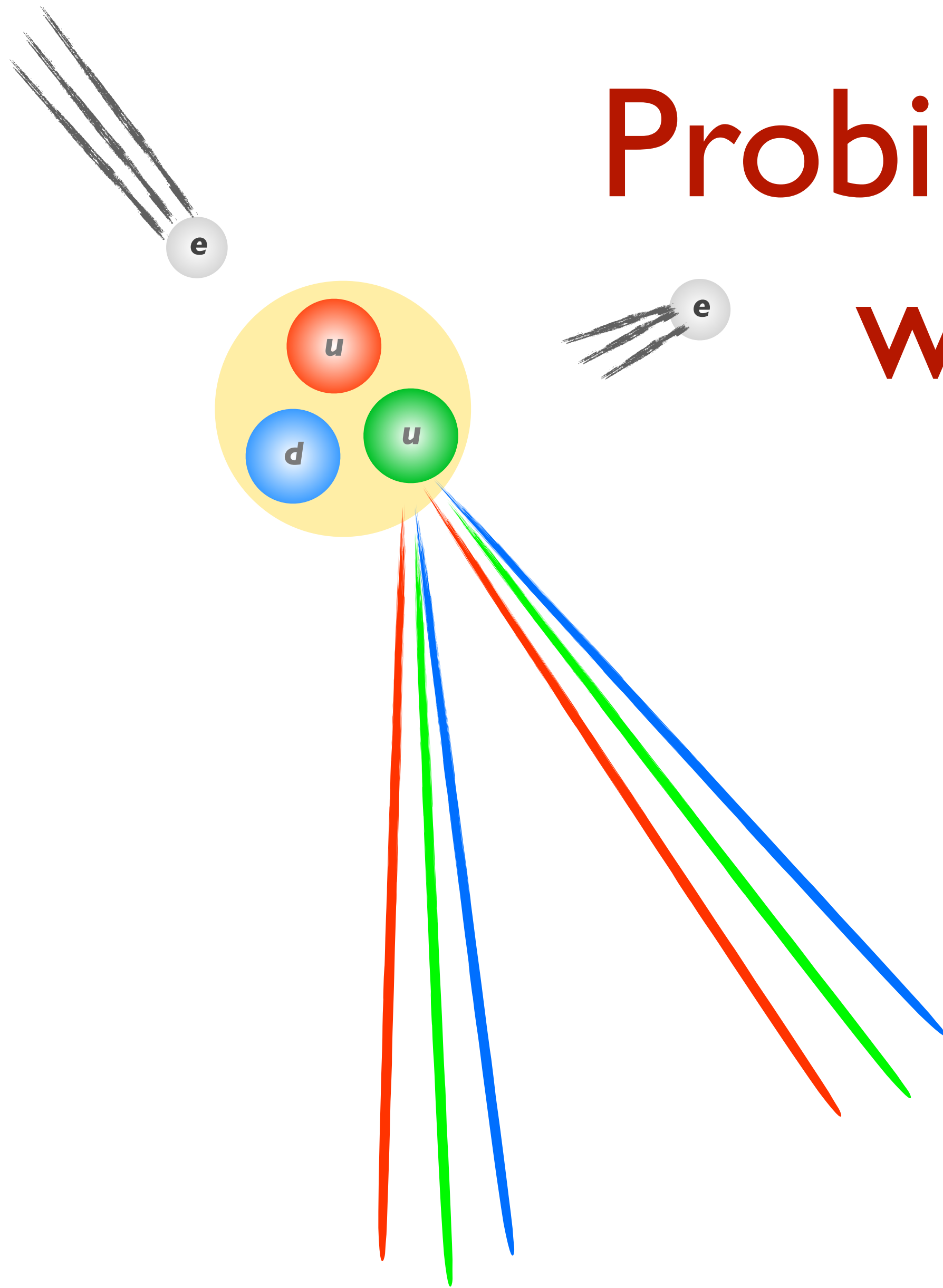


Probing fundamentals of QCD with DIS Event Shapes



Christopher Lee, LANL

in collaboration with June-Haak Ee (LANL),
Daekyoung Kang (Fudan), Iain Stewart (MIT)

