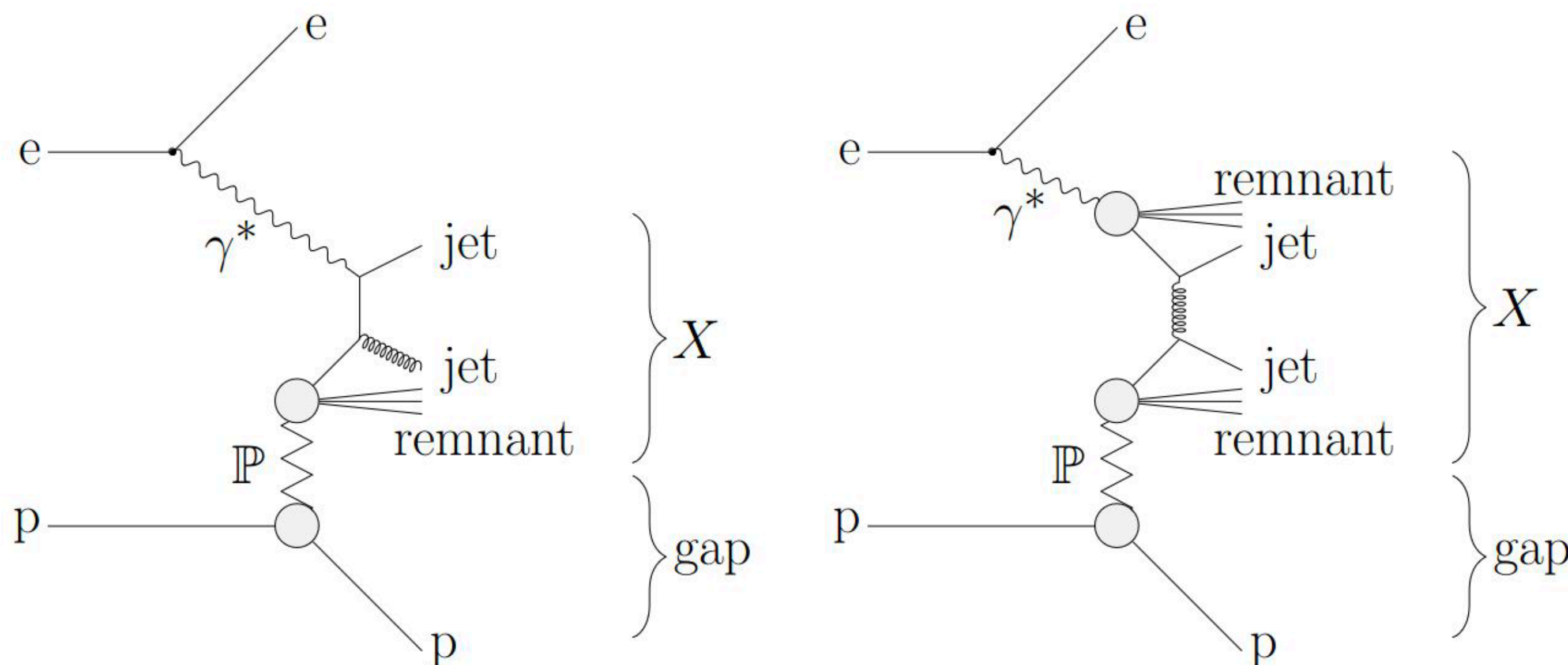
Hard-scattering production at central rapidities with information on the number of neutrons in ZDC:

→ stronger discrimination power on low-x nuclear matter

→ **new experimental challenges for ZDC reconstruction and calibration**

Diffractive production of jets and heavy quarks

Ilkka Helenius, [arXiv:2107.07389](https://arxiv.org/abs/2107.07389)
 C. Marquet, C. Rayon et al. [arXiv.1306.4901](https://arxiv.org/abs/1306.4901)

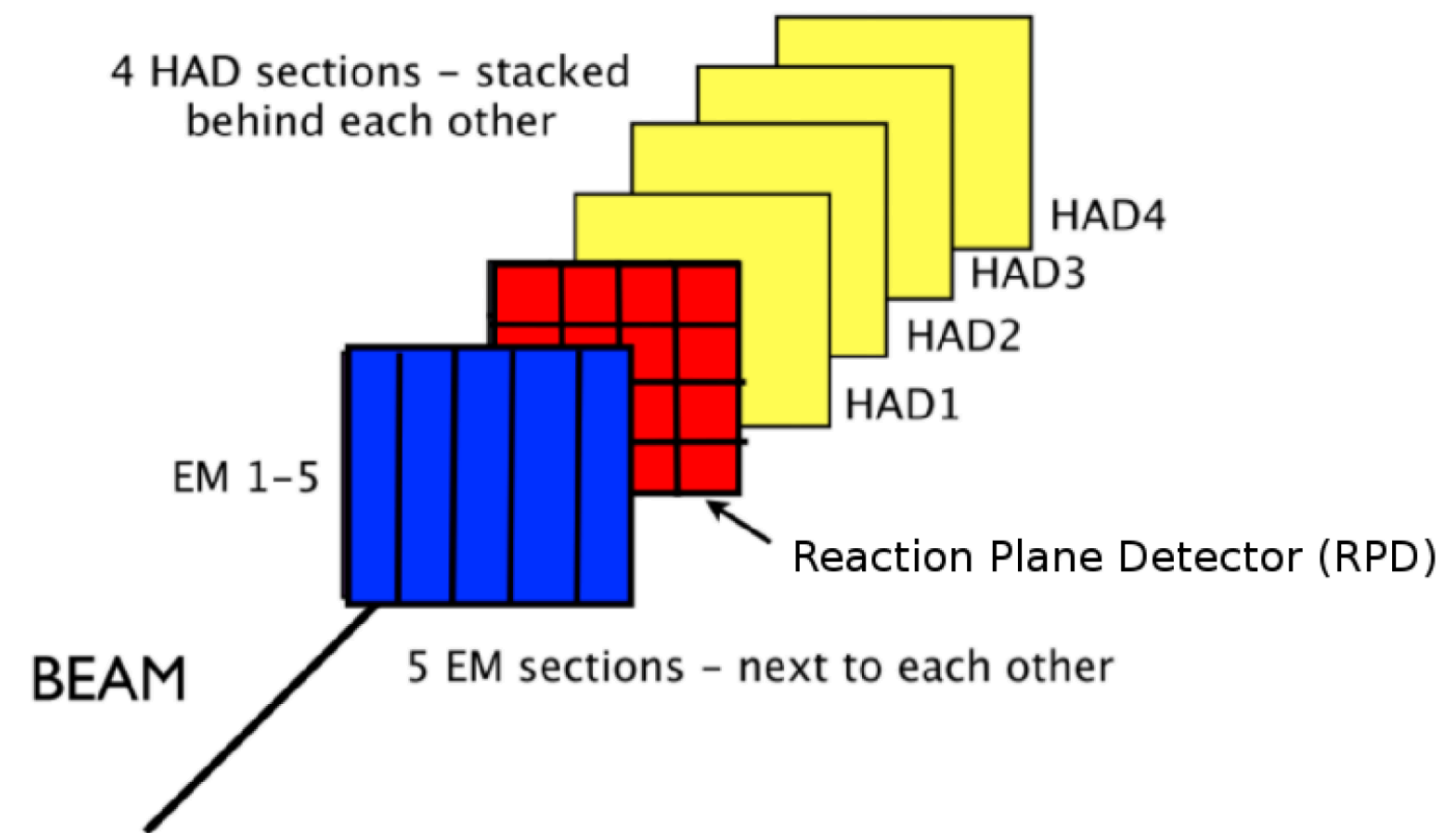


- **test for factorization**: diffractive PDFs \otimes partonic coefficient functions
- benchmark for PbPb measurements

Need for theoretical calculations and MC simulations for diffractive events in both γp and γPb collisions!

Converting CMS into a $\gamma\gamma$, γN detector for the “LHyC”

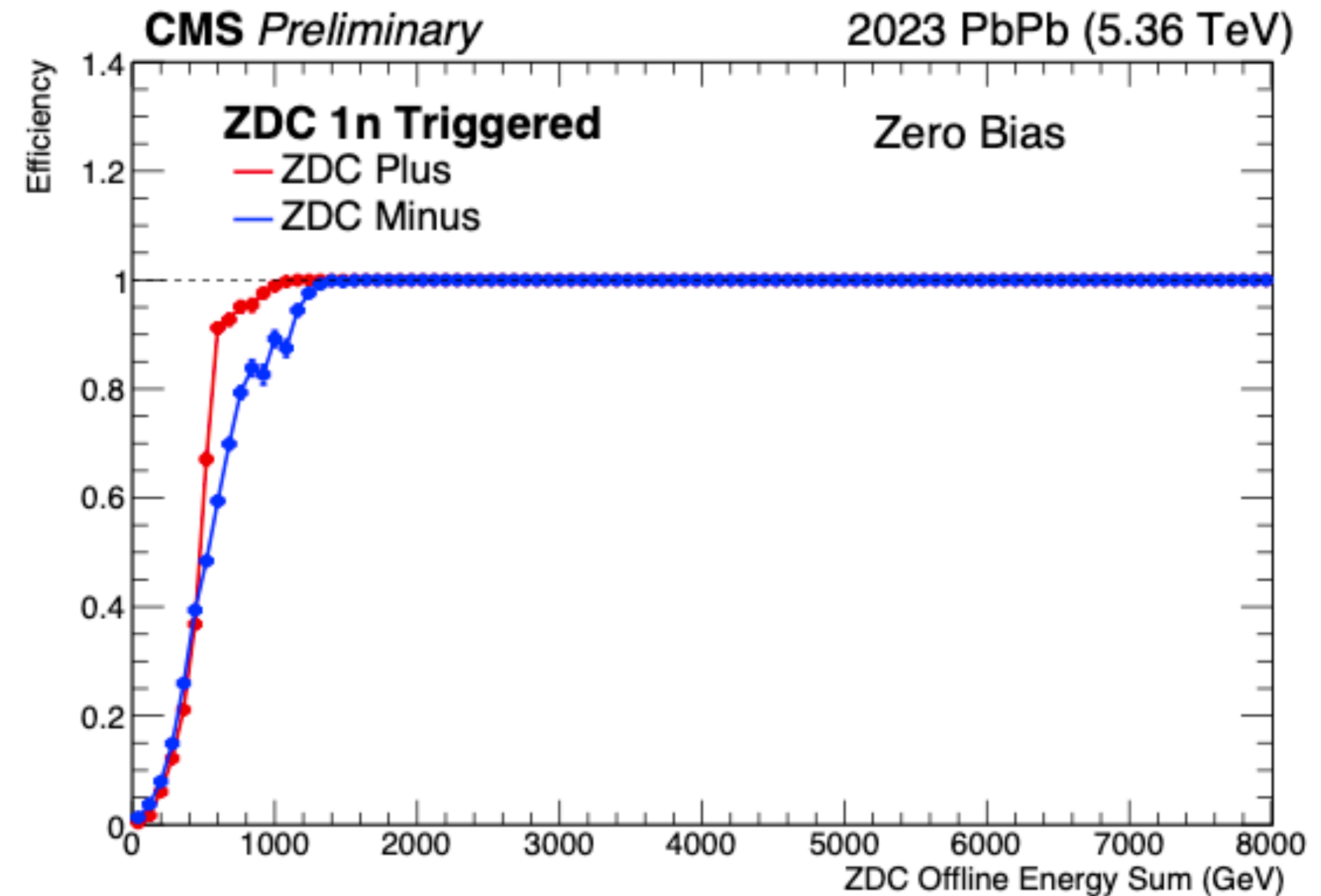
ZDC Layout



Zero-degree calorimeter (ZDC) as a trigger detector

- integrate ZDC in the Level-1 (hardware) trigger-emulation chain
- develop a strategy for fast online calibration

L1 trigger efficiency vs D^0 p_T (2023 data)



New trigger algorithms deployed for the 2023 run

(100-1000 more data than in Run 2)

- photonuclear high- Q^2 triggers (ZDCXOR && L1 jet)
- photonuclear low- Q^2 triggers (ZDCXOR)
- $\gamma\gamma$ and diffractive triggers

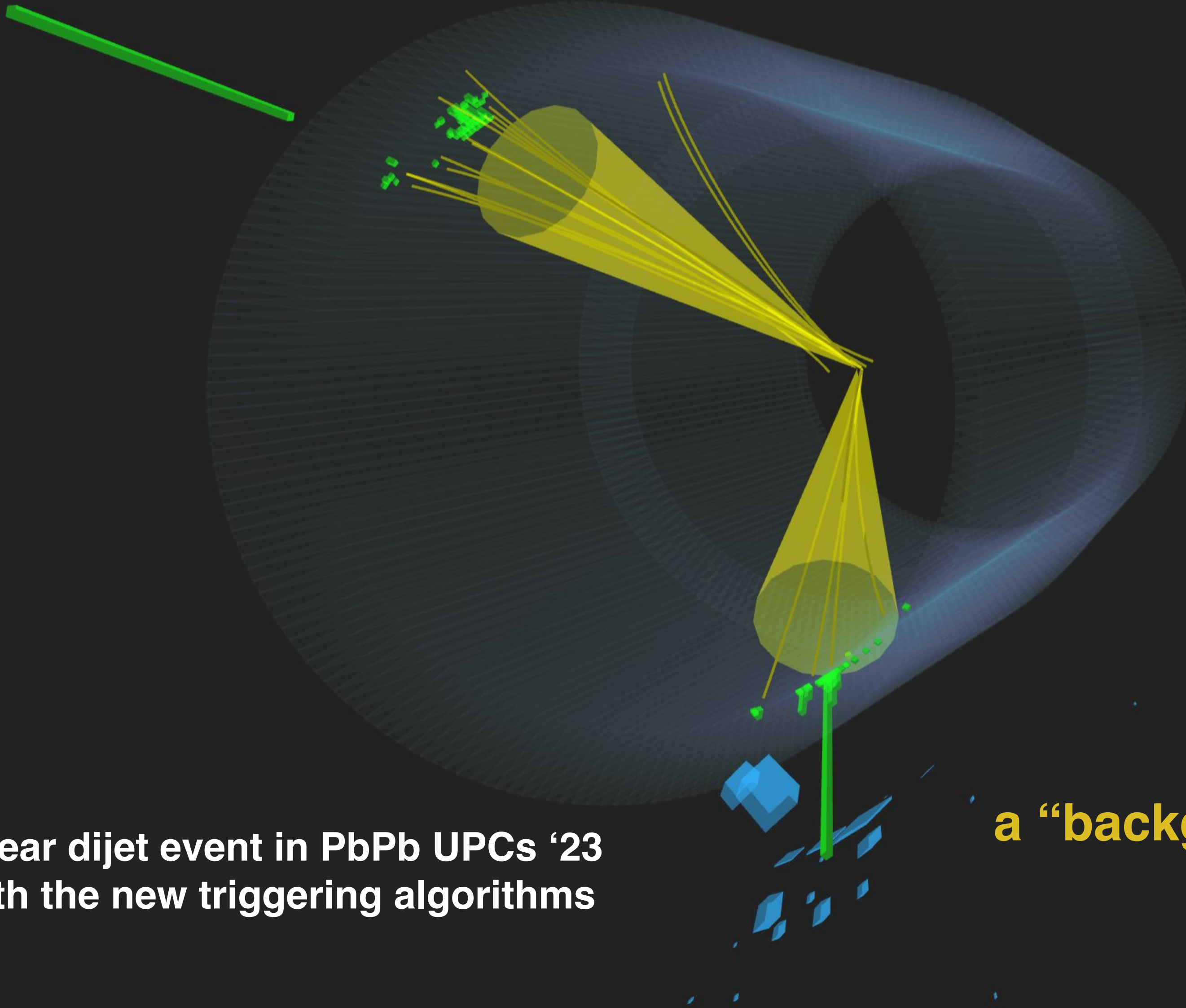
Improved algorithms being optimized for the 2024 data-taking period



CMS Experiment at the LHC, CERN

Data recorded: 2023-Oct-10 05:24:04.000512 GMT

Run / Event / LS: 374925 / 591414336 / 646

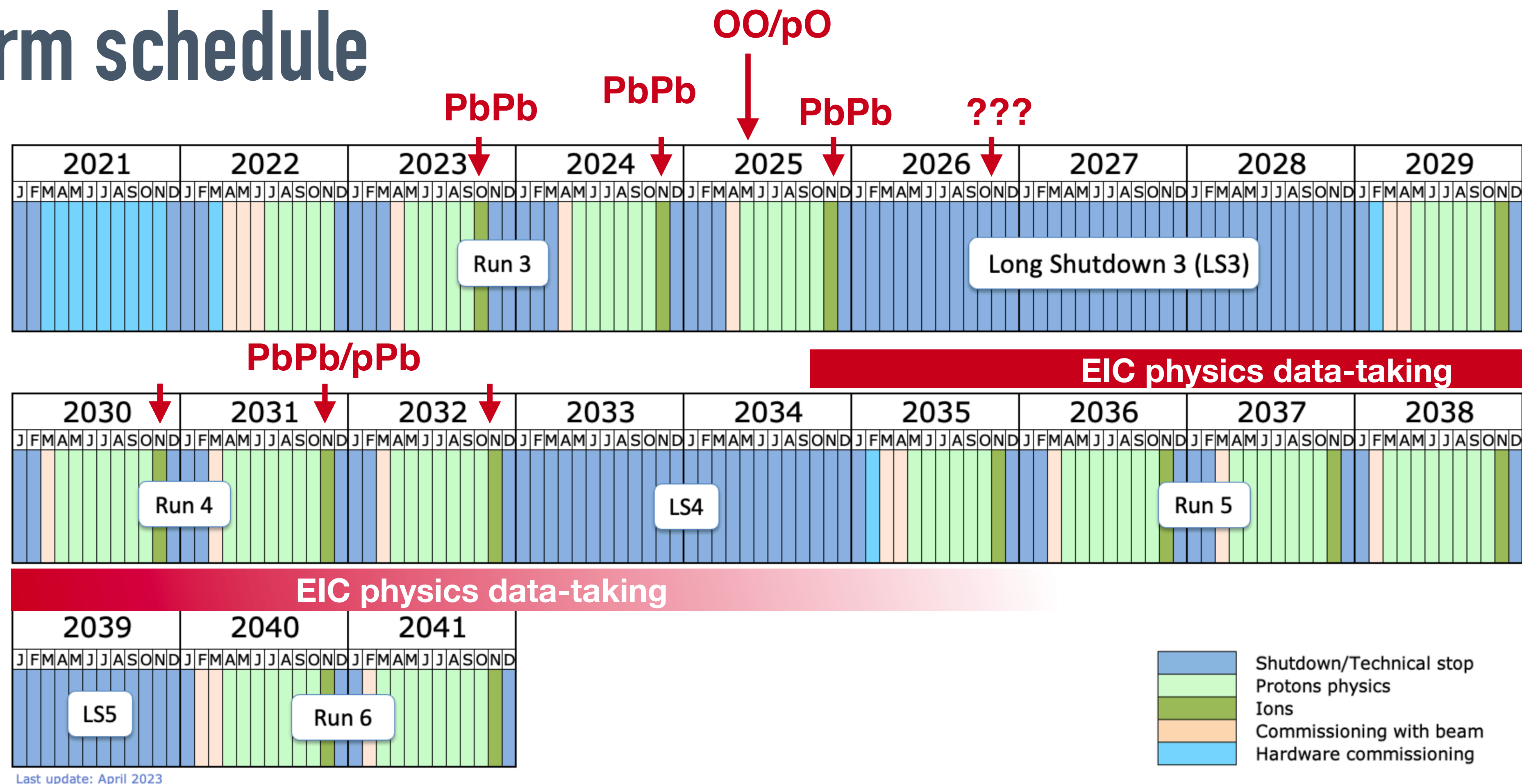


A photonuclear dijet event in PbPb UPCs '23
collected with the new triggering algorithms

a “background-less” forward dijet event

Short and long-term prospects with CMS at the LHC and HL-LHC

LHC long-term schedule



Last update: April 2023

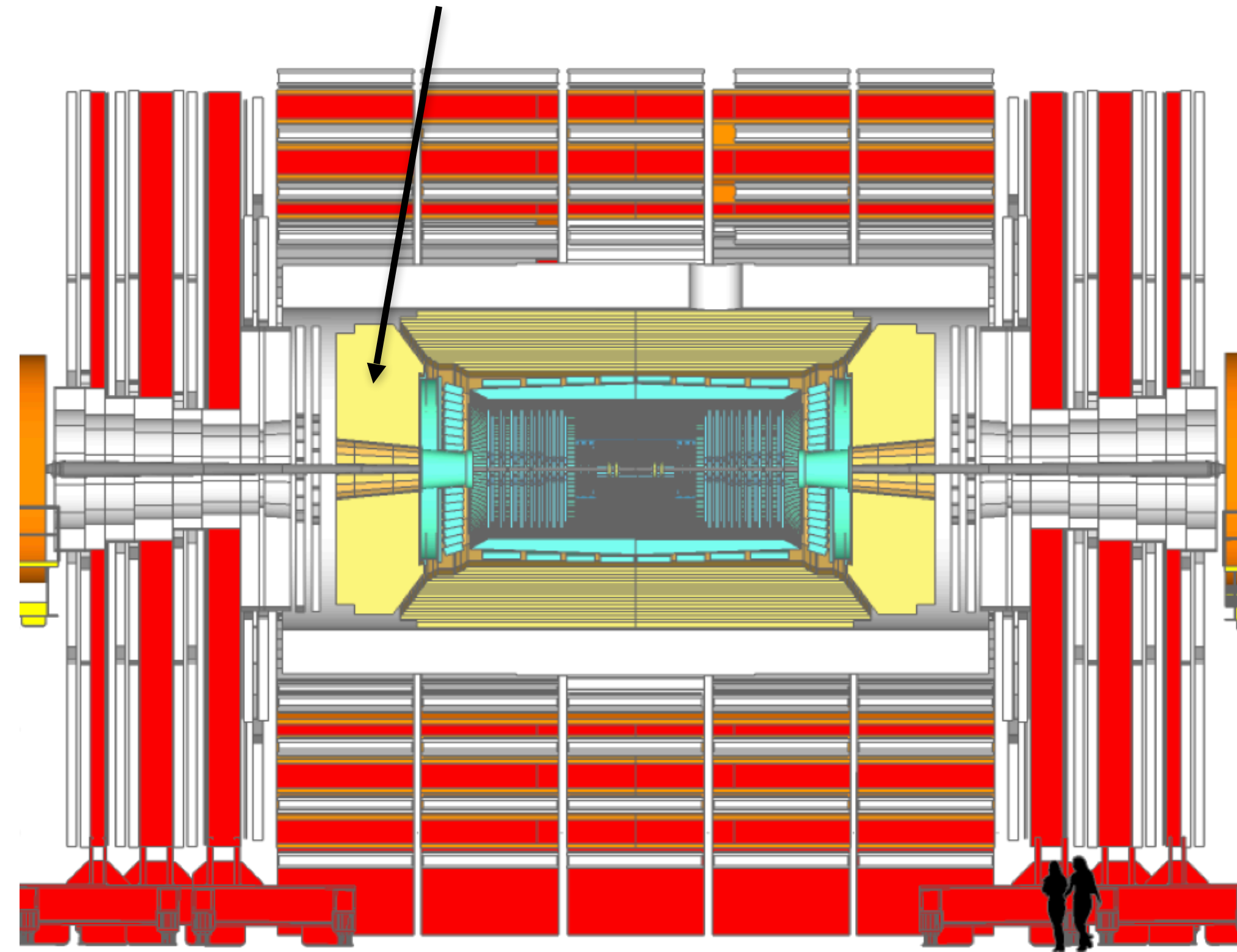
- **About a week of OO/pO in 2025:** statistics is enough for very soft-probe measurements, assessing quenching in small systems
→ we would need a few weeks of data to constrain nPDFs with EM probes or high-accuracy heavy-quark probes
- **Additional run in Run 3? pPb in Run 4?**
- **Inputs for Run 5/6 from the “parton-structure” community?**
→ this is a crucial topic since LHC Run 5+6 is in parallel with the EIC data taking!