Heavy-Ion Physics in the EIC Era
INT Workshop
August 9, 2024

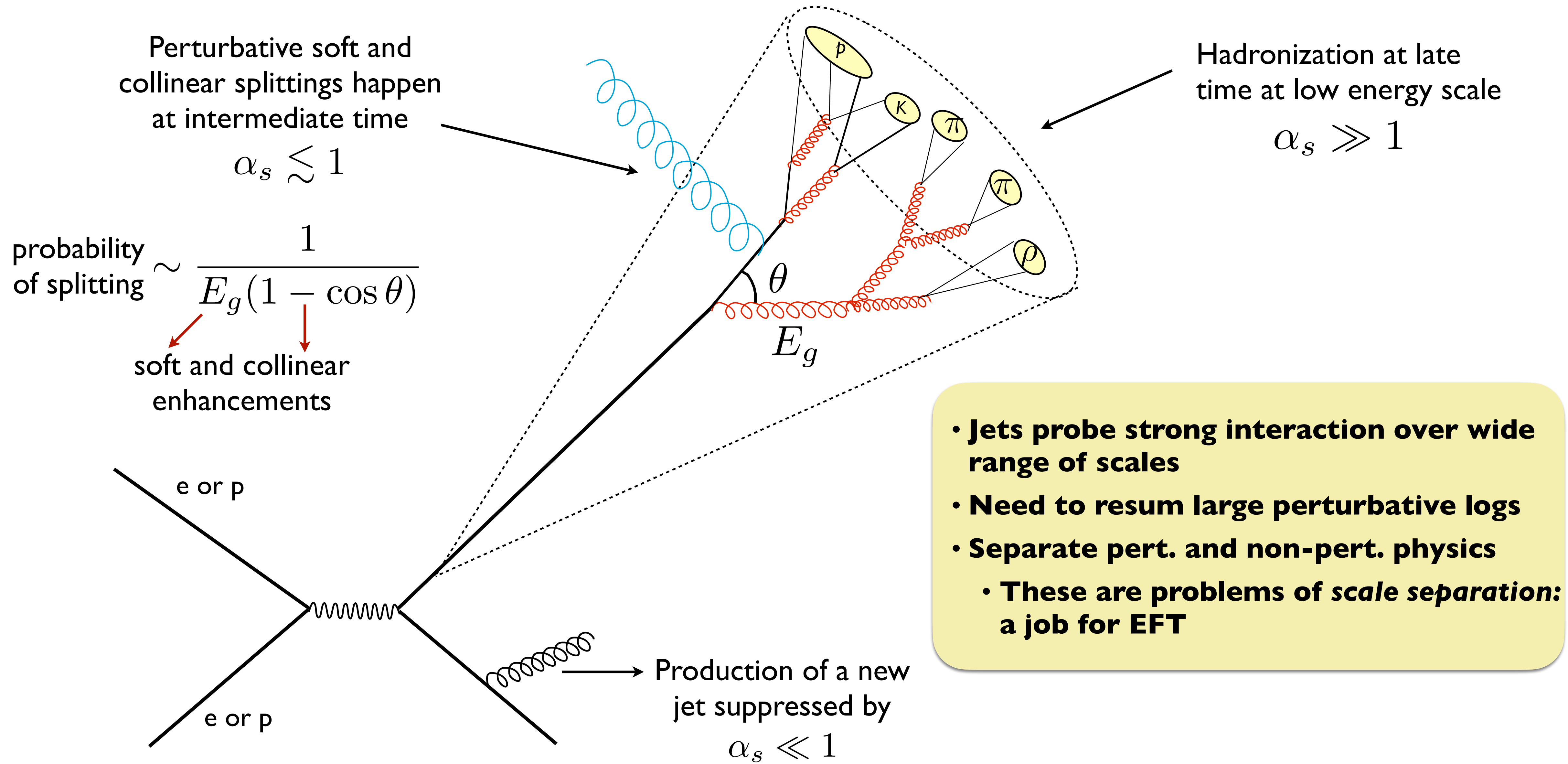
Outline of What is Not in This Talk

- No heavy ions (p is heavy enough for me!)
- No actual determination of α_s (but show a path towards it)
- No(t many) results for EIC (will show comparison to HERA data)