The upgraded CMS detector for Run 4 (Phase II)

Trigger / HLT / DAQ

- L1/HLT rate x7.5
- DAQ: 6 → 60 GB/s
- **tracking capabilities at Level-1**
 - possibility of sampling the entire delivered luminosity of UPCs with negligible trigger biases

New endcap calorimeters (HGCal)
Unprecedented granularity $|\eta| < 3$



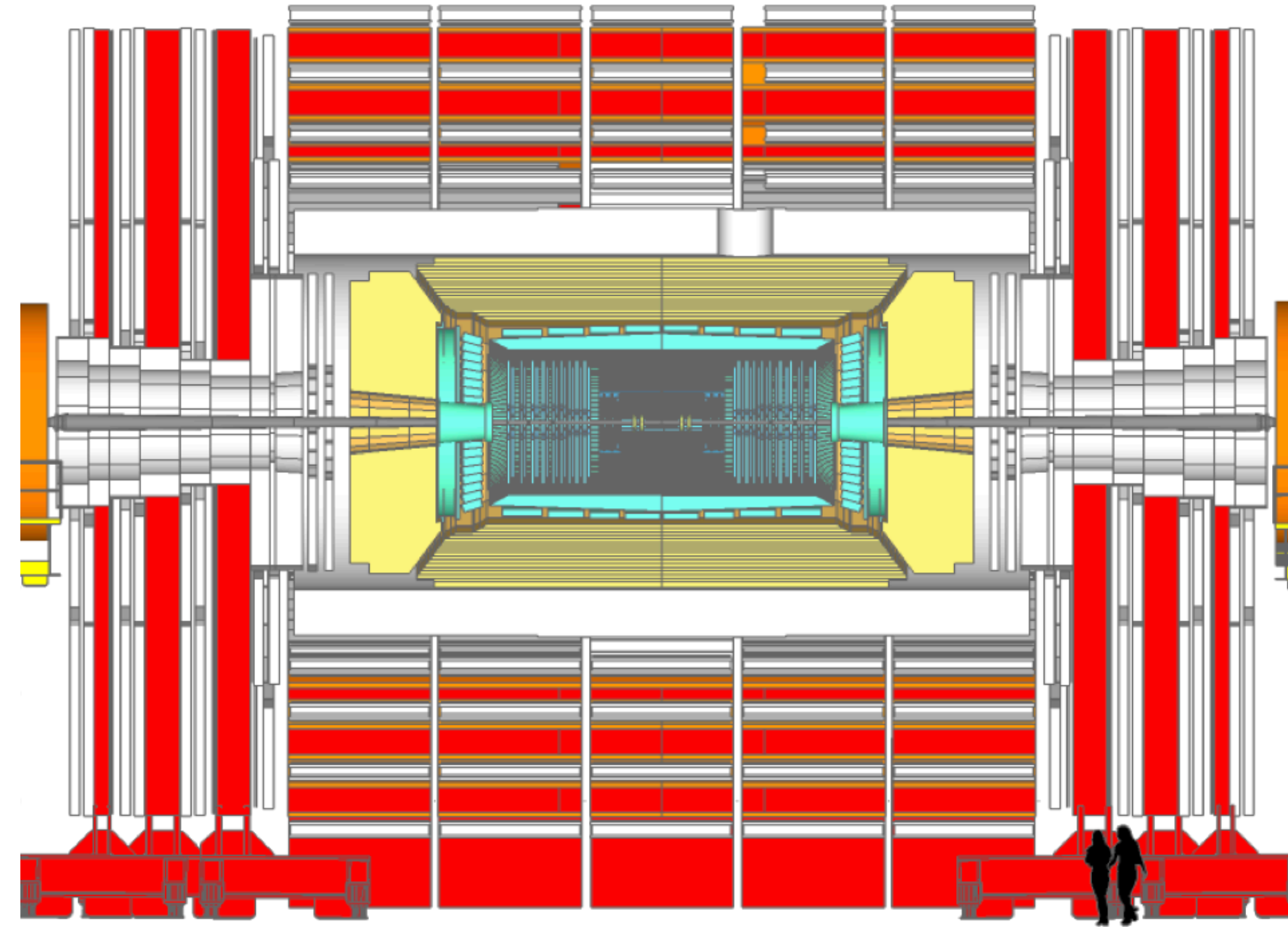
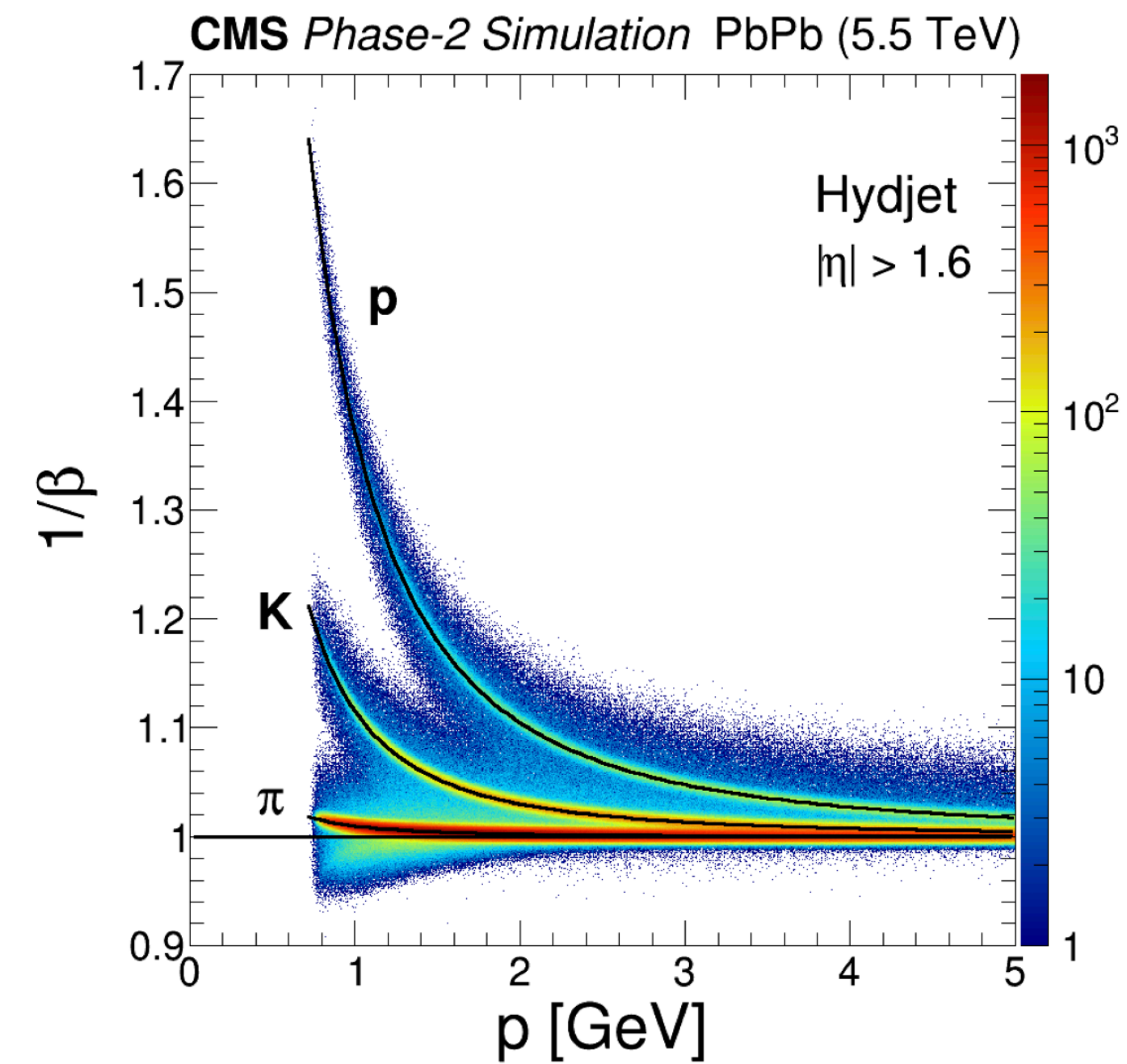
CMS, [CERN-LHCC-2019-003](#)
CMS, [CMS-TDR-014](#)

The upgraded CMS detector for Run 4 (Phase II)

New MIP Timing Detector (MTD)

Precision timing $|\eta| < 3$

Particle Identification over several units of η !



CMS, [CERN-LHCC-2019-003](#)
CMS, [CMS-TDR-014](#)

The upgraded CMS detector for Run 4 (Phase II)

New MIP Timing Detector (MTD)

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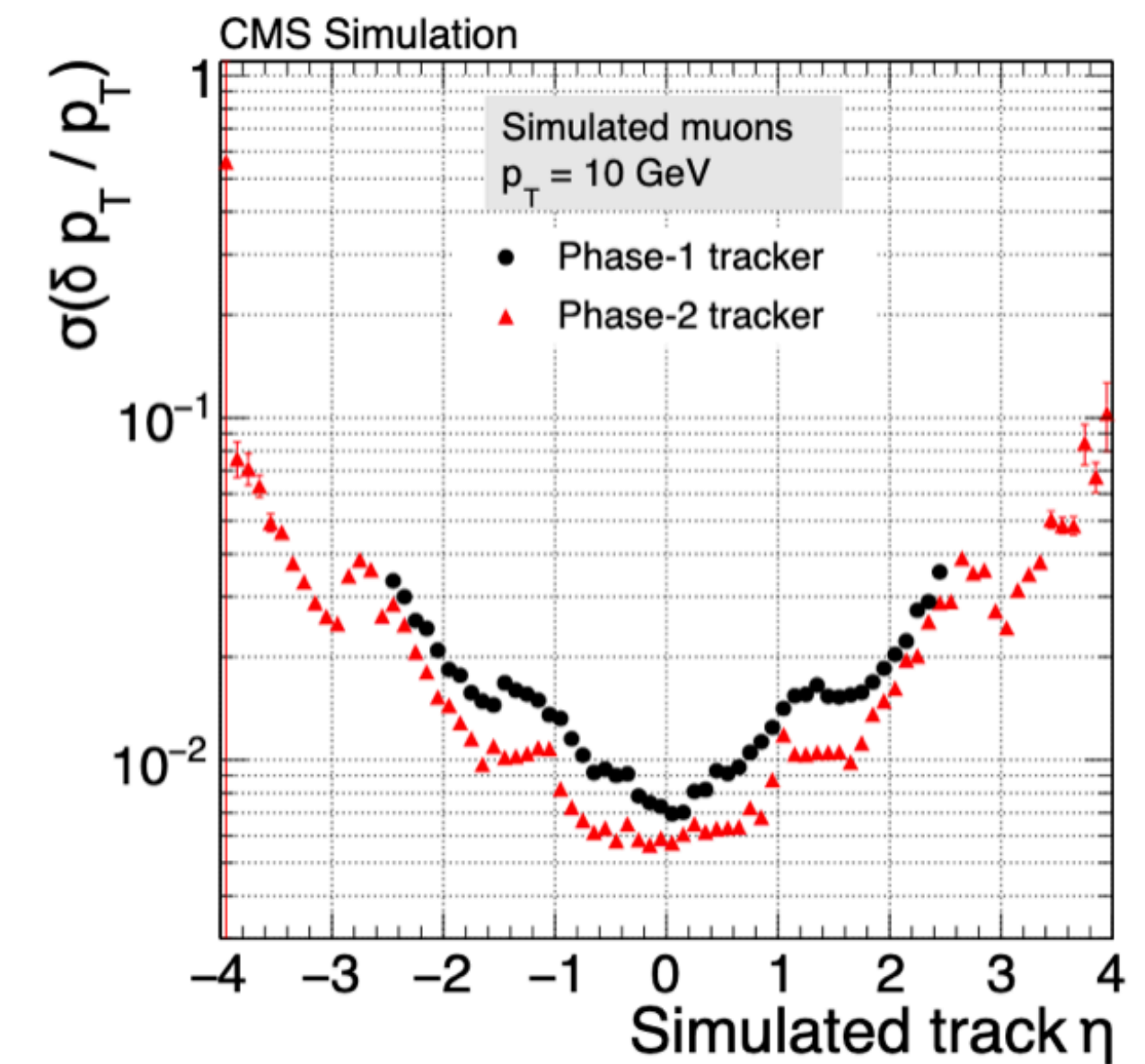
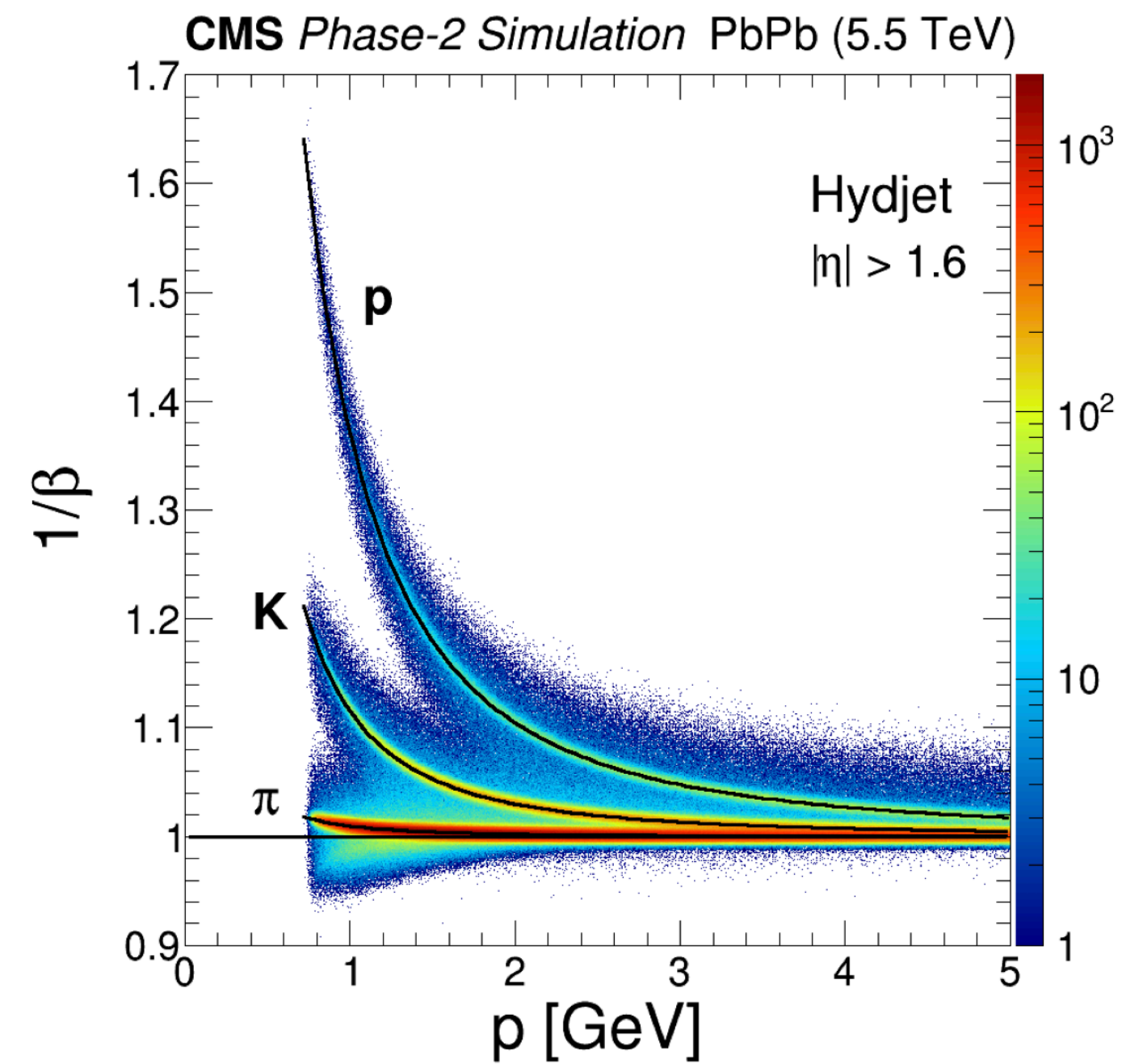
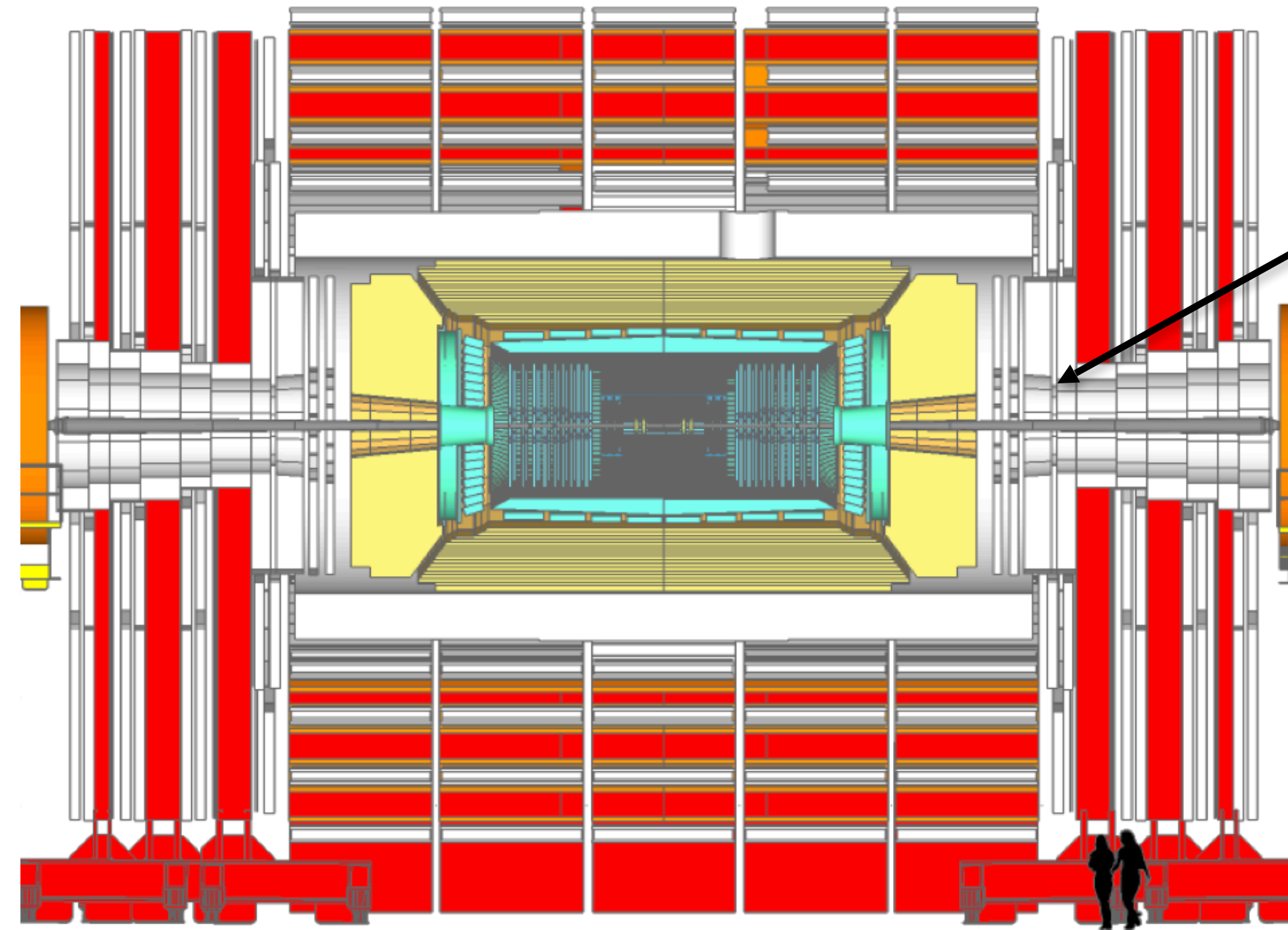
Particle Identification over several units of η !

New silicon tracker

Improved granularity

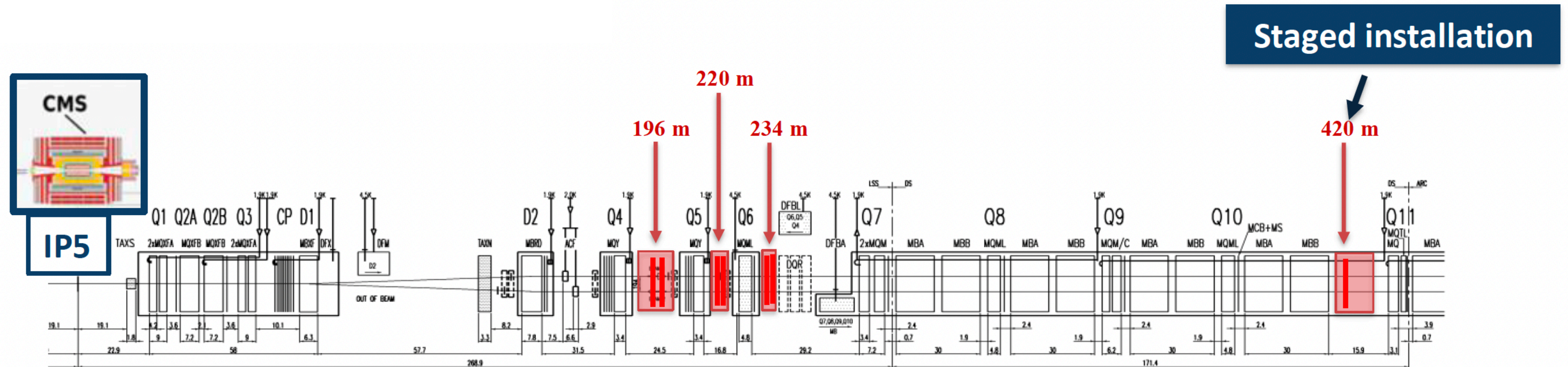
Lighter material budget

$|\eta| < 2.4 \rightarrow |\eta| < 4$



Upgraded Precision Proton Spectrometer (Run 4 and 5)

Basic working principle of the PPS: Protons which lose a fraction of momentum at the interaction point ($\xi = \Delta p/p$) are deflected away from the beam and measured by PPS → **direct measure of the $\xi = \Delta p/p$**



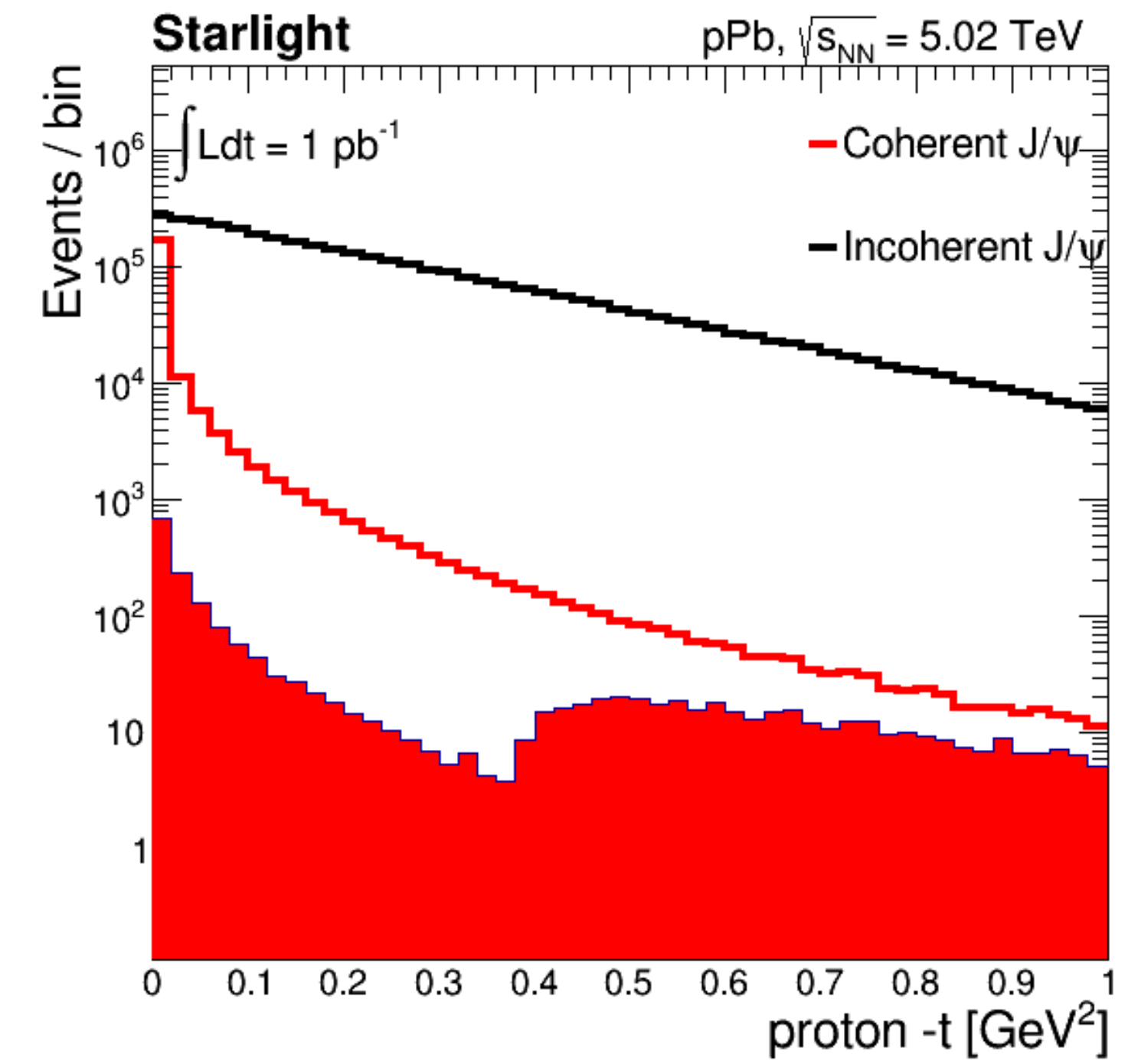
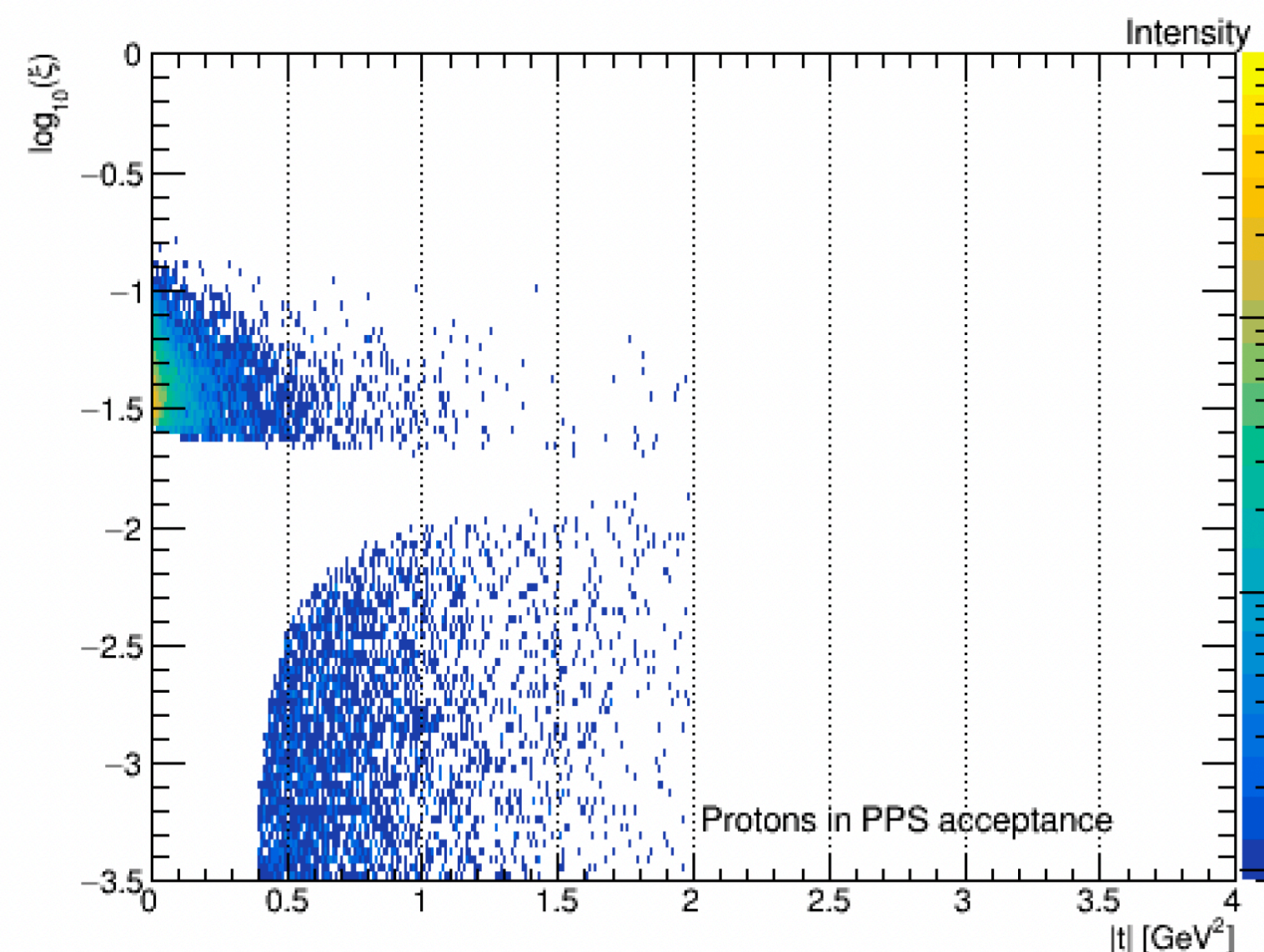
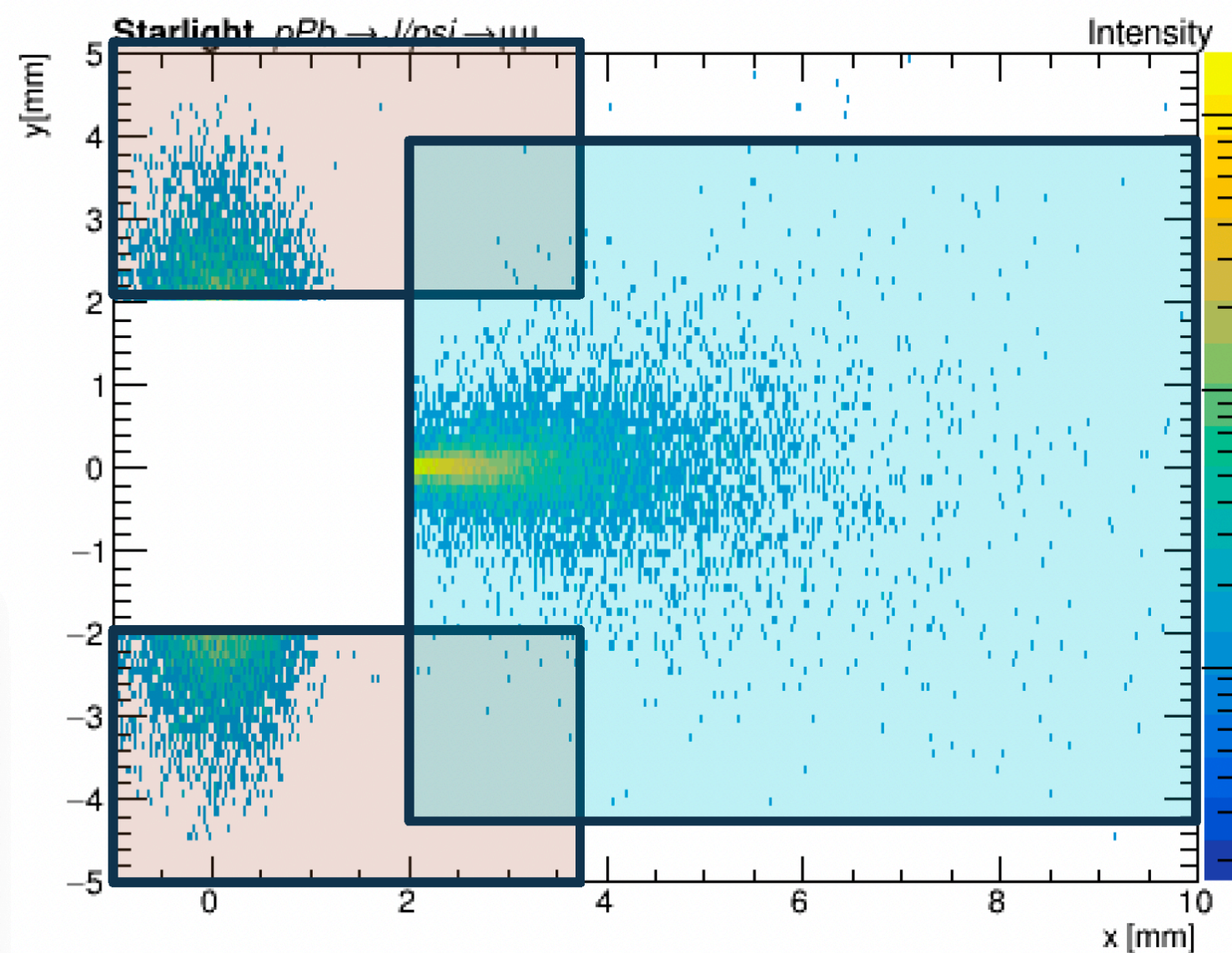
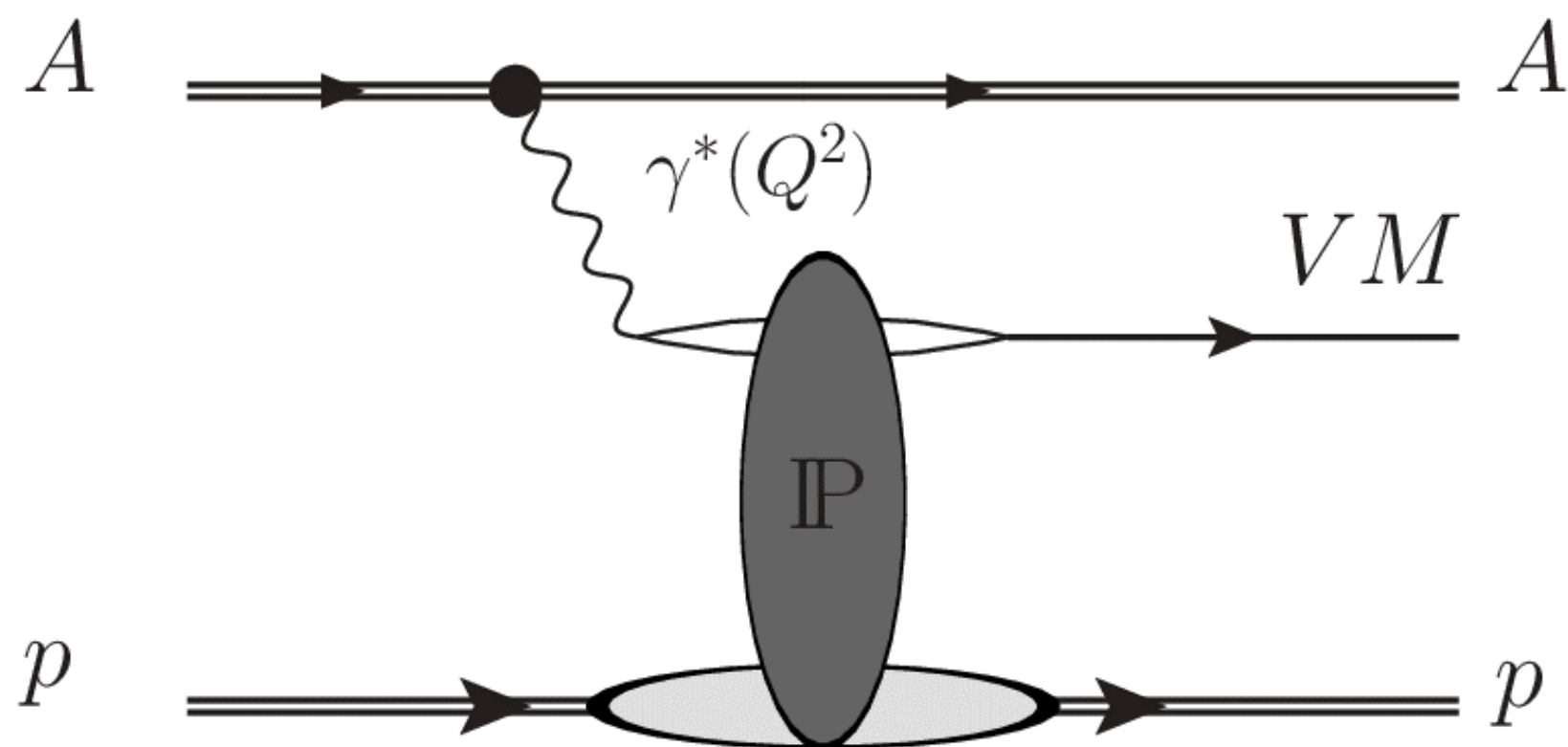
PPS upgrade will further extend the ξ acceptance of the existing PPS (already operational in Run 3)

- $1.42 < \xi < 20 \%$ for the first three stations (from Run 4)
- $0.33 < \xi < 20 \%$ for the first three stations (from Run 5)

Highlight: exclusive vector-meson production in pA

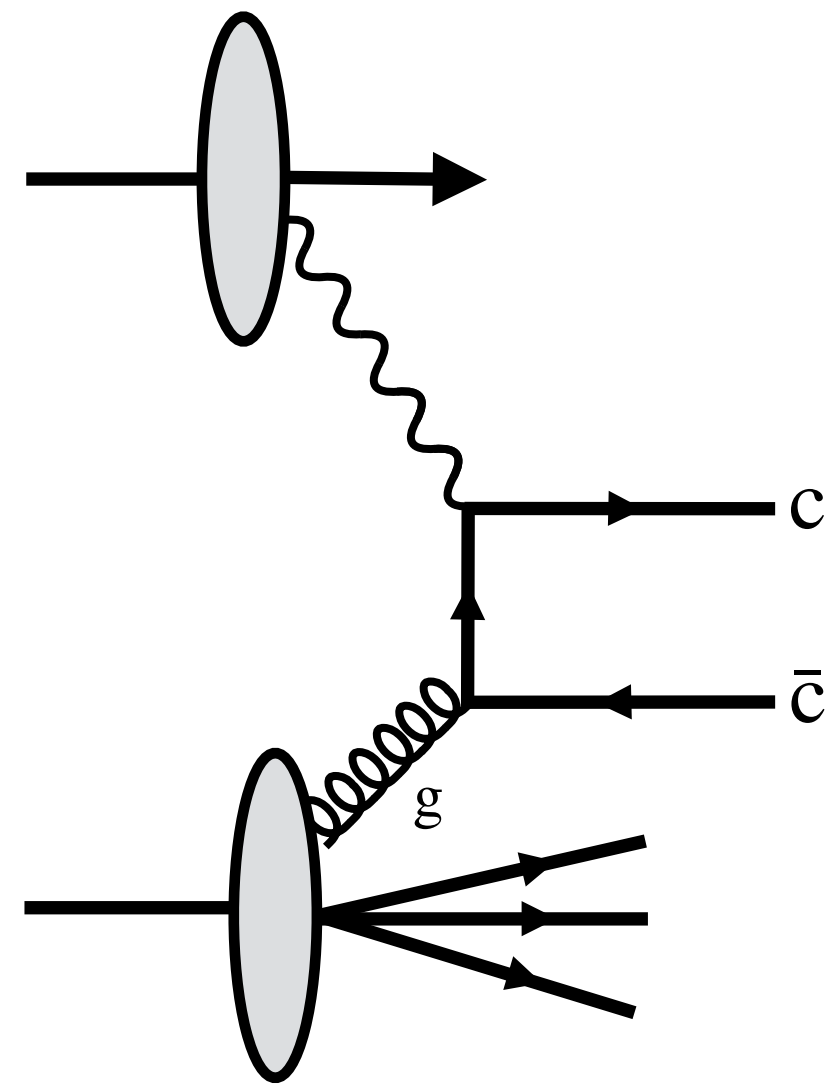
- Vector mesons (Spin 1) are produced in $\gamma - IP$ interactions
- Ions emit a photon at $Q^2 \sim 0$
- In coherent production, the proton remains intact

→ PPS would provide high-accuracy tagging of coherent processes
 → Similar technique applicable to exclusive dijet production

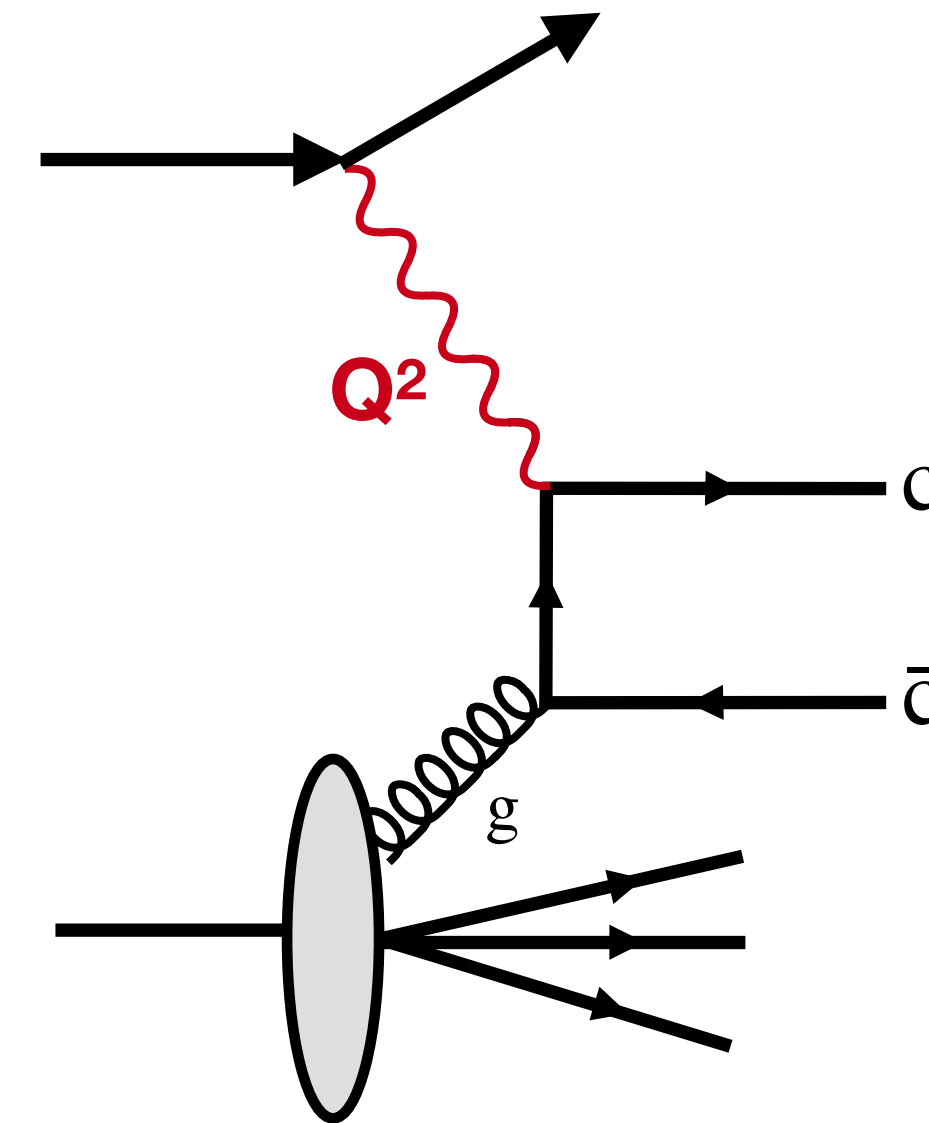


→ Proof of principle for proton (and ion) tagging with the upcoming pO/OO run (scheduled for 2025)