Outline of What is in This Talk

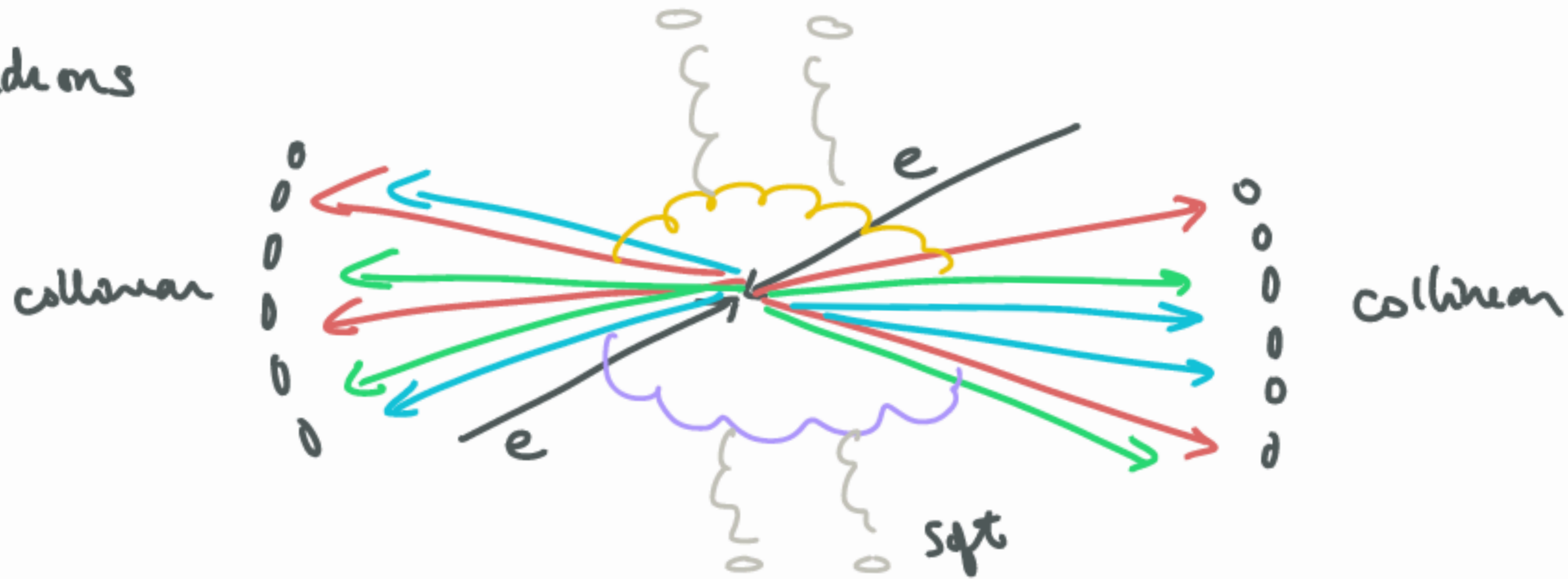
- Status of α_s determinations from e^+e^- and DIS jet measurements
- Global measures of *jettiness*: event shapes
- Factorization and resummation in SCET
- Nonperturbative Effects and Universality
- Predictions for DIS event shapes at HERA *and EIC!*
and sensitivity to α_s

Formation of Jets in QCD



HADRONIC EVENT SHAPES: Global measures of "jetty" structure

$e^+e^- \rightarrow \text{hadrons}$

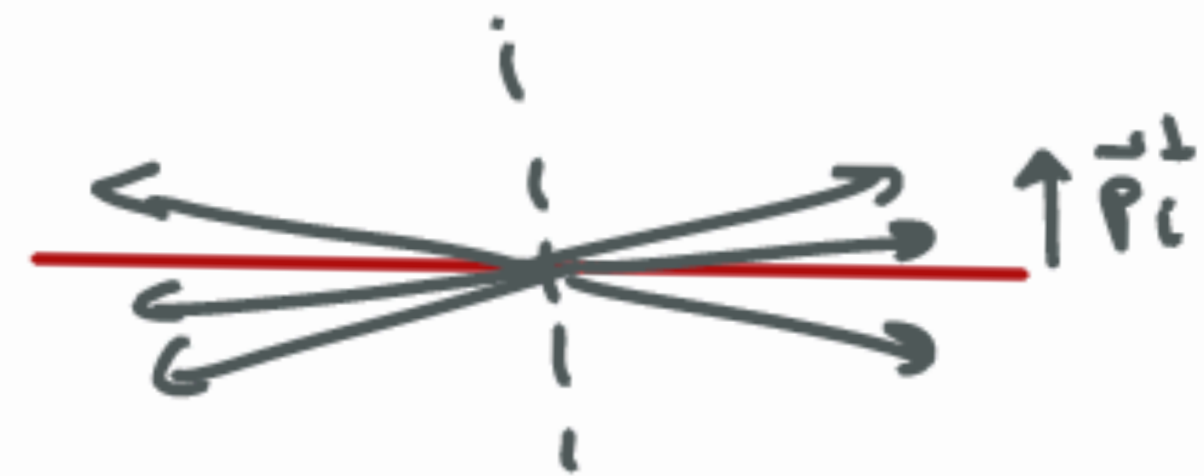
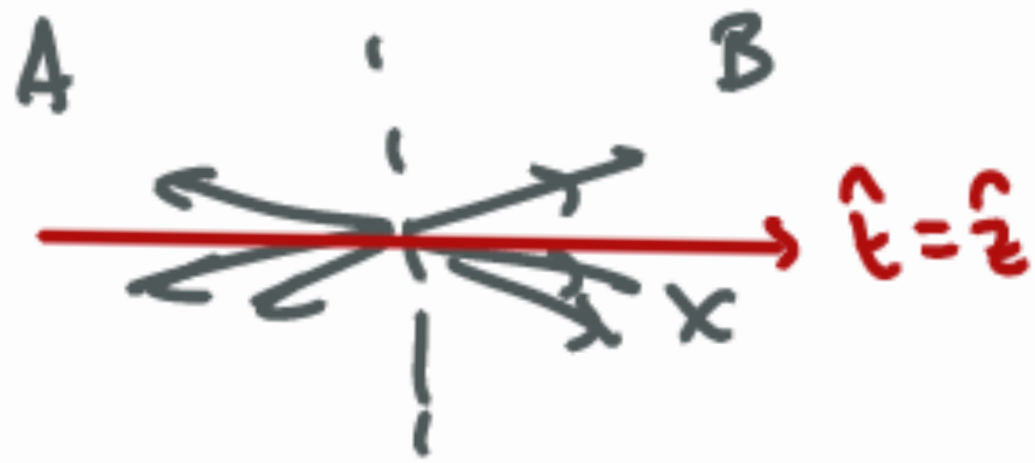


e.g.