Synergies and complementarities with the EIC program



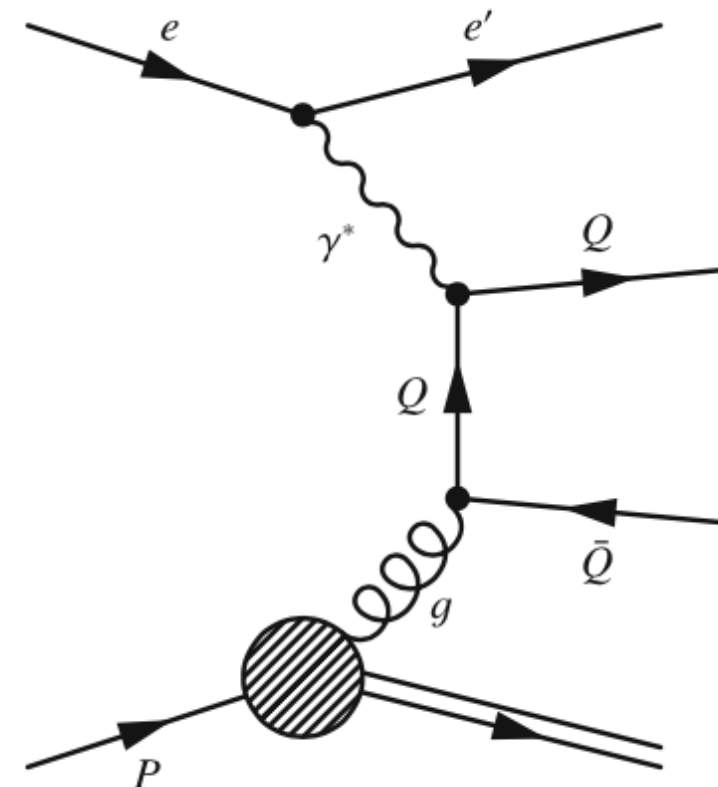
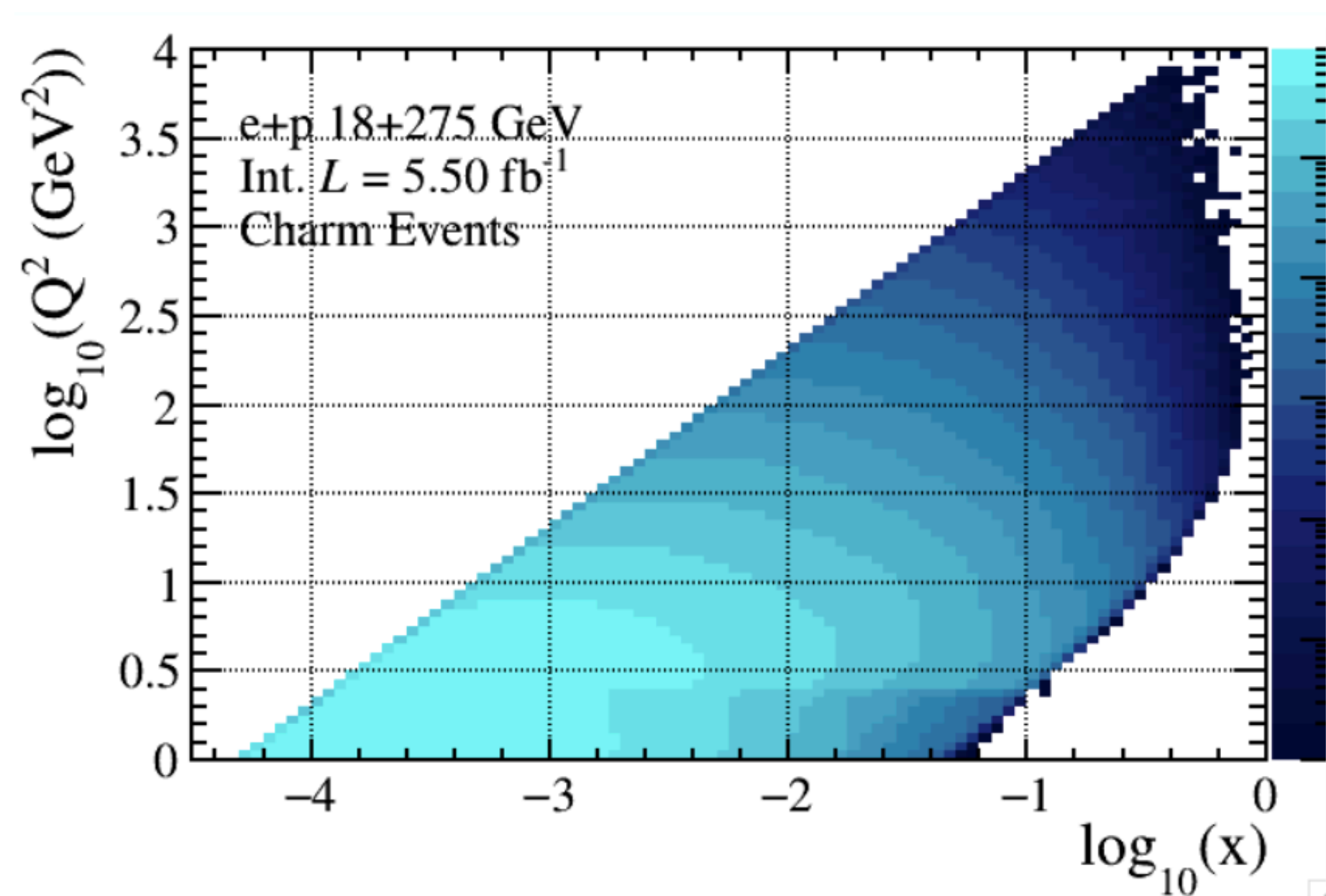
UPC at the LHC
→ very low x reach



EIC → control on the photon virtuality (Q^2)
and the scale of the interaction

Heavy-flavor and jets at the Electron-Ion Collider

B.S. Page et al. *Phys. Rev. D* 101, 072003
H. T. Li and I. Vitev, *Phys. Rev. Lett.* 126, 252001
EIC, BNL-98815-2012, arXiv:1212.1701



**Inclusive heavy-flavor measurements in ep/eA collisions
(J/ψ , open charm, and beauty jets)**

→ gluon (n)PDFs down to moderate/low x_{BJ}

→ **evolution equations beyond DGLAP?**

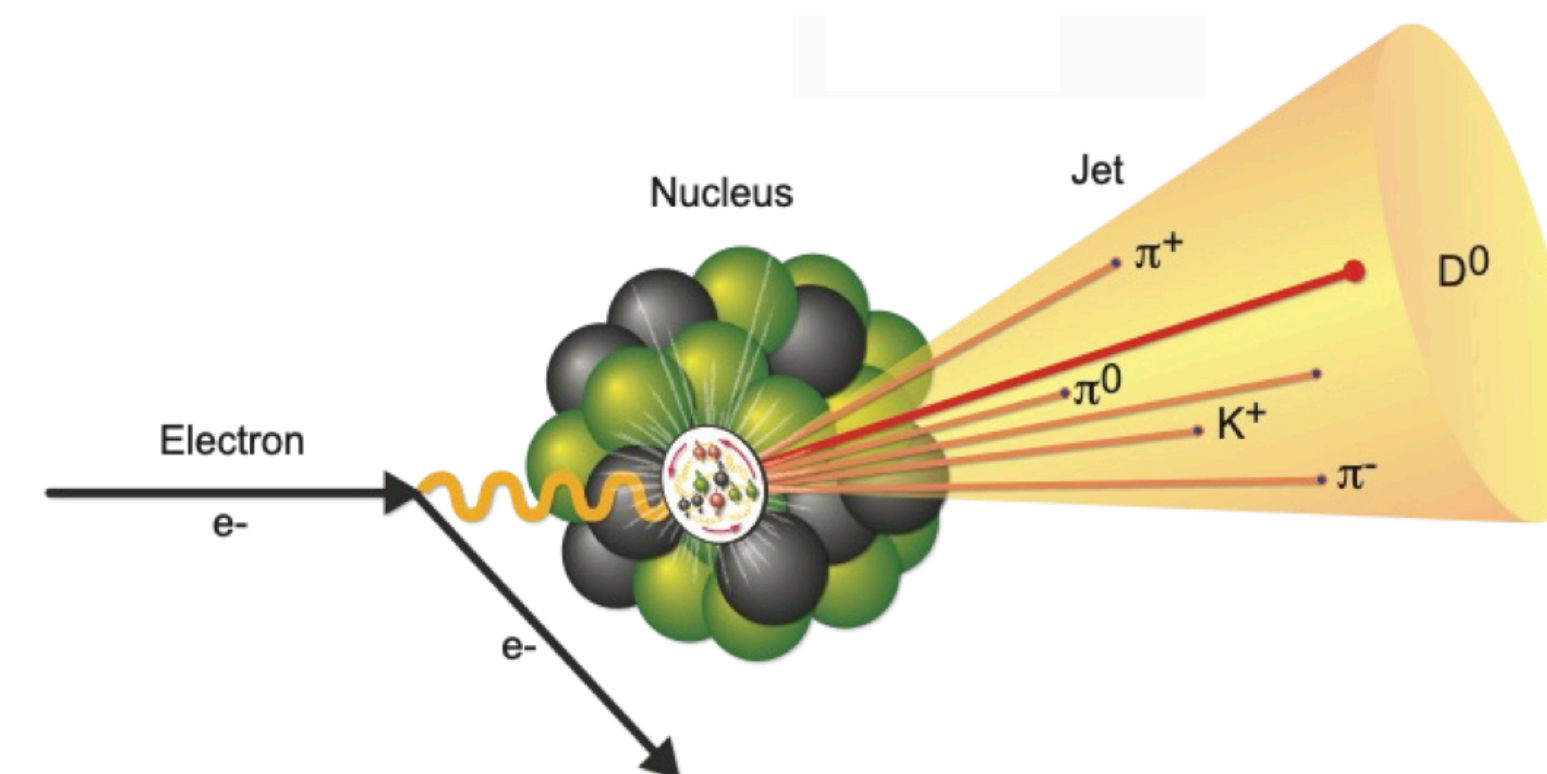
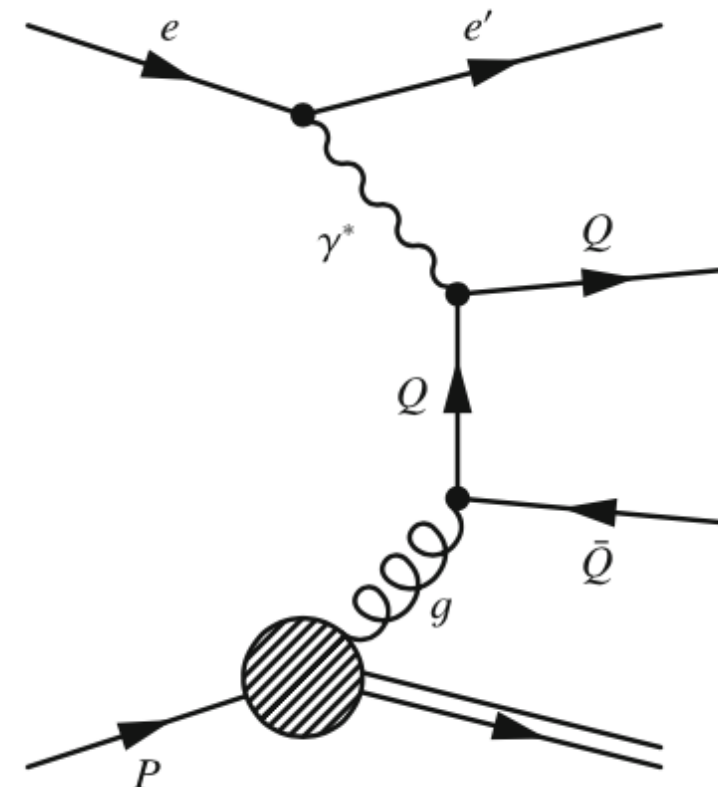
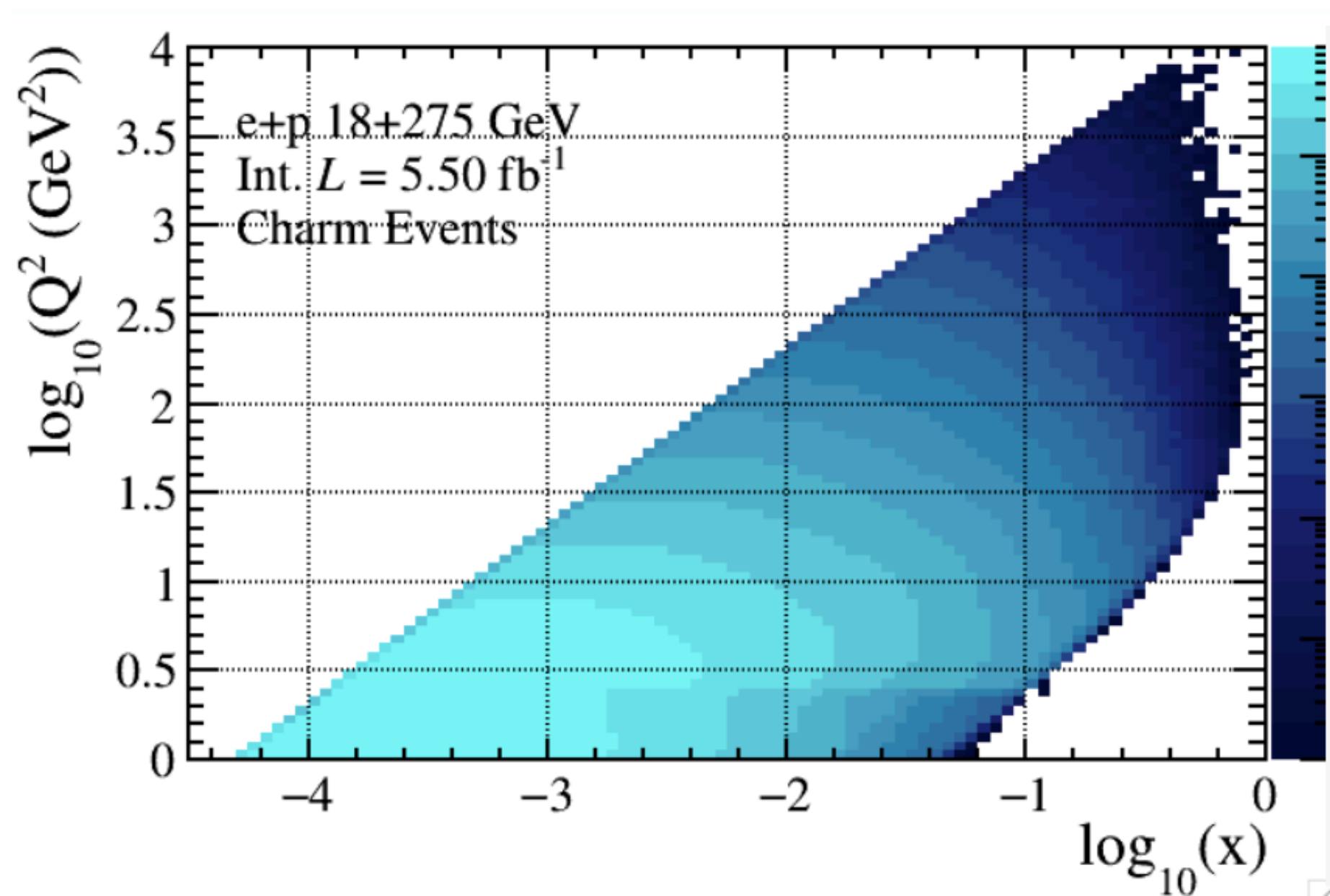
$D\bar{D}$ correlations:

→ access to gluon TMDs

→ **nuclear structure beyond the collinear limit**

Heavy-flavor and jets at the Electron-Ion Collider

B.S. Page et al. *Phys. Rev. D* 101, 072003
 H. T. Li and I. Vitev, *Phys. Rev. Lett.* 126, 252001
 EIC, BNL-98815-2012, arXiv:1212.1701



Inclusive heavy-flavor measurements in ep/eA collisions (J/ψ, open charm and beauty, jets)

- gluon (n)PDFs down to moderate/low x_{BJ}
- **evolution equations beyond DGLAP?**

D \bar{D} correlations:

- access to gluon TMDs
- **nuclear structure beyond the collinear limit**

Heavy-quark jet production and substructure in ep/eA:

- **parton-propagation inside the “cold” nuclear matter**
- parton-shower evolution in a vacuum-like environment

Heavy-flavor hadrochemistry and collectivity:

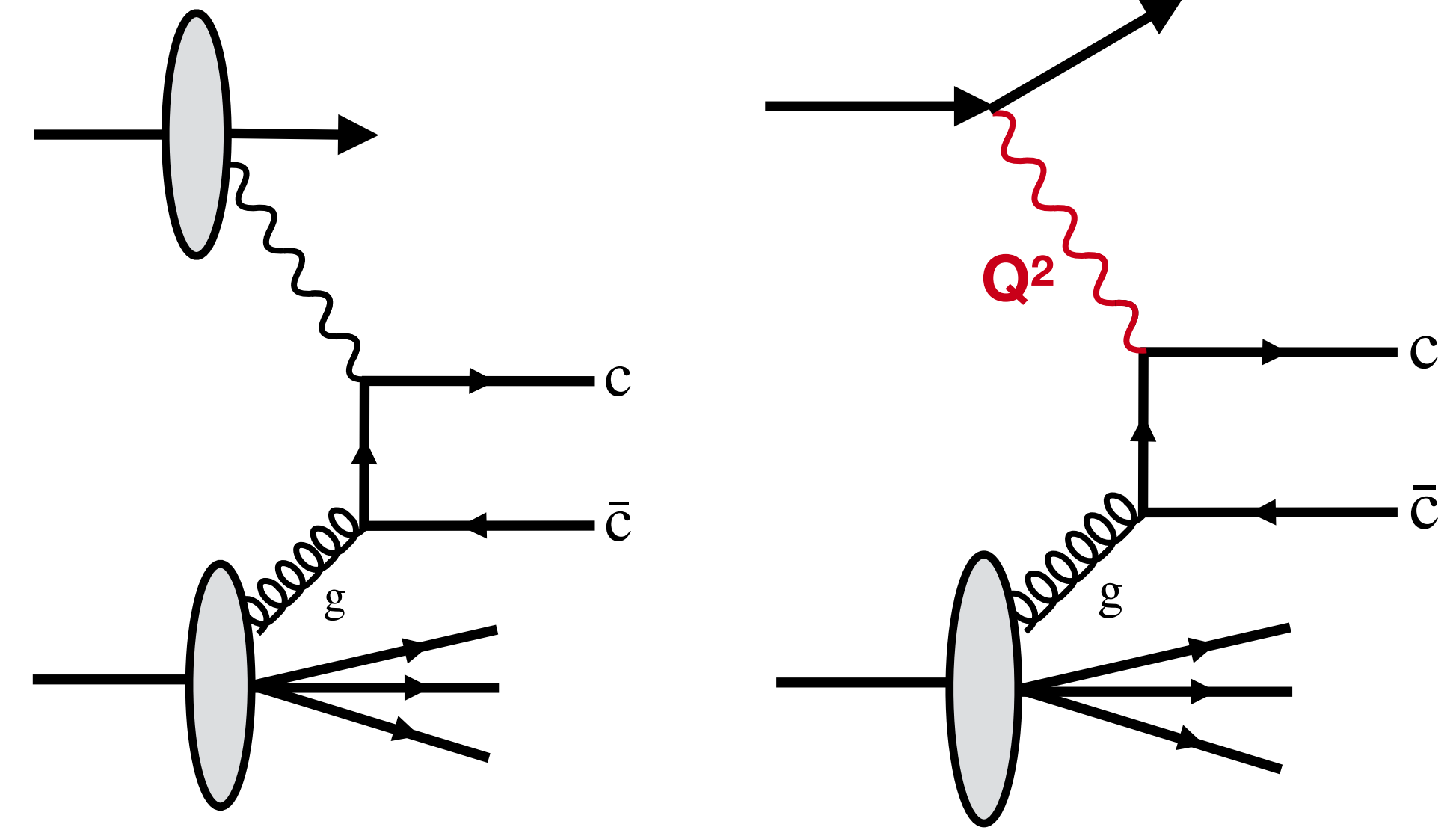
- hadronization modification in cold-nuclear matter
- **what is the time scale of hadronization?**

HL-LHC and EIC for a multi-scale exploration of nuclear matter

CMS has a solid program for exploit pPb and PbPb to study nuclear matter down to low x in protons and nuclei with Run 3 data and with future heavy-ion runs at the HL-LHC.

LHC + RHIC + EIC → comprehensive understanding of QCD phenomena

- complementary kinematic regions (x, Q^2)
- different experimental/theoretical advantages

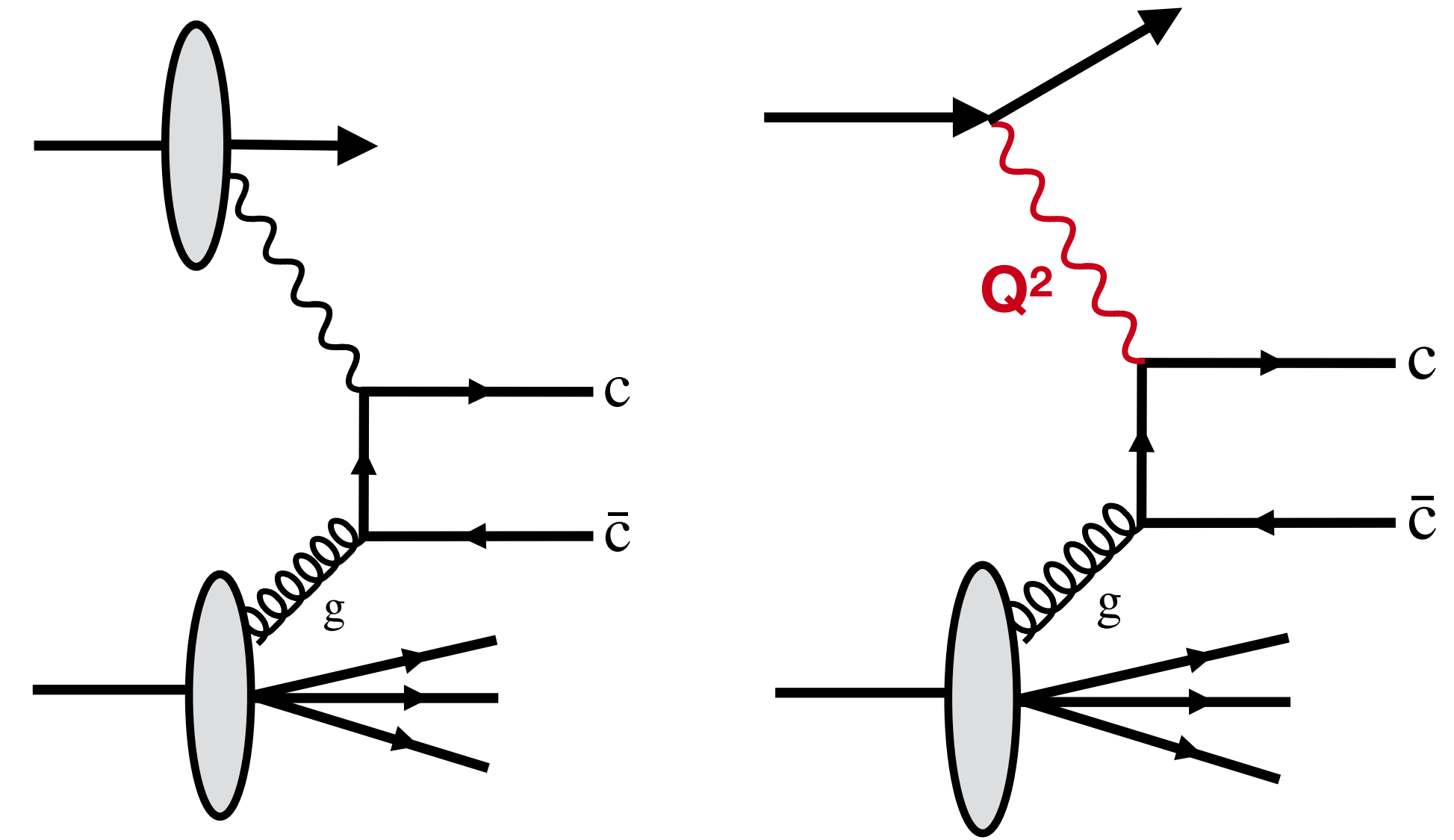


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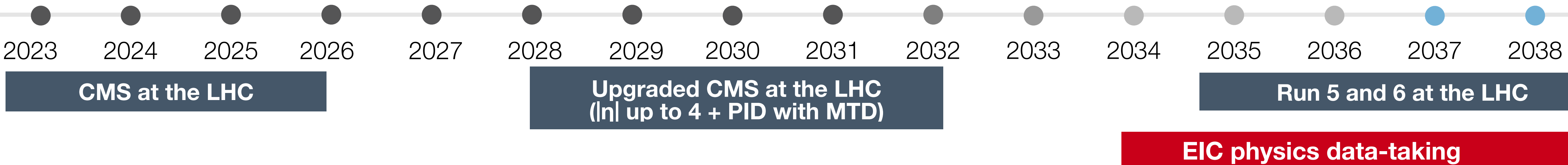
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With the LHC heavy-ion programs, and in particular with UPC collisions:

- building and supporting the development of the experimental and theoretical tools for EIC
- **we need theory support to develop this program and to solve some of the existing theoretical questions/limitations**

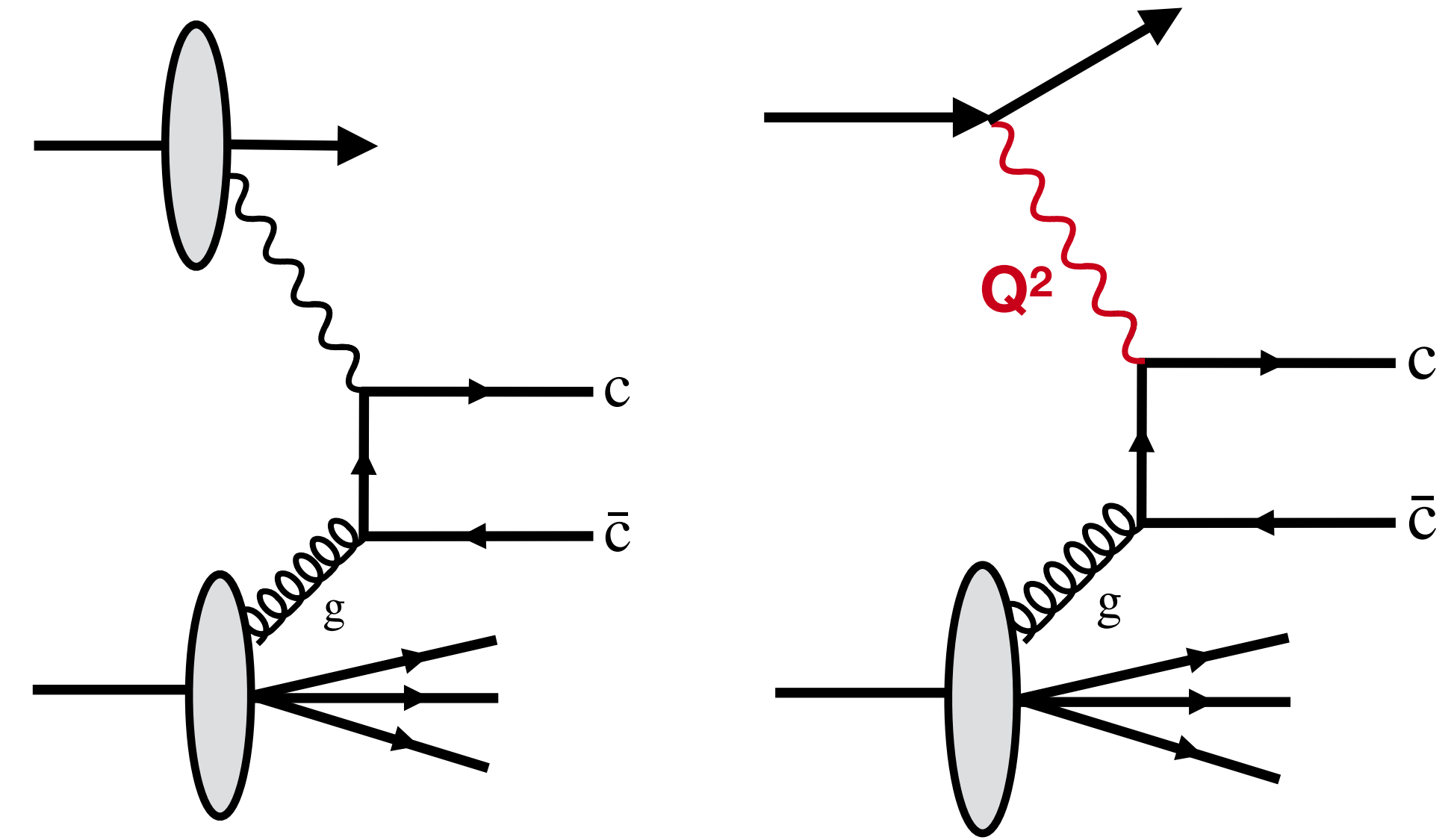


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Thank you for your attention!

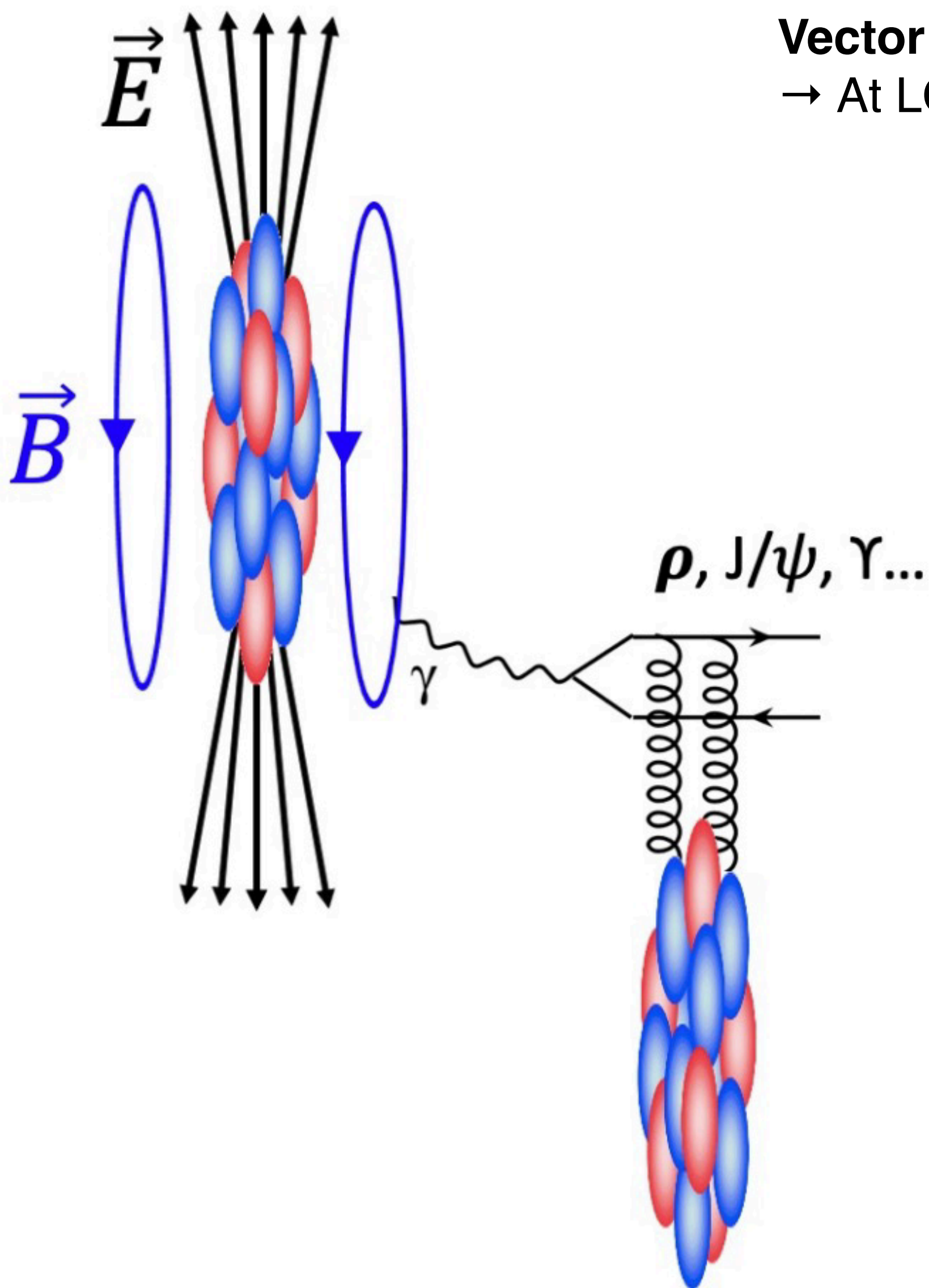
BACKUP

BACKUP: J/ ψ

Vector-meson photoproduction in UPC

Vector mesons (VM) probe gluonic structure of nucleus and nucleon.

→ At LO in pQCD, cross section \sim photon flux \otimes $[xG(x)]^2$



Coherent production ($\langle p_T \rangle \sim 50$ MeV)

- Photon fluctuated dipole couples coherently to entire nucleus
- Target nucleus remains intact
- VM $\langle p_T \rangle \sim 50$ MeV
- Probing the averaged gluon density

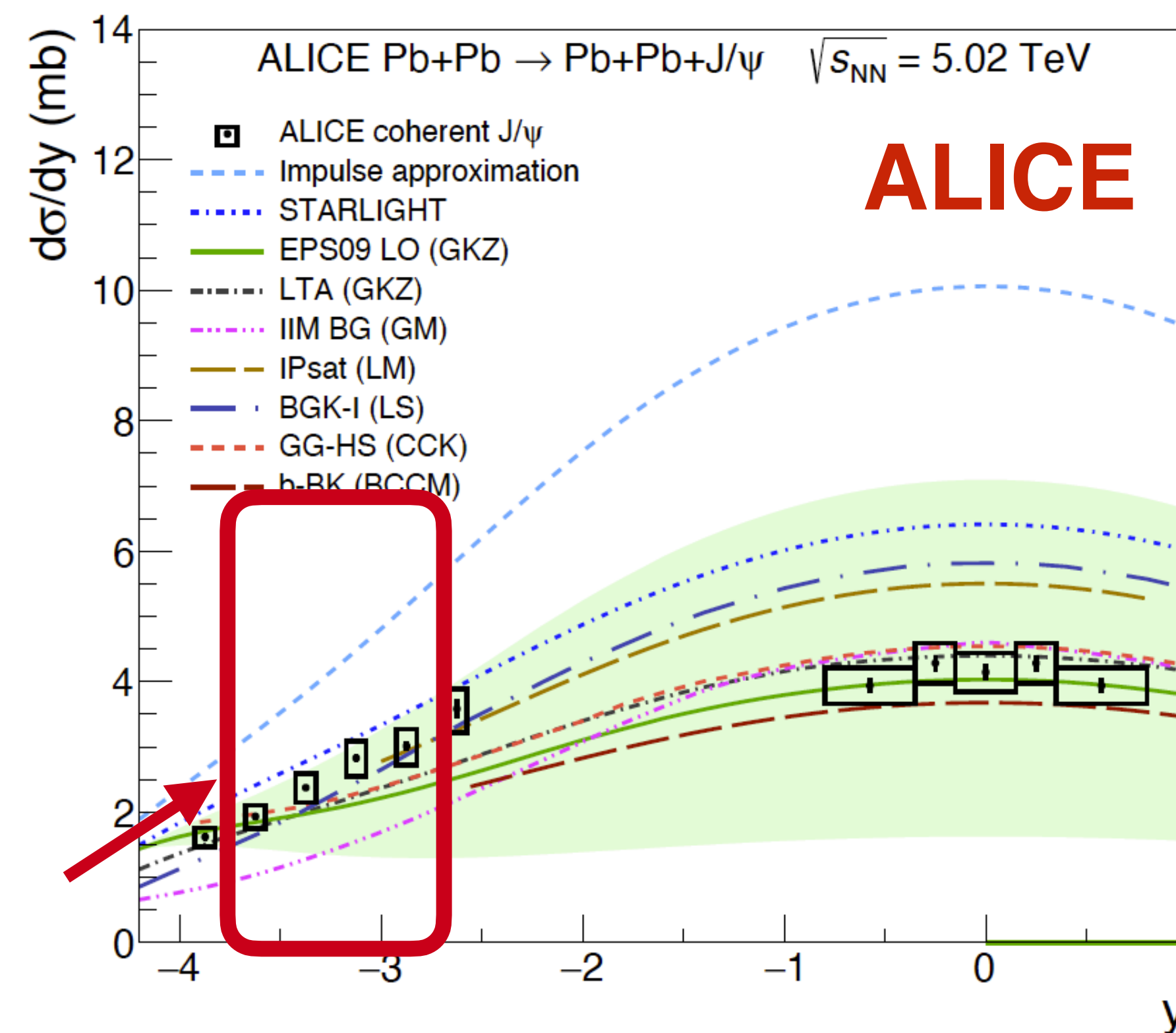
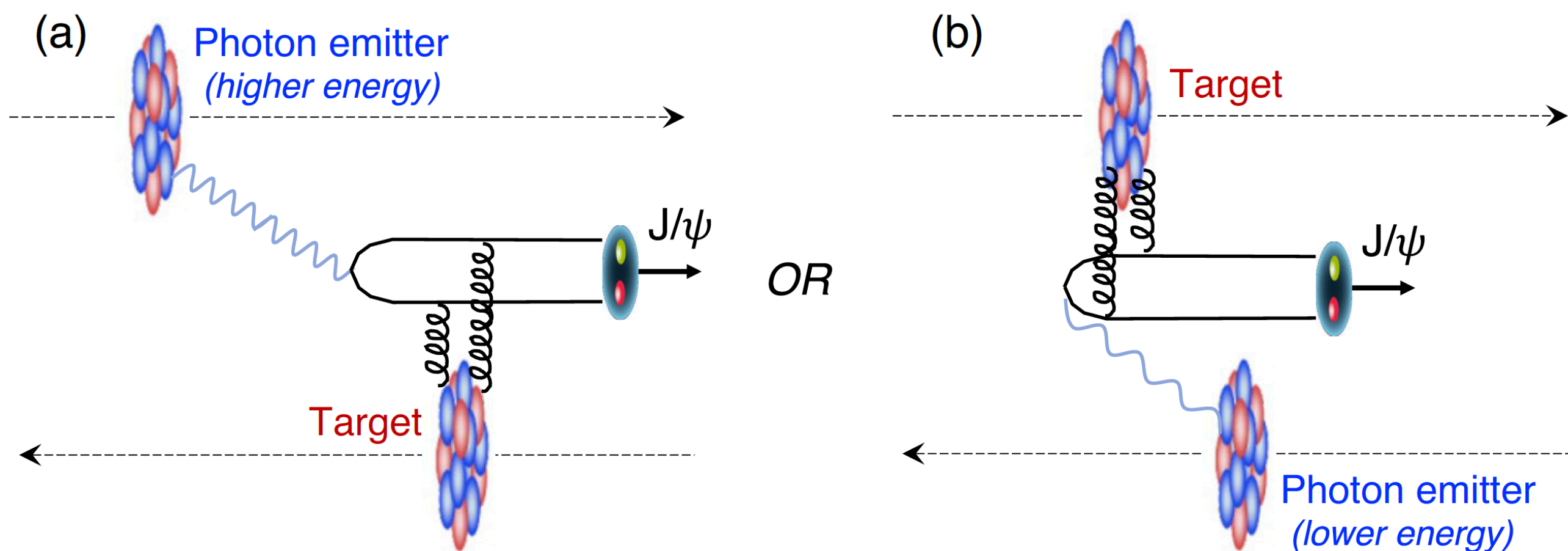
Incoherent production VM ($\langle p_T \rangle \sim 500$ MeV)

- Photon fluctuated dipole couples to individual nucleons
- Target nucleus usually breaks
- Probing the local gluon density fluctuation

Coherent J/ψ photoproduction in UPC PbPb collisions

$Q^2 \sim M_{cc}^2 \text{ GeV}^2$, $x_A \sim \text{shadowing}$
 (gluon PDFs)²
 - $4.0 < y^* < -2.5$ (forward)

→ access to gluon PDF in **absence of hadronic interactions**



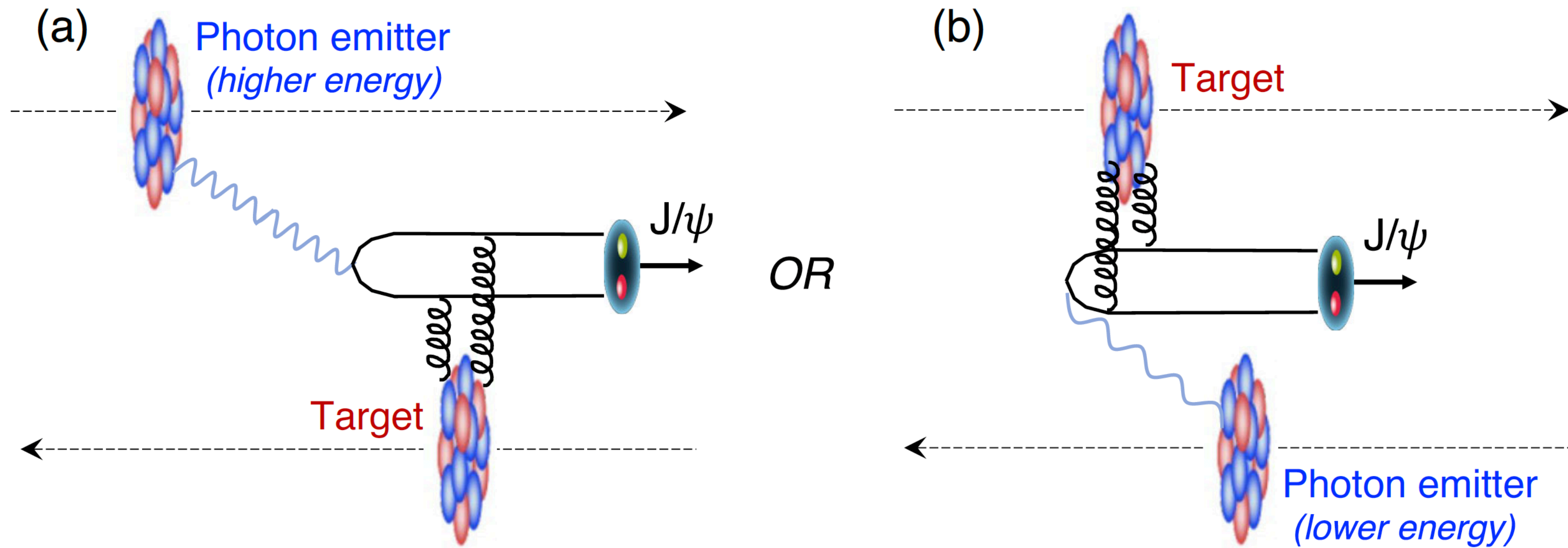
At fixed y , contributions from different x regions (higher and lower)

Two-way ambiguity can limit the constraining power due to large uncertainty on the determination of x !

- The initial direction of the photon is not fully defined

Solving the photon ambiguity with neutron information from ZDC

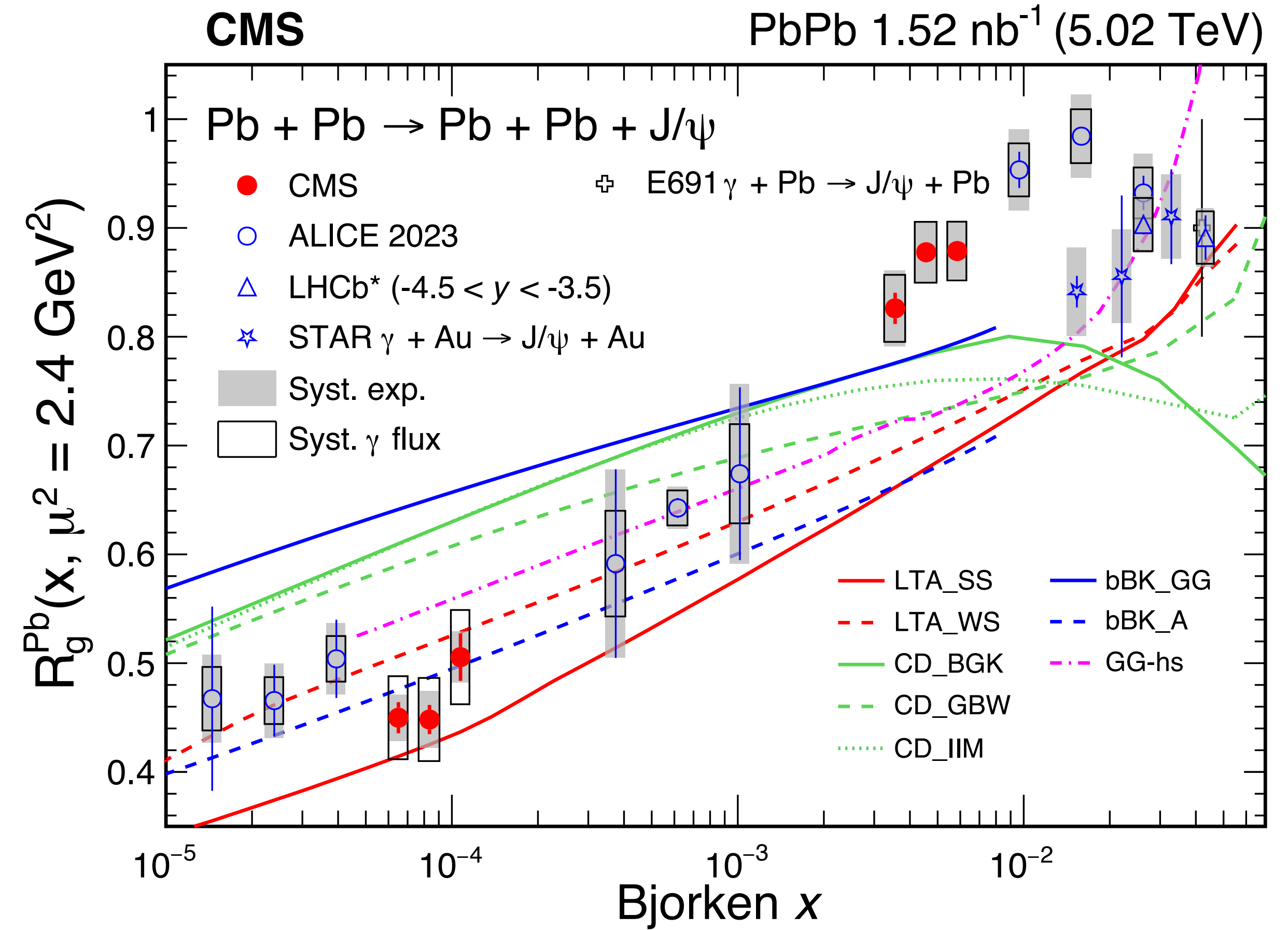
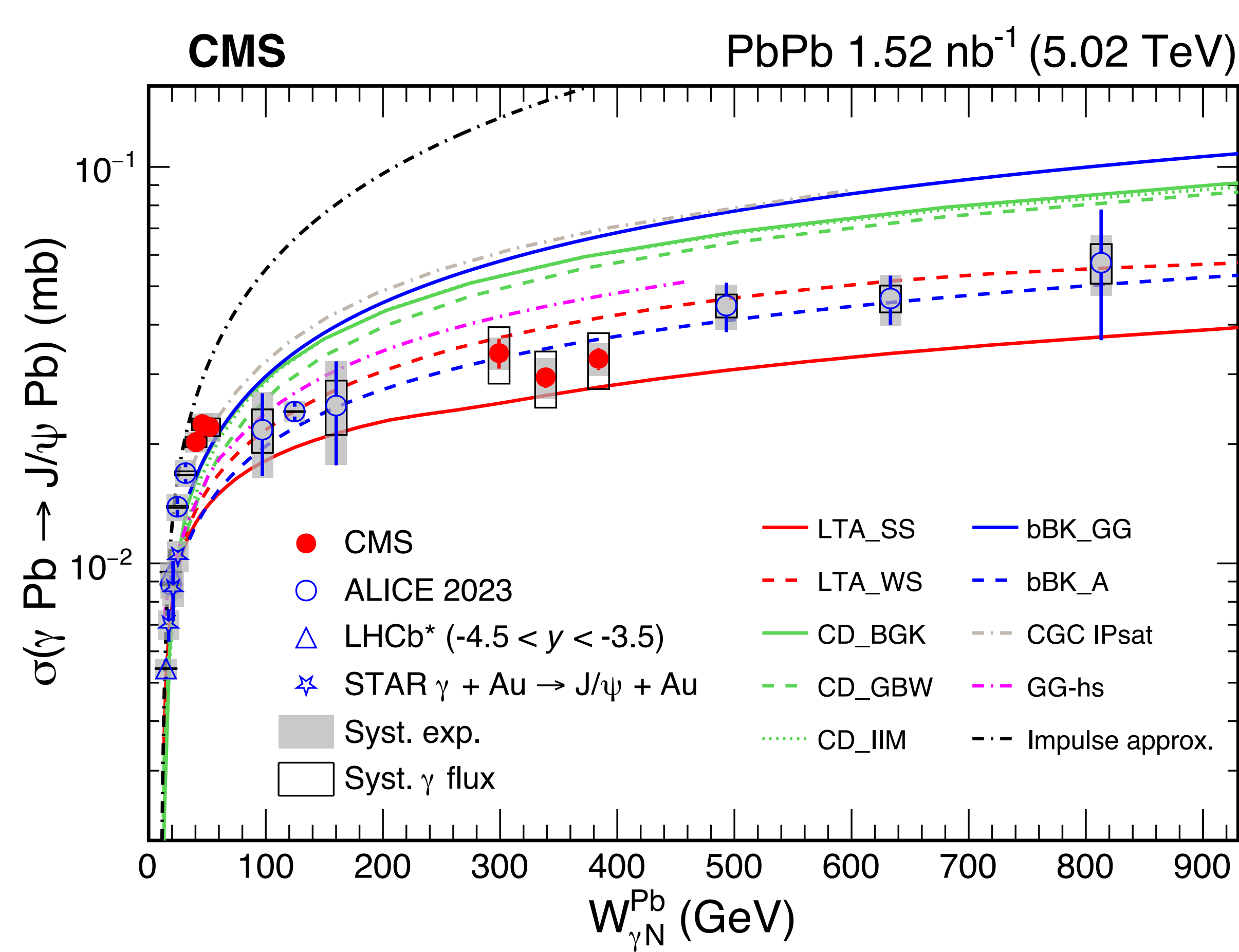
V. Guzey, M. Strikman, M. Zhalov, EPJC (2014) 72 2942



$$\frac{d\sigma_{J/\psi}^{injn}(y)}{dy} = n_{\gamma A}^{injn}(\omega_1) \sigma_{J/\psi}(\omega_1) + n_{\gamma A}^{injn}(\omega_2) \sigma_{J/\psi}(\omega_2)$$