THRUST: $T = \frac{1}{Q} \max_{\hat{t}} \sum_{i \in X} |\vec{p}_i \cdot \hat{t}|$

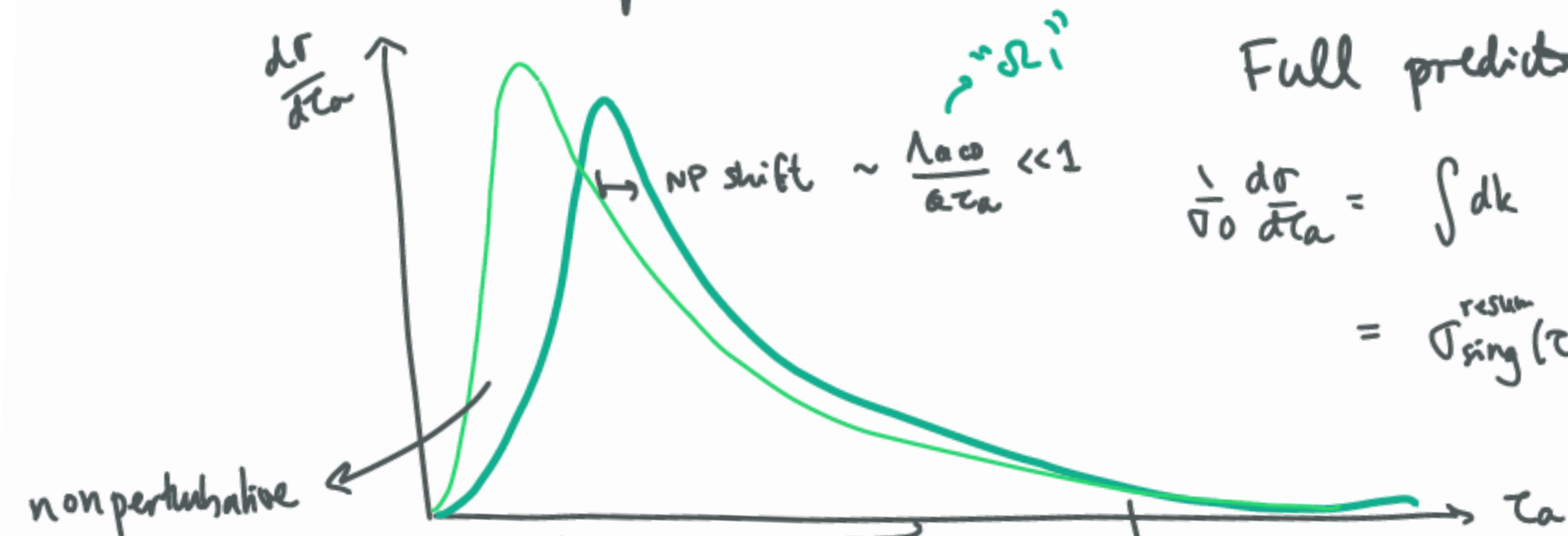
BROADENING: $B = \frac{1}{Q} \sum_{i \in X} |\vec{p}_i^\perp|$

$= \frac{2}{Q} |\vec{p}_2^A|$ or $\tau = 1 - T$



EVENT SHAPES & SENSITIVITY TO α_s

τ_a 's and similar event shapes probe QCD effects over wide range of scales, perturbative and nonperturbative:



Full prediction:

$$\frac{1}{\sigma_0} \frac{d\sigma}{d\ln Q} = \int dk \underbrace{\sigma_{PT}(\tau_a - \frac{k}{Q})}_{\text{resum}} S_{NP}(k)$$

$$= \sigma_{\text{sing}}^{\text{resum}}(\tau_a; \mu_{H, \tau, s}) + \sigma_{\text{na-sing}}^{\text{F.O.}}(\tau_a; \mu_s)$$