- $injn = (0n0n, 0nXn, XnXn)$
- $\omega_{1,2} = \omega_{1,2}(y)$ are the two possible photon energies
- $n_{\gamma A}(\omega)$ is the photon flux (from theory)
- $\sigma_{J/\psi}(\omega)$ is the coherent photoproduction cross section for a single γA interaction, averaged over a range of y

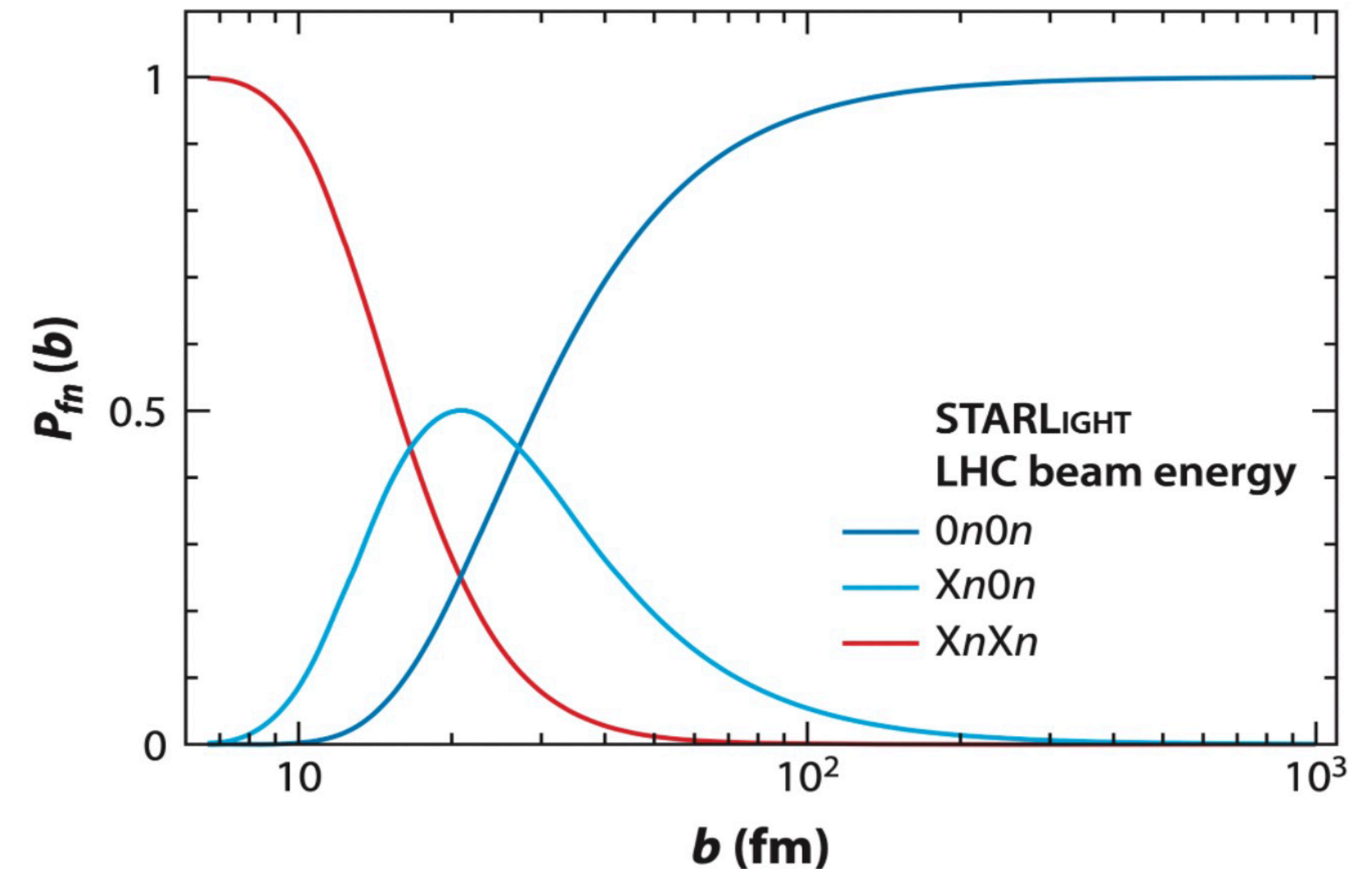
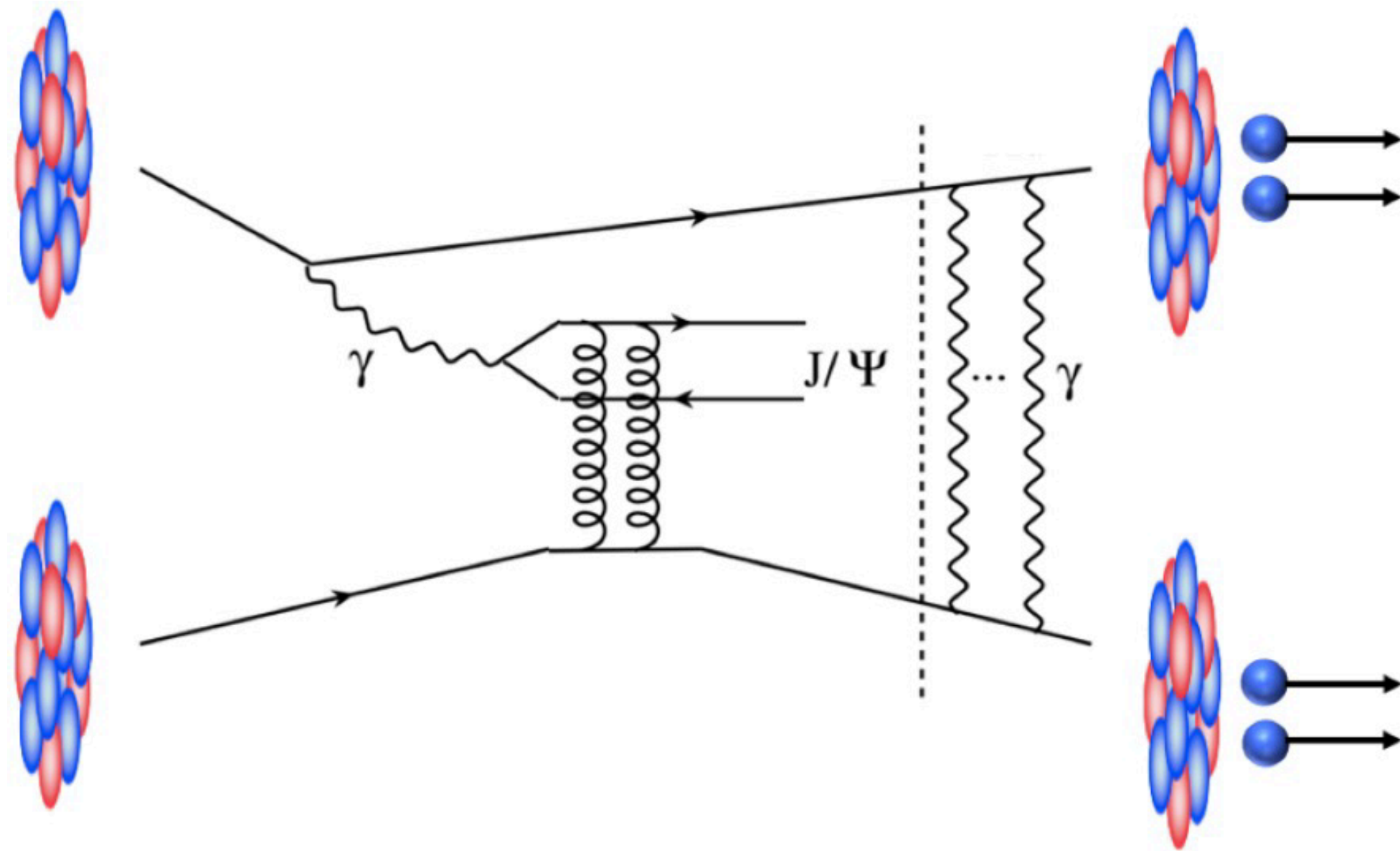
Coherent J/ψ in PbPb UPCs: CMS vs ALICE



Solving the photon ambiguity with neutron information from ZDC

Method in a nutshell (V. Guzey, M. Strikman, M. Zhalov, EPJC (2014) 72 2942)

- Rate of high energy photon flux is larger at smaller impact parameter
- impact parameter of the collision can be estimated by considering the magnitude of EM dissociation



EM dissociation (EMD) leads to neutron emission with additional photon exchange

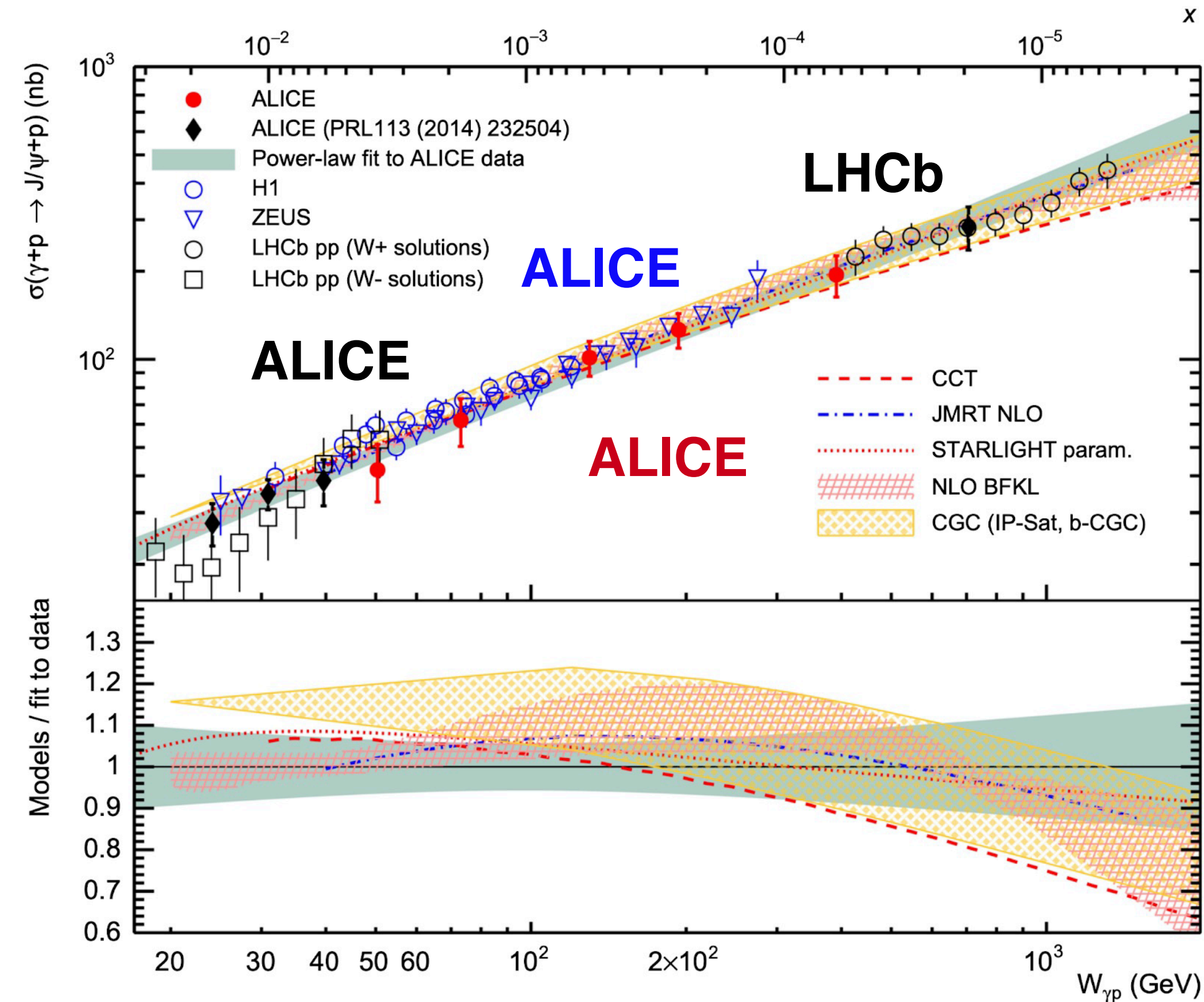
- Independent of interested physics process
- Large cross section ~ 200 b (single EMD)

Probability of EMD is strongly correlated with the impact parameter of the collision b

Exclusive and inclusive quarkonium photoproduction

$\gamma+p \rightarrow J/\psi + p$ collisions:

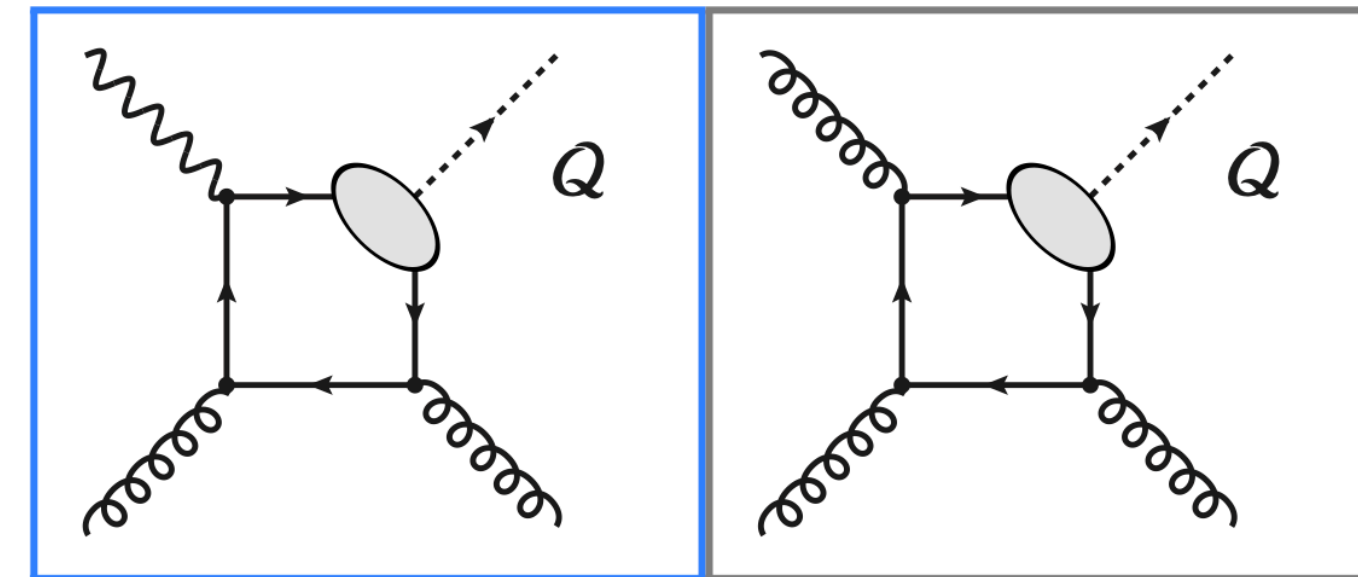
From Kate Lynch's talk Jean-Philippe Lansberg (IJCLab),
Charlotte Van Hulse (UAH), Ronan McNulty (UCD)



$$W_{\gamma p} = 2\sqrt{\omega \cdot E_{\text{beam}}}$$

Sensitive to the proton structure at high-gluon densities
(So far, no indication of gluon saturation, even
down to $x \sim 10^{-5}$ in a free nucleon)

- Anticipate sizeable **photoproduction** yield
- Large hadronic background must be shown to be suppressed



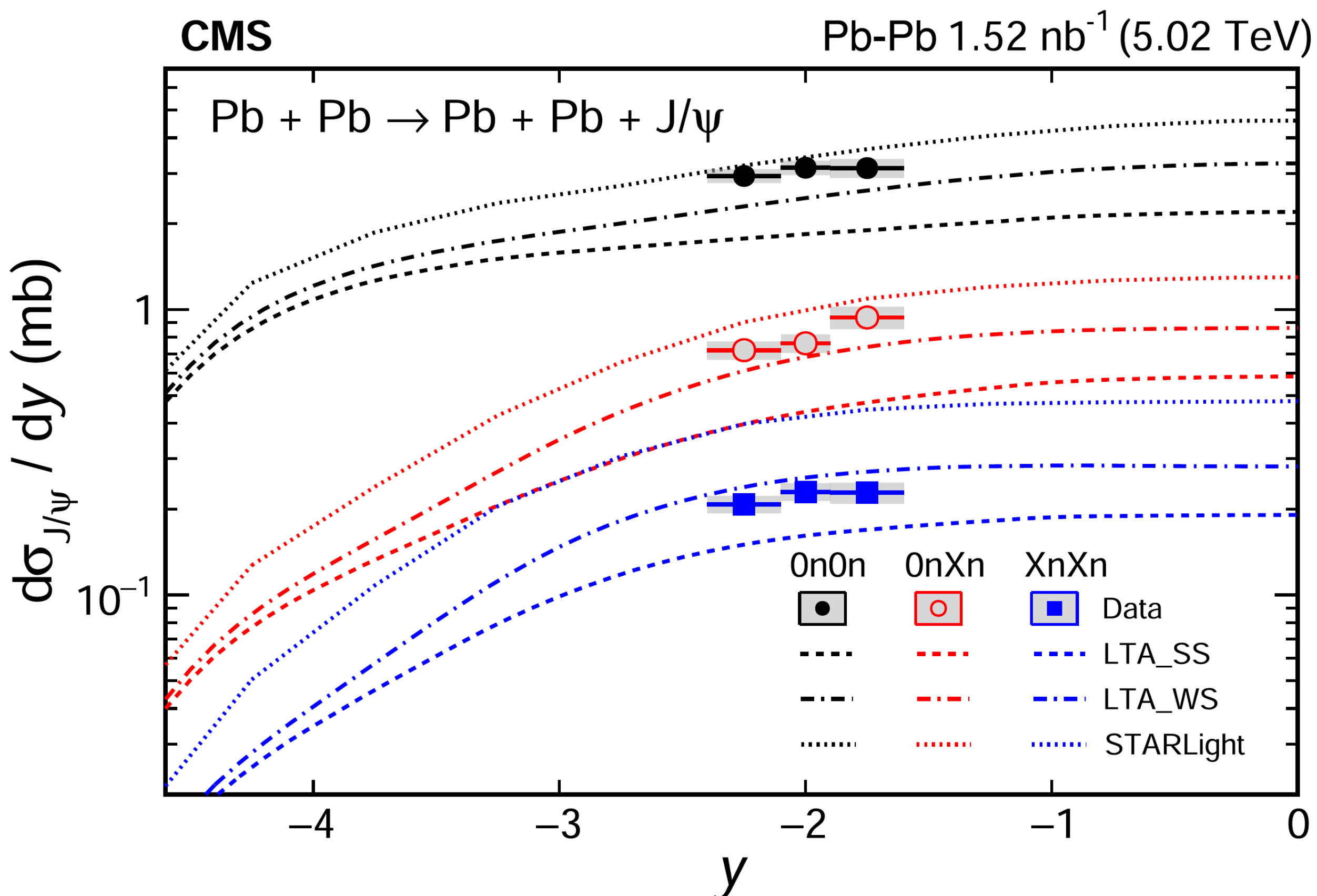
Proton-lead is the ideal collision system

- No ambiguity as to the photon emitter
- Enhanced photon flux w.r.t. pp $\propto Z^2$
- Less pileup than pp

Coherent J/ψ in PbPb UPCs with forward-neutron tag with CMS

CMS, Phys. Rev. Lett. 131 (2023) 262301

First coherent measurement in different neutron classes \rightarrow **inputs to disentangle low from high energy γN events**



$$\frac{d\sigma_{J/\psi}^{injn}(y)}{dy} = n_{\gamma A}^{injn}(\omega_1) \sigma_{J/\psi}(\omega_1) + n_{\gamma A}^{injn}(\omega_2) \sigma_{J/\psi}(\omega_2)$$