$$\sigma_{PT}(\tau - \frac{k}{Q}) \otimes S_{NP}(k)$$

$$\frac{\Lambda_{QCD}}{Q\tau} \sim 1$$

SHAPE FUNCTION

expansion in
 $\alpha_s(Qz), \alpha_s(Qz^{1-a})$

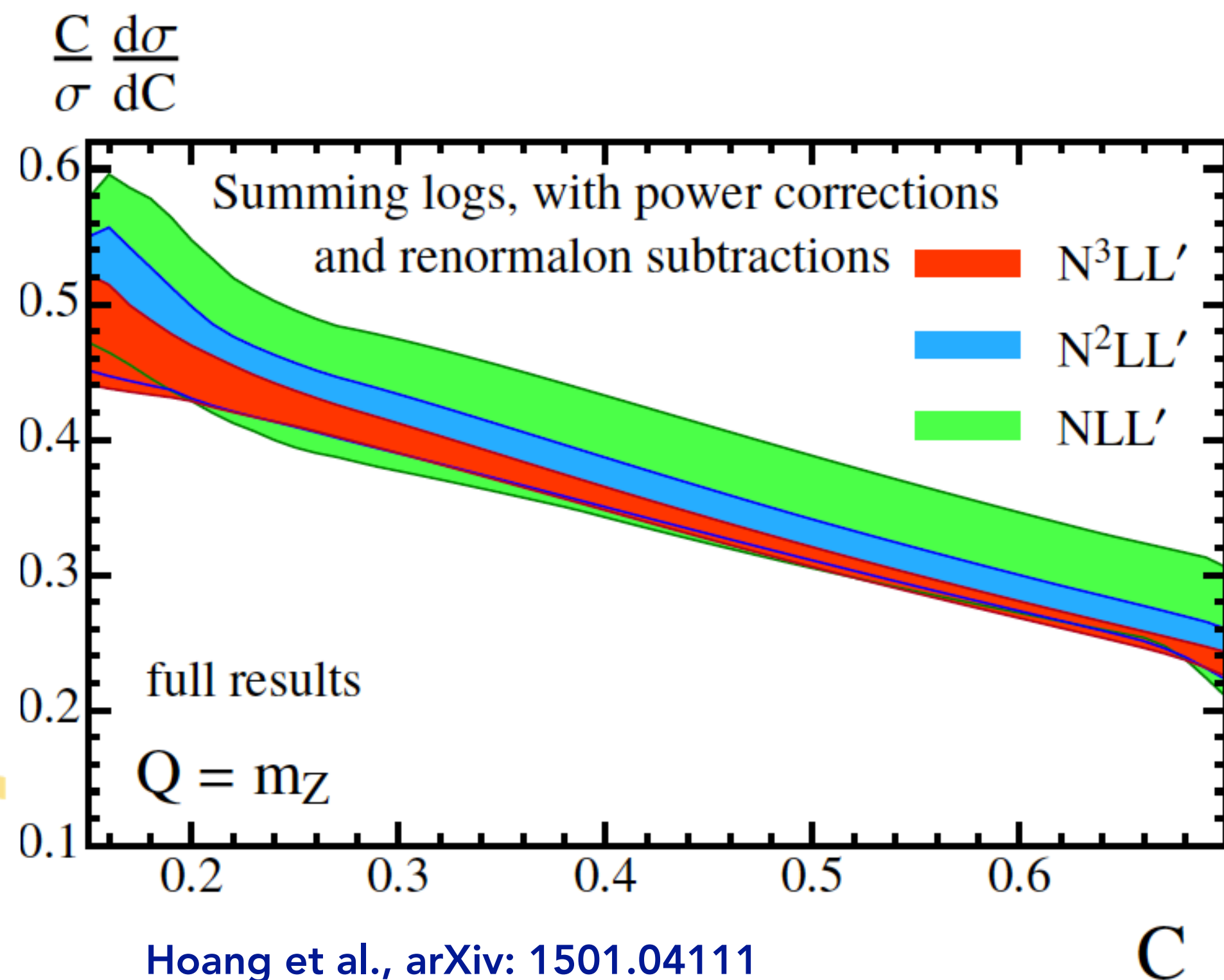
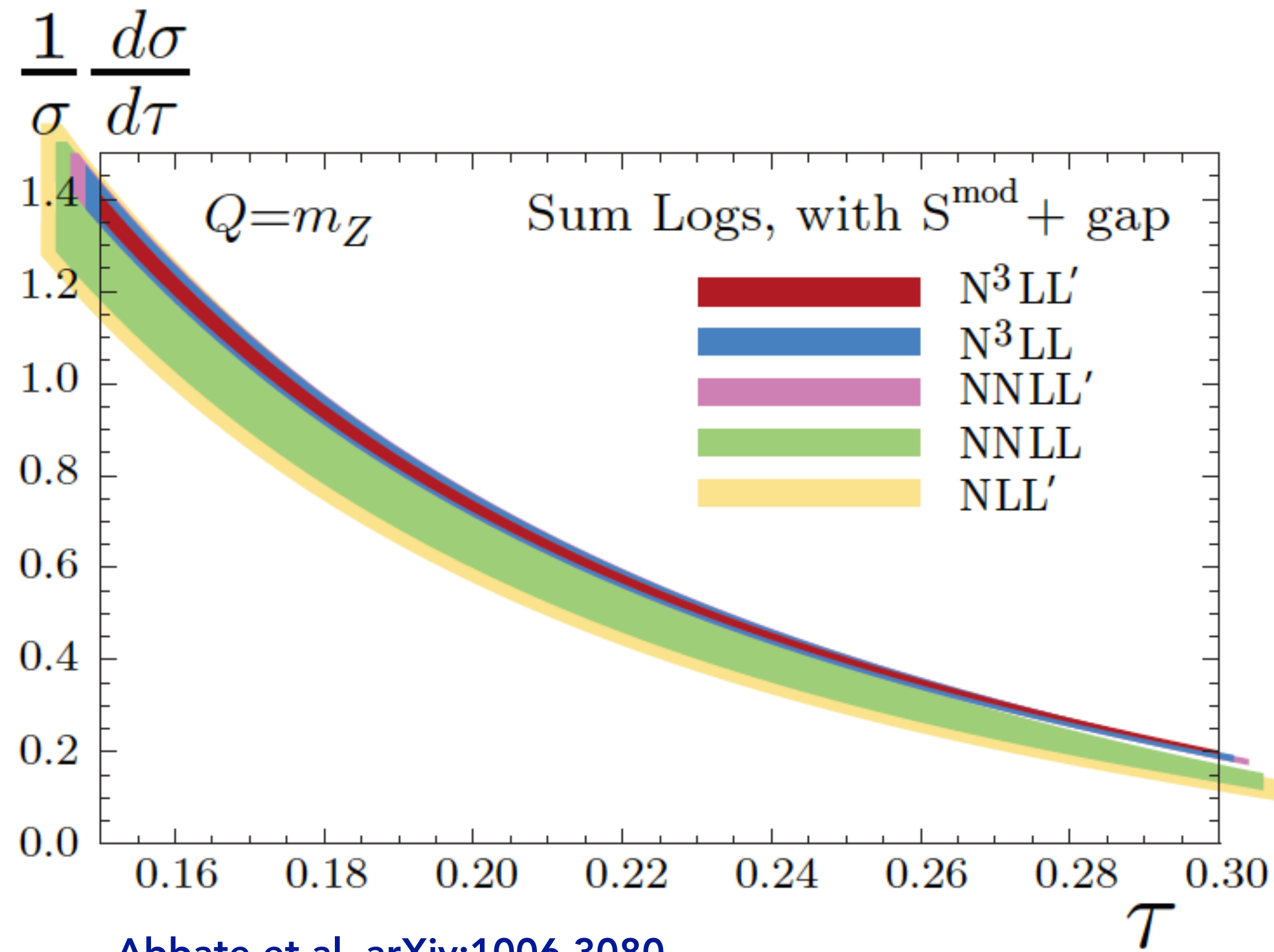
RESUMMATION