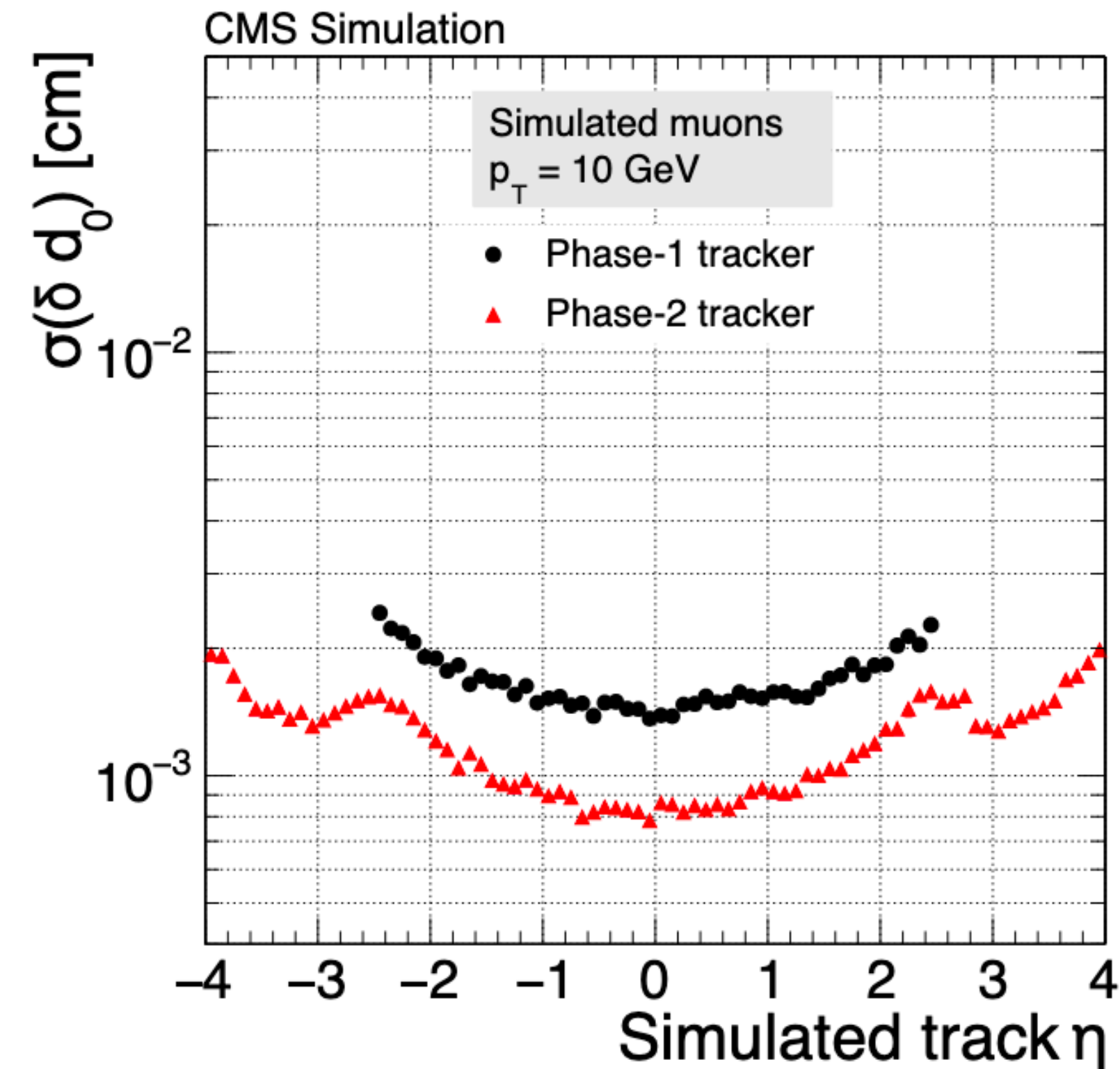
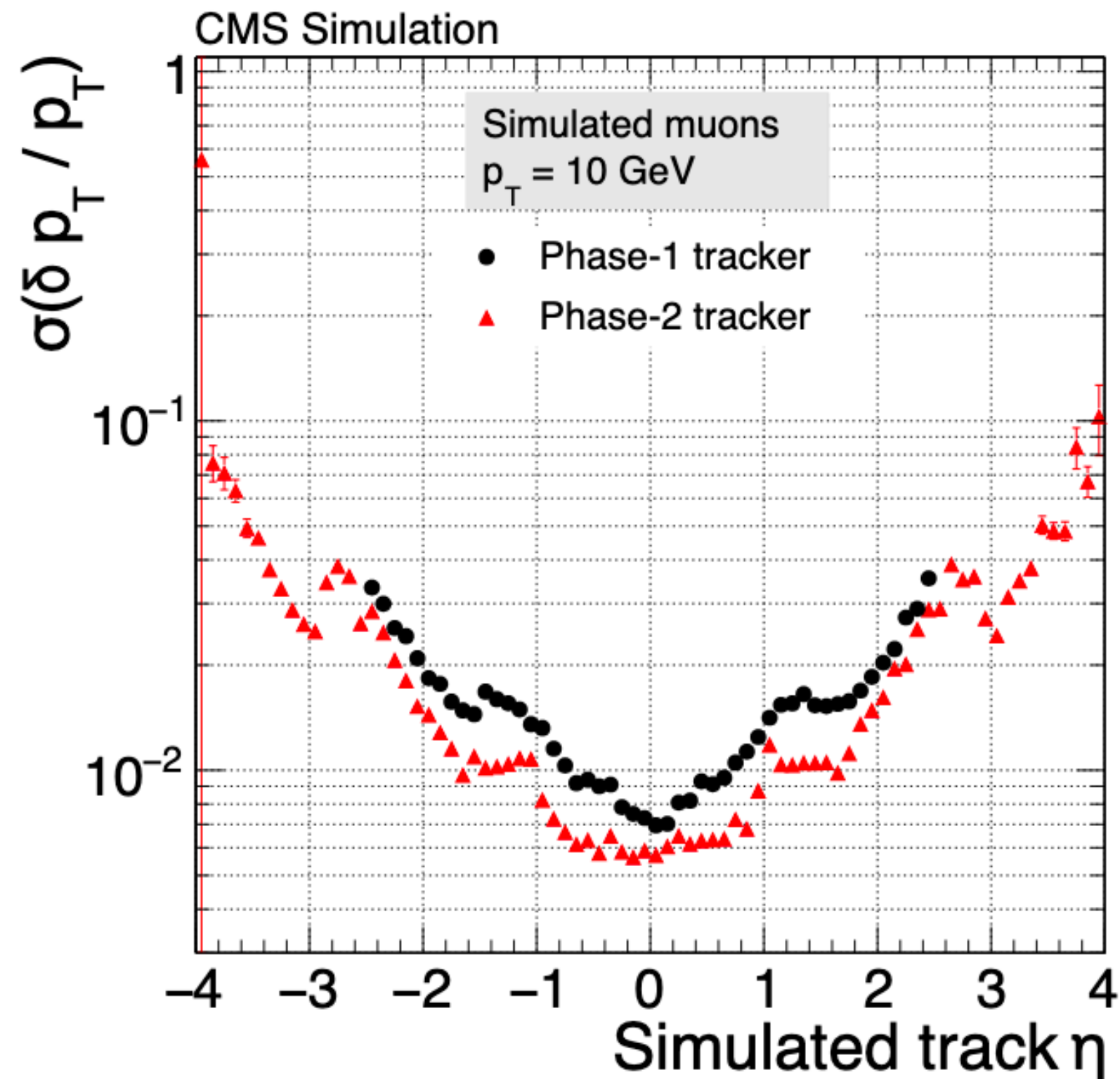
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BACKUP: CMS Run4

High-resolution, large acceptance silicon tracker ($|\eta| < 4$)

from 100 x 150 to 50 x 50 μm^2 pixel size
Tracking out to $|\eta| < 4$!!
Reduced material budget by up to 2x

CMS, [CMS-TDR-014](#)



Improved p_T resolution by about 25%
• Improved mass resolution for resonances

Impact parameter resolution improved by 40%
• Improved heavy flavor measurements (B/D hadrons & b/c-jet tagging)

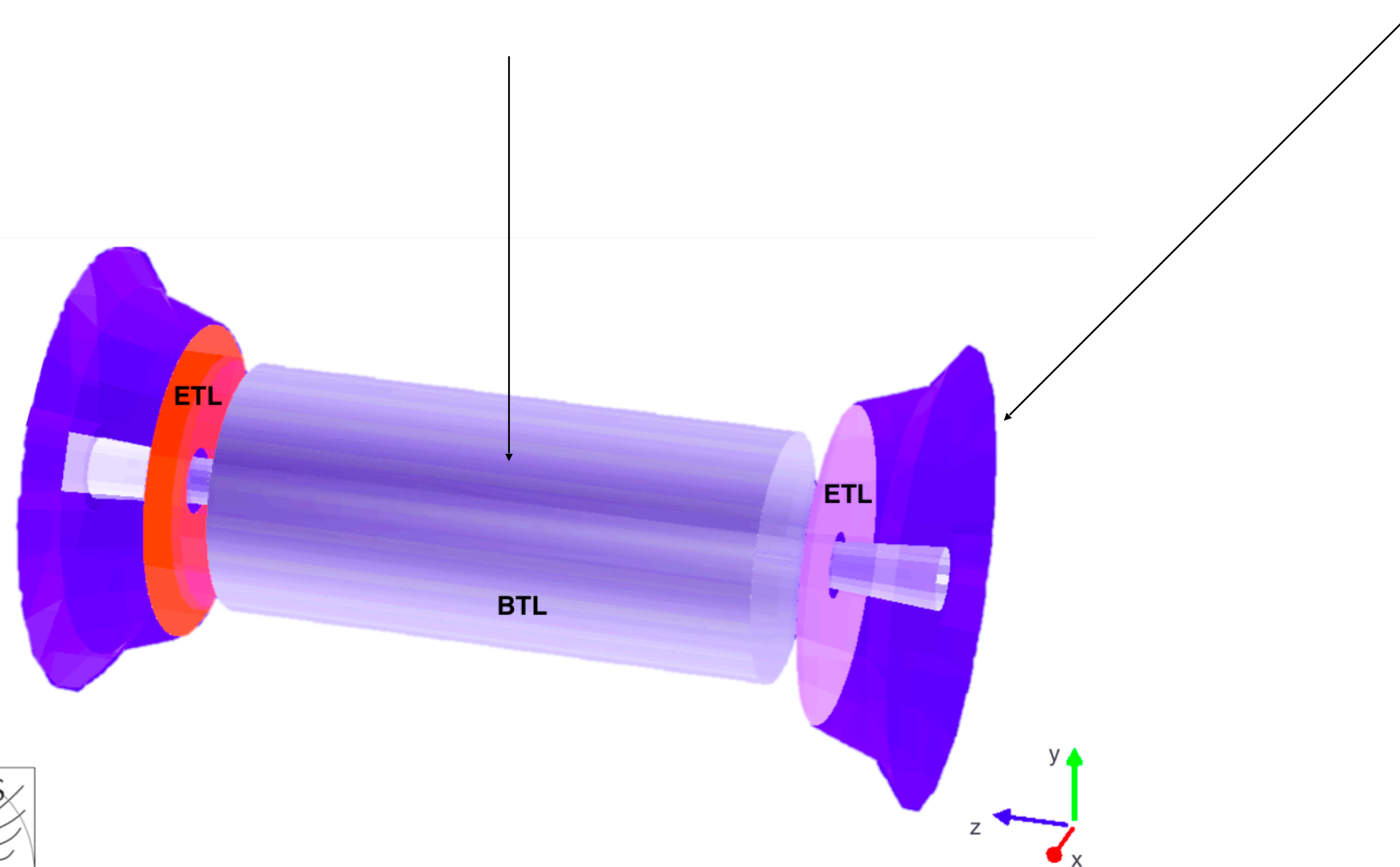
MIP timing detector (MTD)

Barrel Timing Layer (BTL)

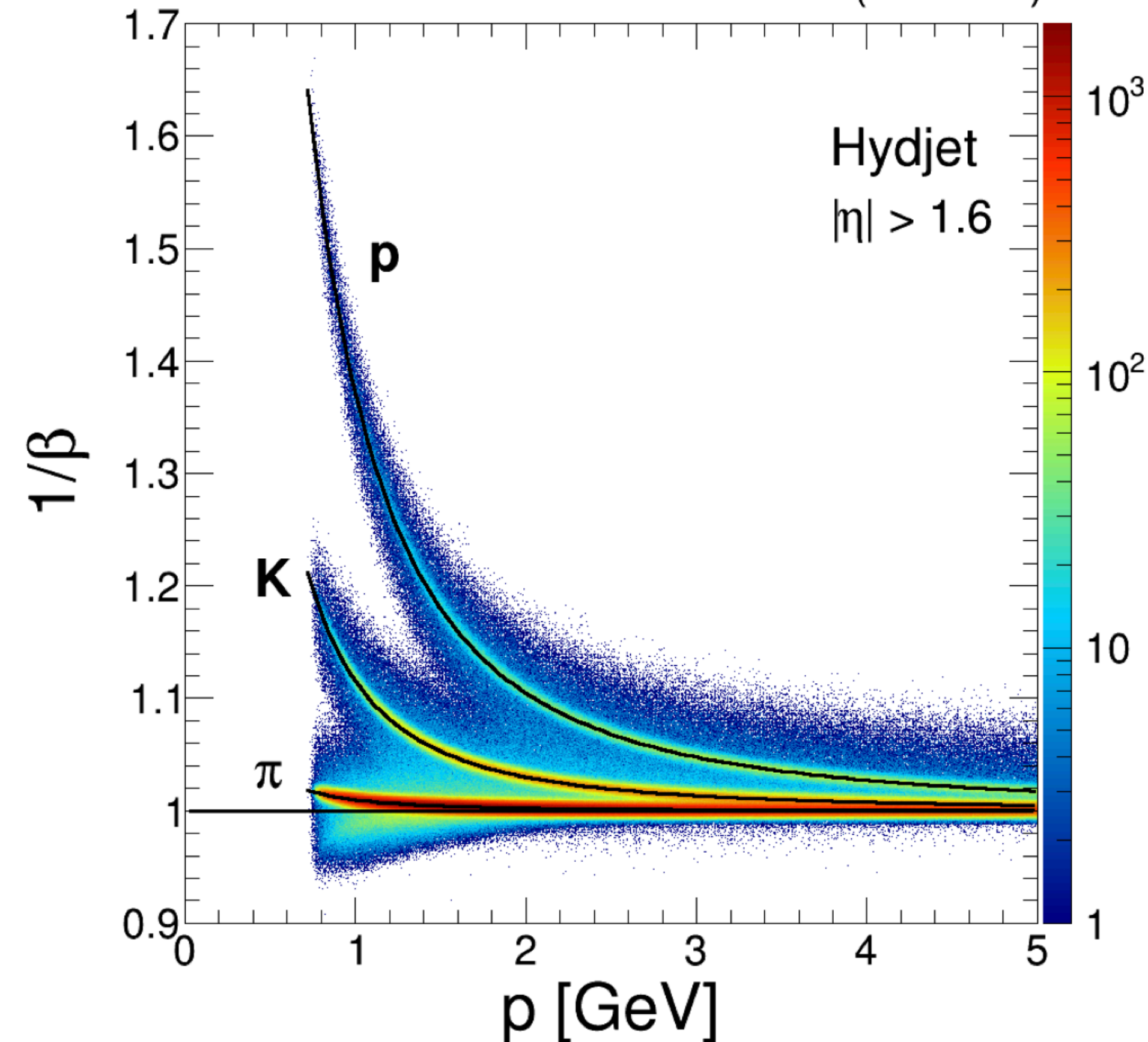
- Coverage: $|\eta| < 1.45$, $p_T > 0.7$ GeV
- Timing resolution: ~ 30 ps
- Tech: Scintillator + Si photo-multiplier

Endcap timing layer (ETL)

- Coverage: $1.6 < |\eta| < 3.0$, $p > 0.7$ GeV
- Timing resolution: $\sim 30 - 40$ ps
- Tech: Silicon w/ internal gain (LGAD)



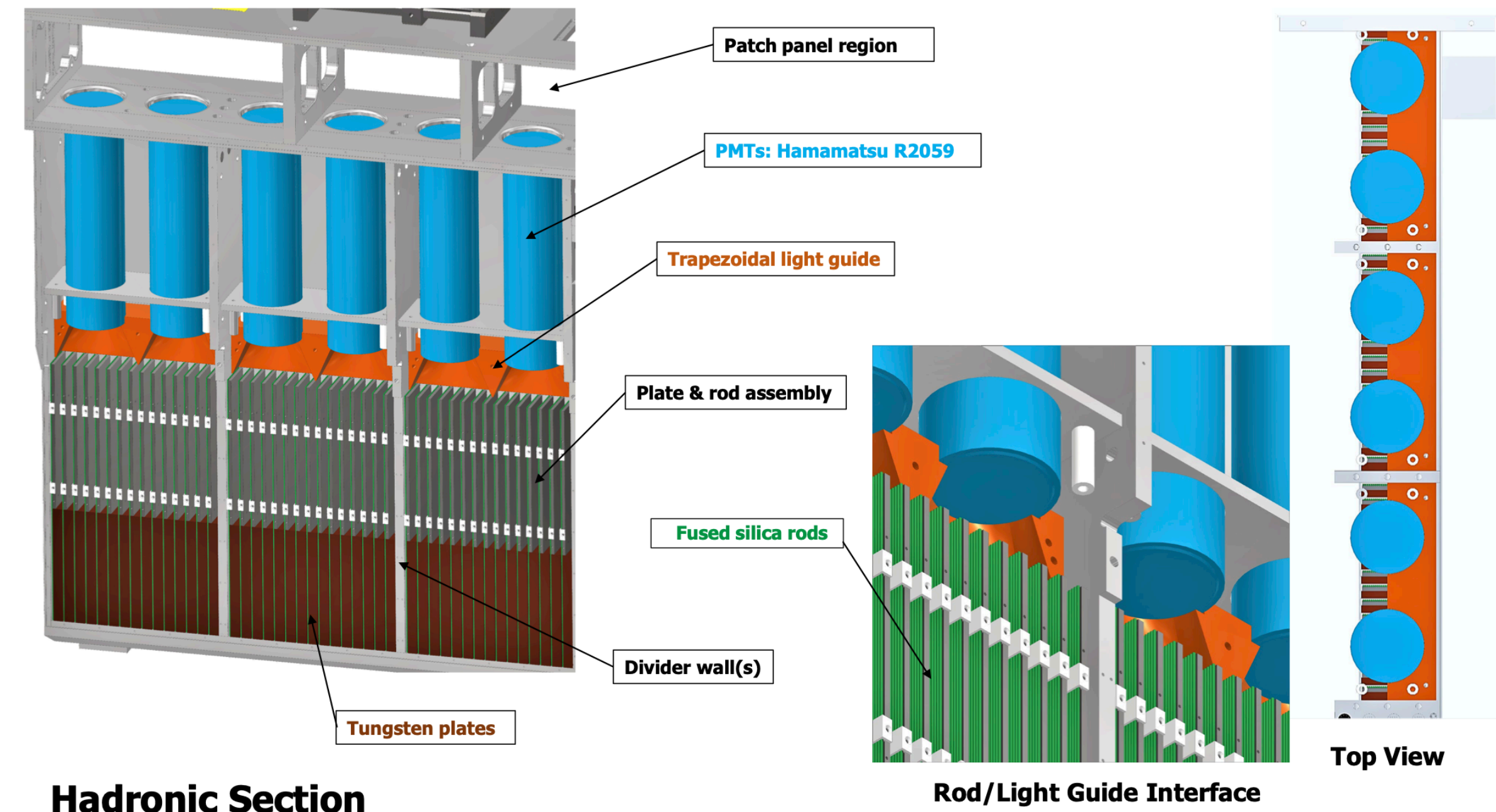
CMS Phase-2 Simulation PbPb (5.5 TeV)



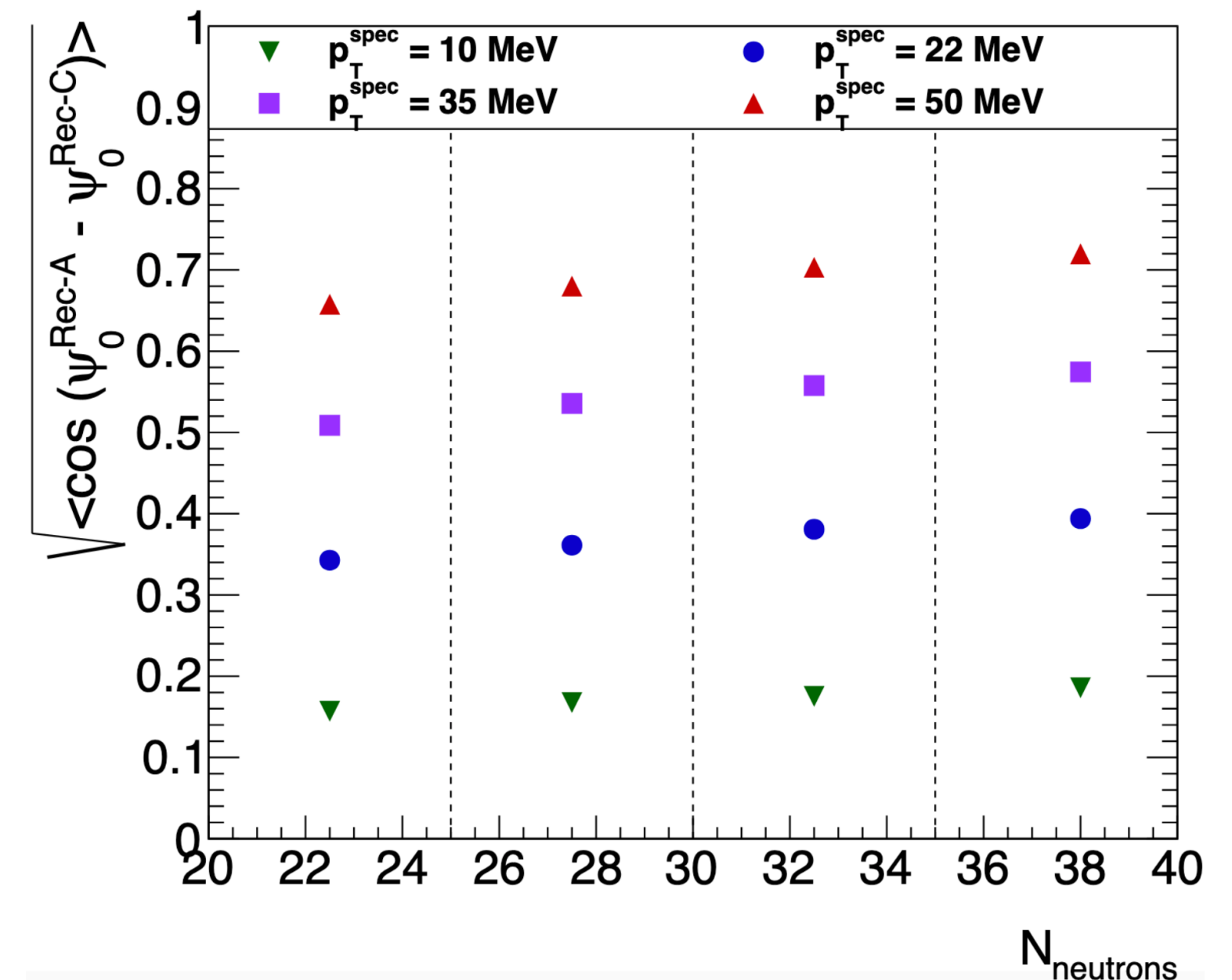
Unlock a wide set of semi-inclusive “DIS-like” measurements with identified hadrons with CMS

A new ZDC CMS detector

- **Joint ATLAS & CMS effort: radiation-hard ZDCs for Run 4**
- Crucial part of heavy-ion min. bias trigger from Run 3 onwards
 - Used to identify & characterize ultra-peripheral collisions
 - Bias estimation for centrality, especially in small systems
 - Exclusively HI detector (removed for high-lumi pp)



Hadronic Section



[CMS-TDR-024](#)

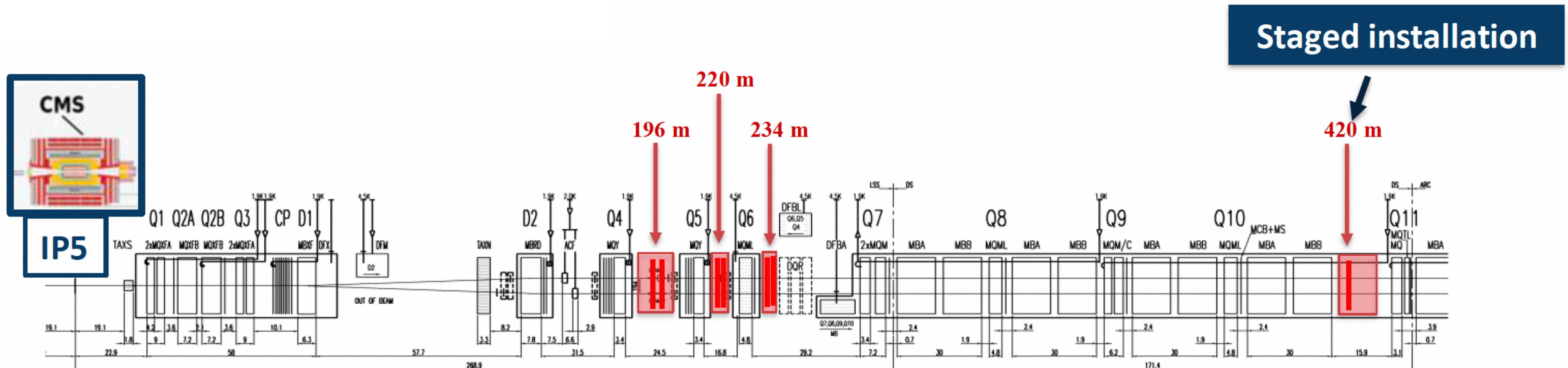
Upgraded Precision Proton Spectrometer (Run 4 and 5)

See Michael Pitt's talk

Basic working principle:

Protons which lose a fraction of momentum at the interaction point ($\xi = \Delta p/p$) are deflected away from the beam and measured by PPS

→ direct measure of the $\xi = \Delta p/p$



PPS upgrade will further extend the ξ acceptance of the legacy PPS:

- $1.42 < \xi < 20$ % for the first 3 stations (from Run 4)
- $0.33 < \xi < 20$ % for the first 3 stations (from Run 5)

CMS NOTE -2020/008

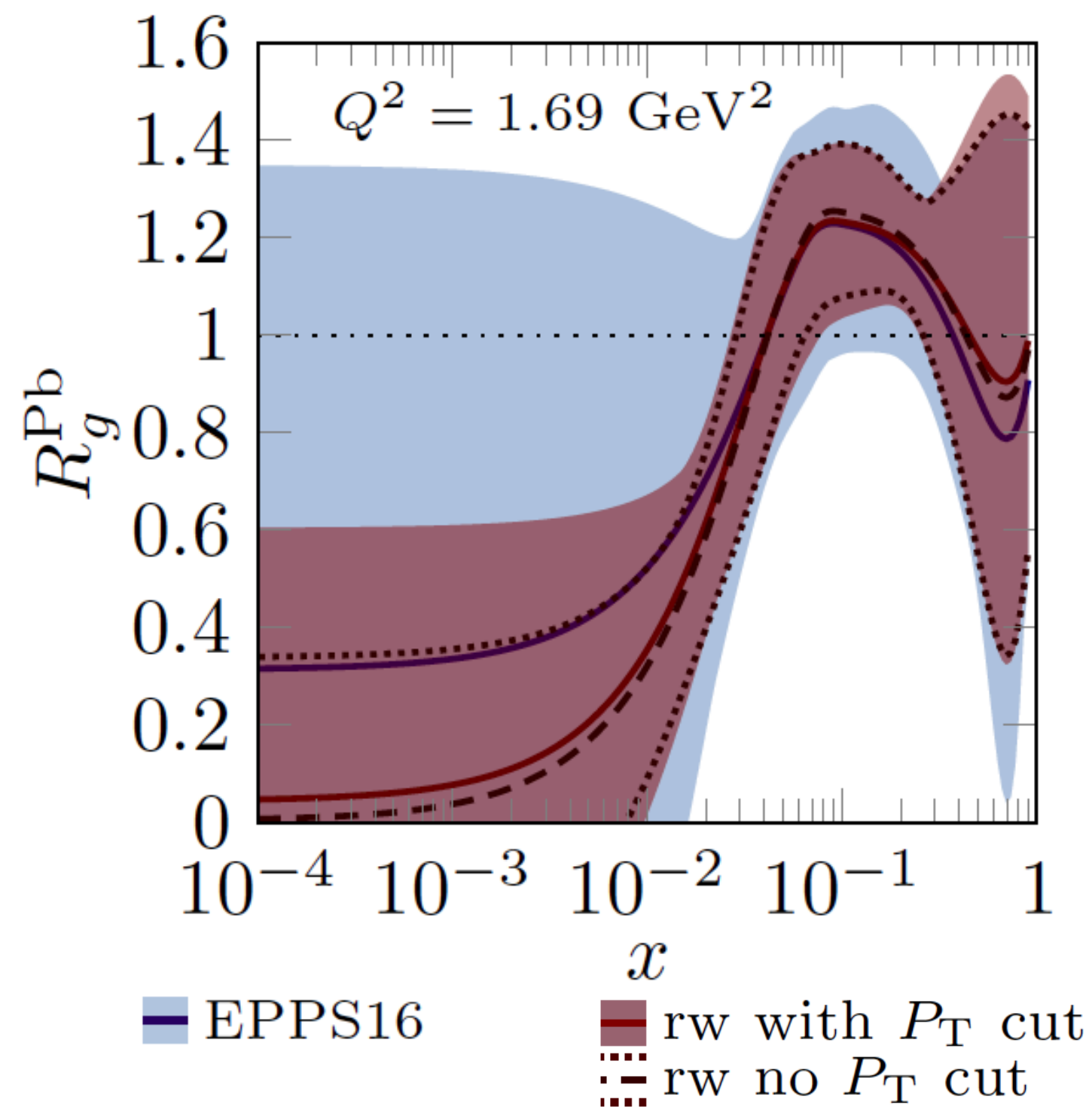
BACKUP: CMS pPb measurements

From measurements to nPDF constraints

→ Using a non-quadratic Hessian PDF reweighting, [K. J. Eskola et al., EPJC 79, 511 \(2019\)](#)

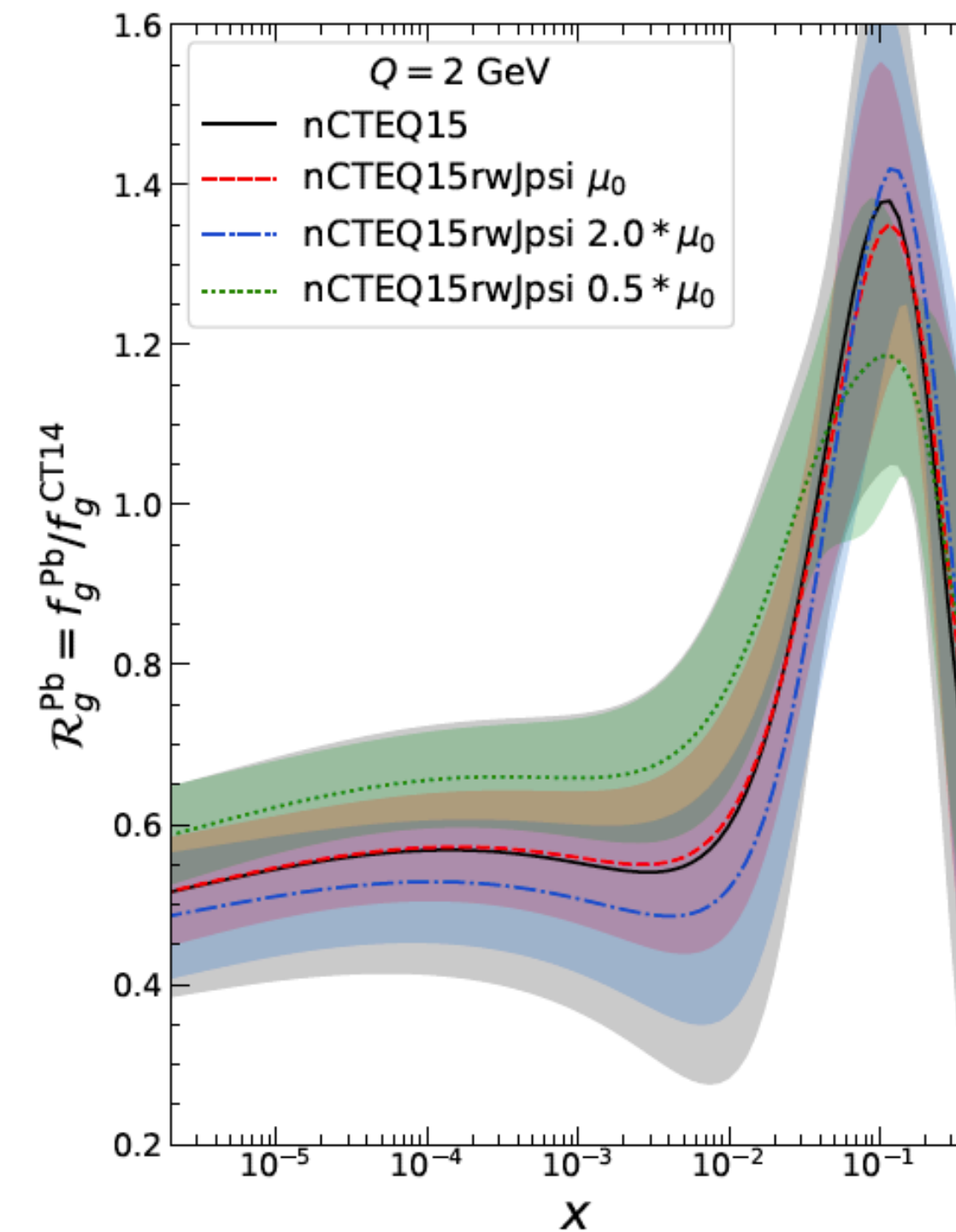
[K. J. Eskola et al., JHEP 2020 37 \(2020\)](#)

With D meson LHCb data at 5.02 TeV

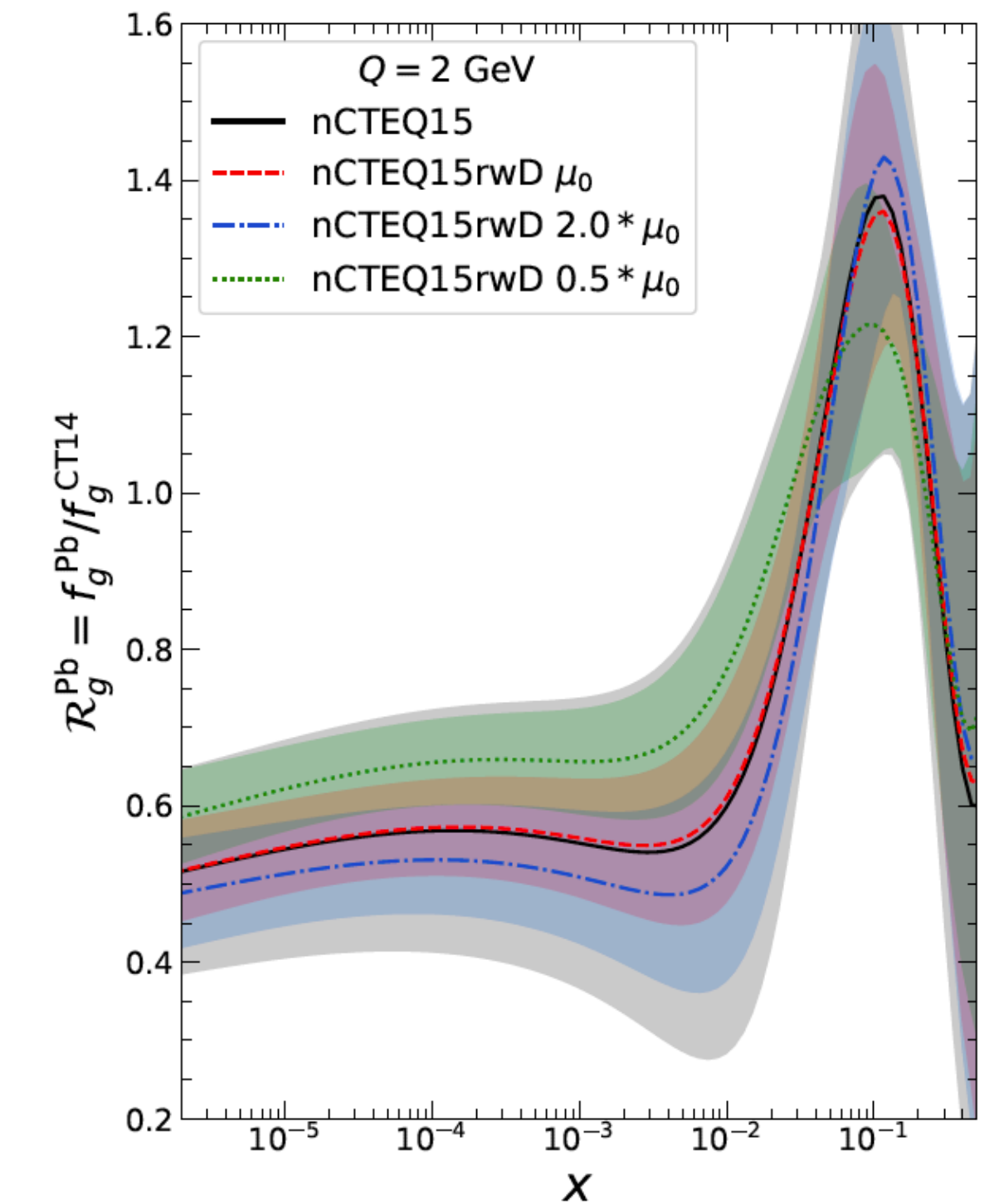


[A. Kusina et al. arXiv.2012.11462](#)

ALICE+LHCb D mesons in pPb



ALICE+LHCb J/ψ mesons in pPb



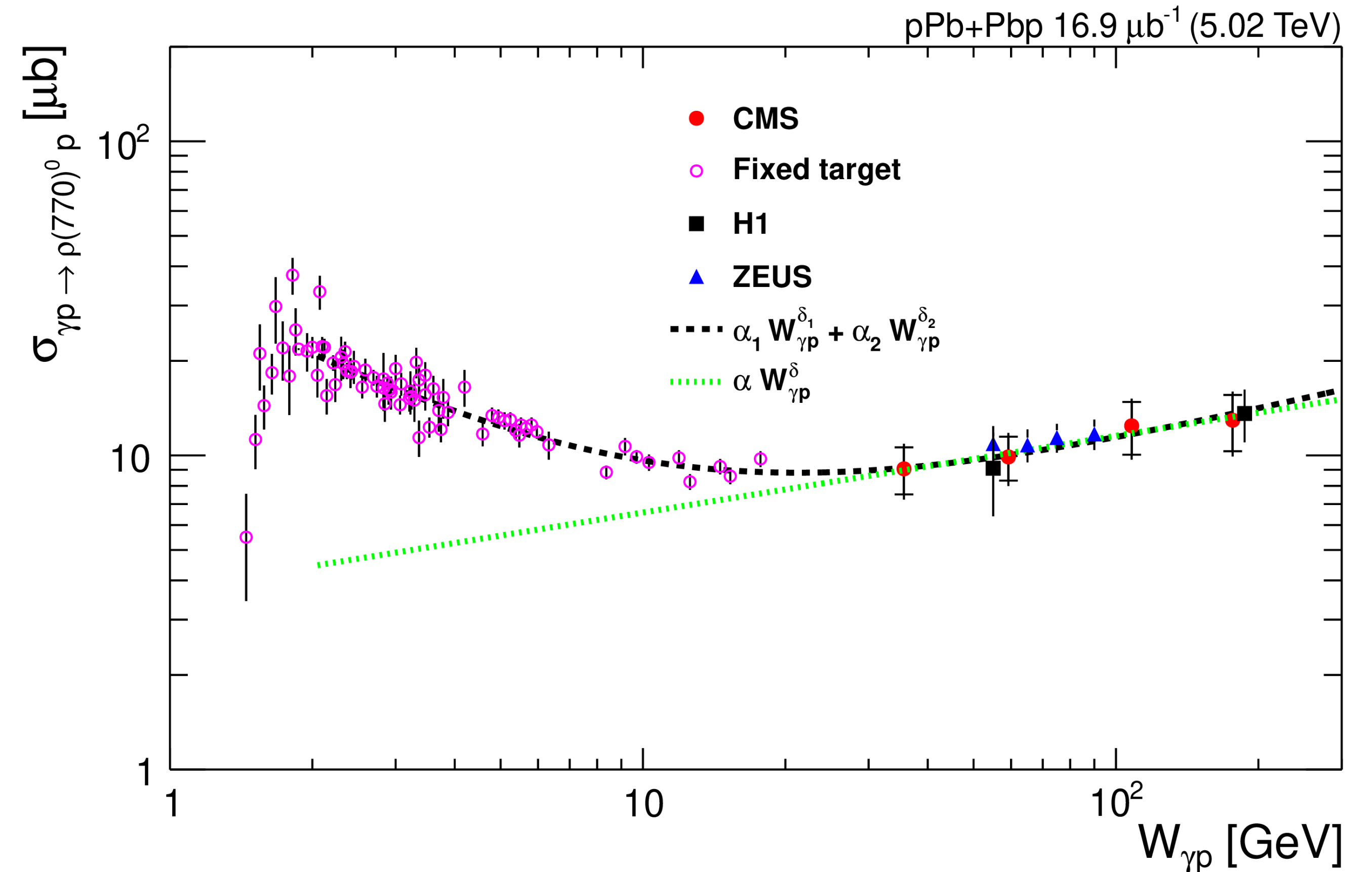
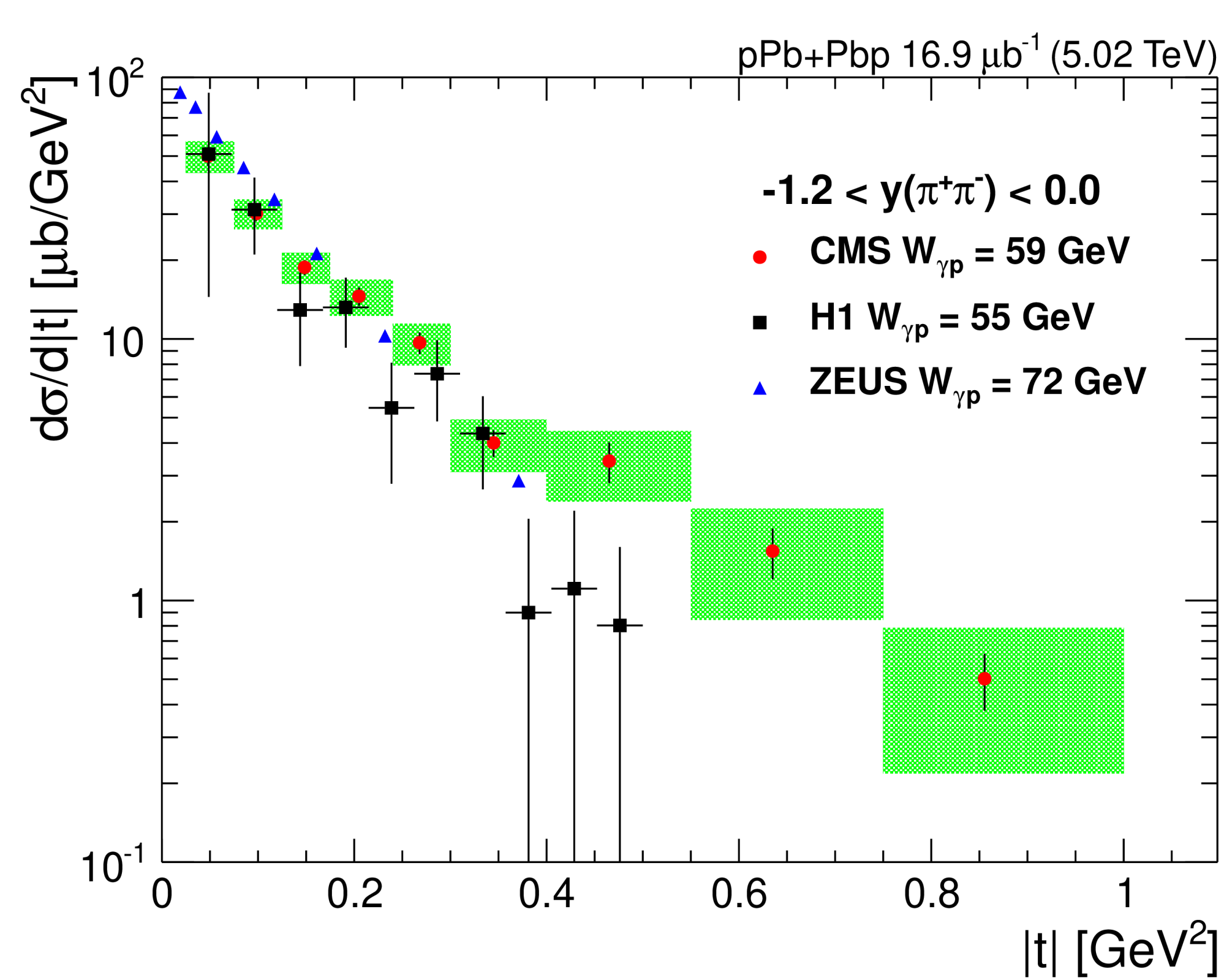
Significant constraints from inclusion of charm data from the LHC. Some caveats:

- **what is the influence of final state effects (e.g. D meson flow or hadronization)?**
- **can we account for them in the nPDF fits?**

BACKUP:

**Recent UPC measurements in
pPb collisions: a few highlights**

$\rho_0(770)$ photoproduction in pPb UPC collisions at 5.02 TeV



Results are consistent with those of the H1 and ZEUS Collaborations at HERA

→ **ion–proton collisions can be used in the same way as electron–proton ones, with ions acting as a source of quasi-real photons.**

Upsilon production in exclusive photonuclear pPb events

→ sensitive to generalized parton distributions (GPDs) in the proton for $10^{-4} < x < 10^{-2}$