expansion
in $\alpha_s(Q)$

FIXED ORDER

(e^+e^-) Event shapes to high precision

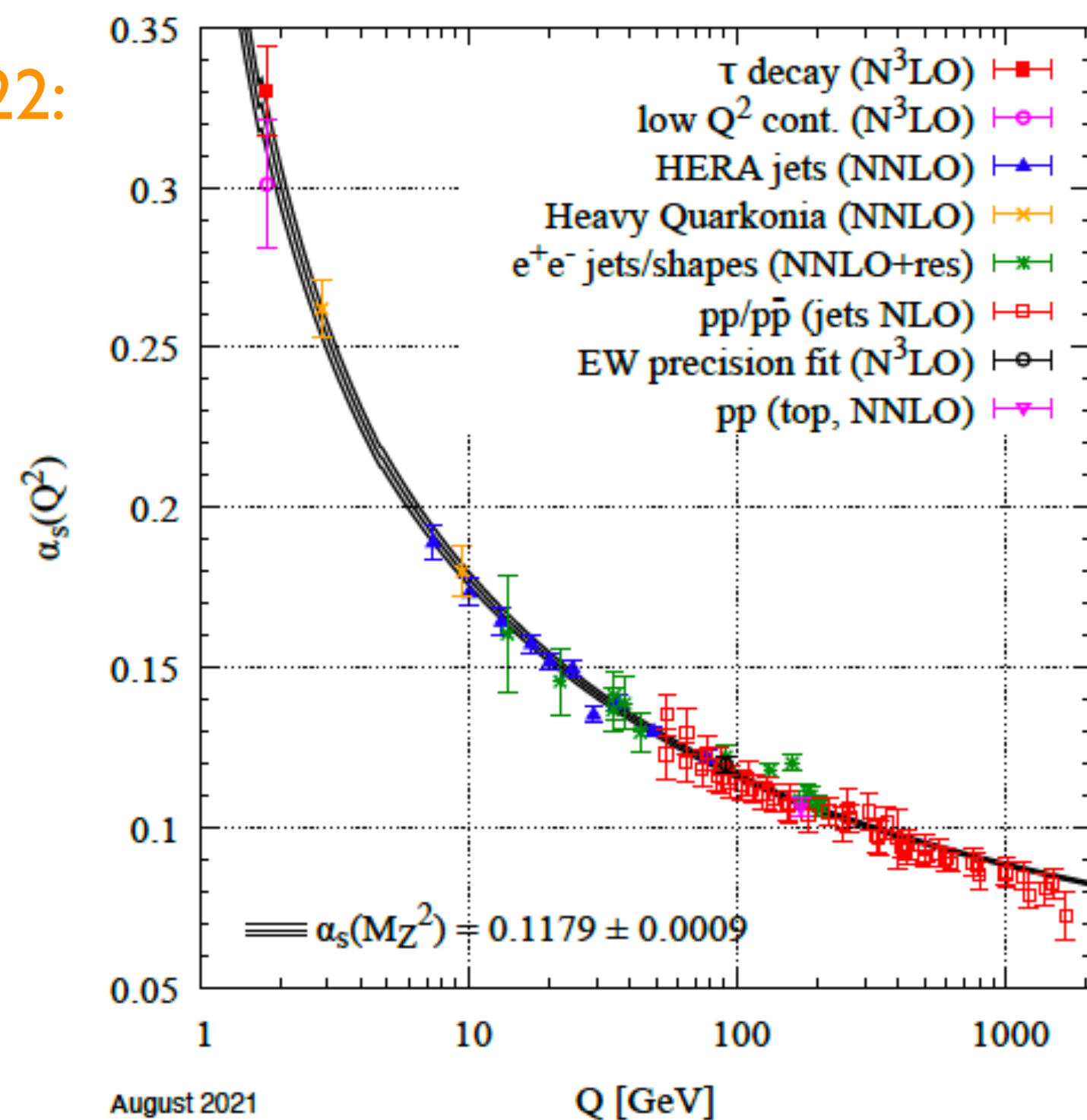
- First N^3LL' resummed event shape distributions with state-of-the-art treatment of nonperturbative corrections, e.g.:



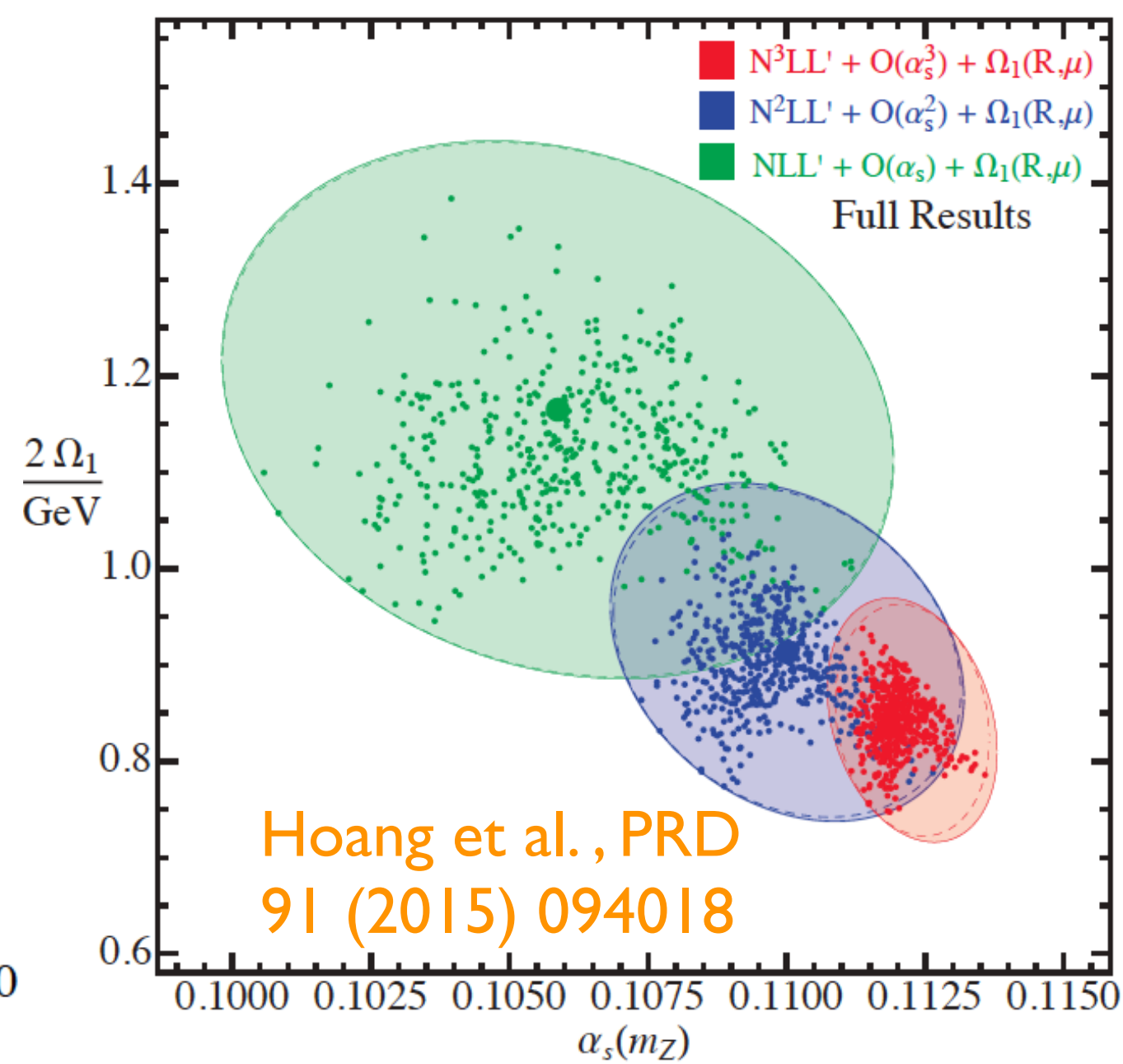
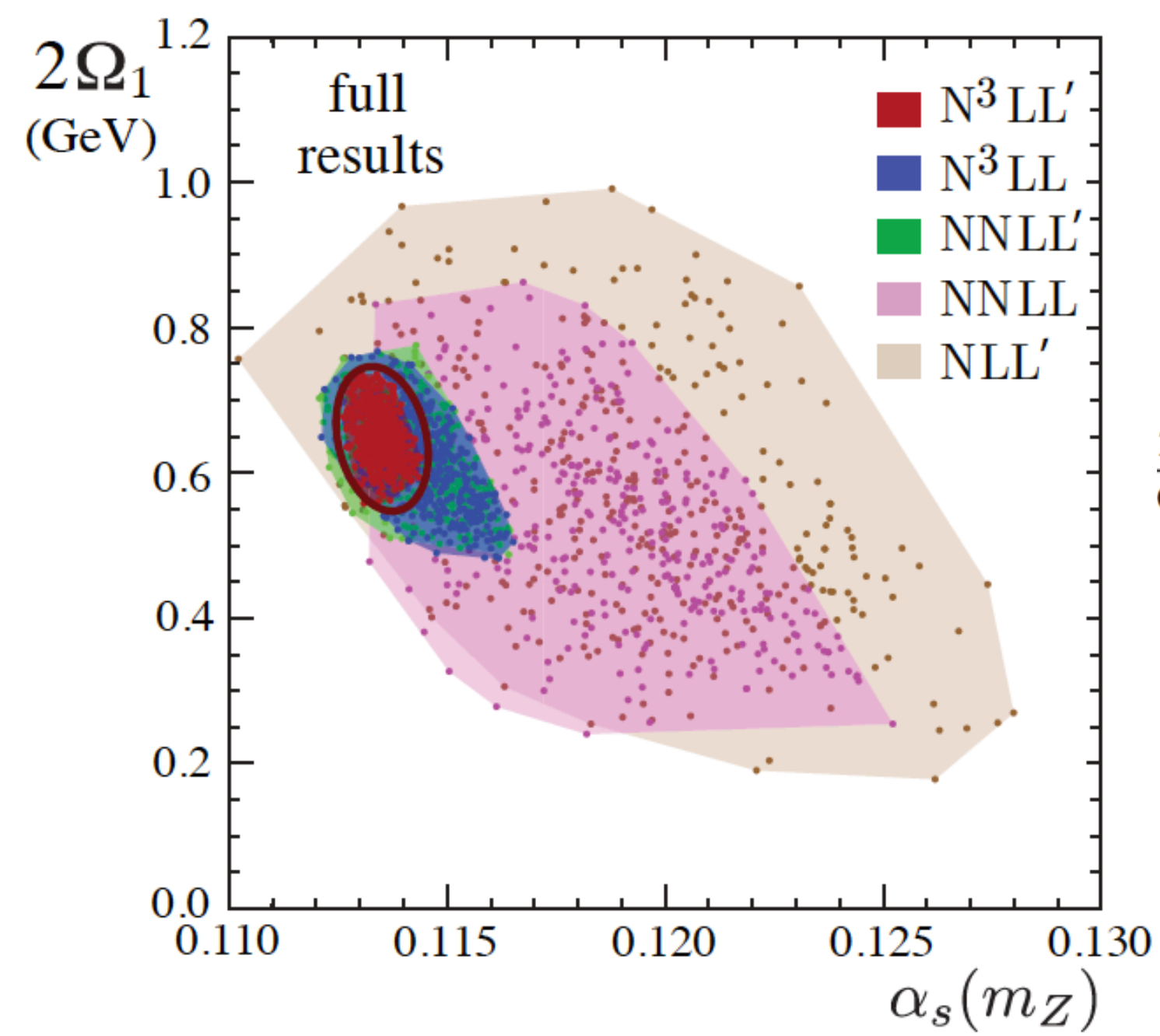
Makes e^+e^- event shapes one of the most precise ways, in principle, to determine α_s

Event shapes and the strong coupling

PDG 2022:

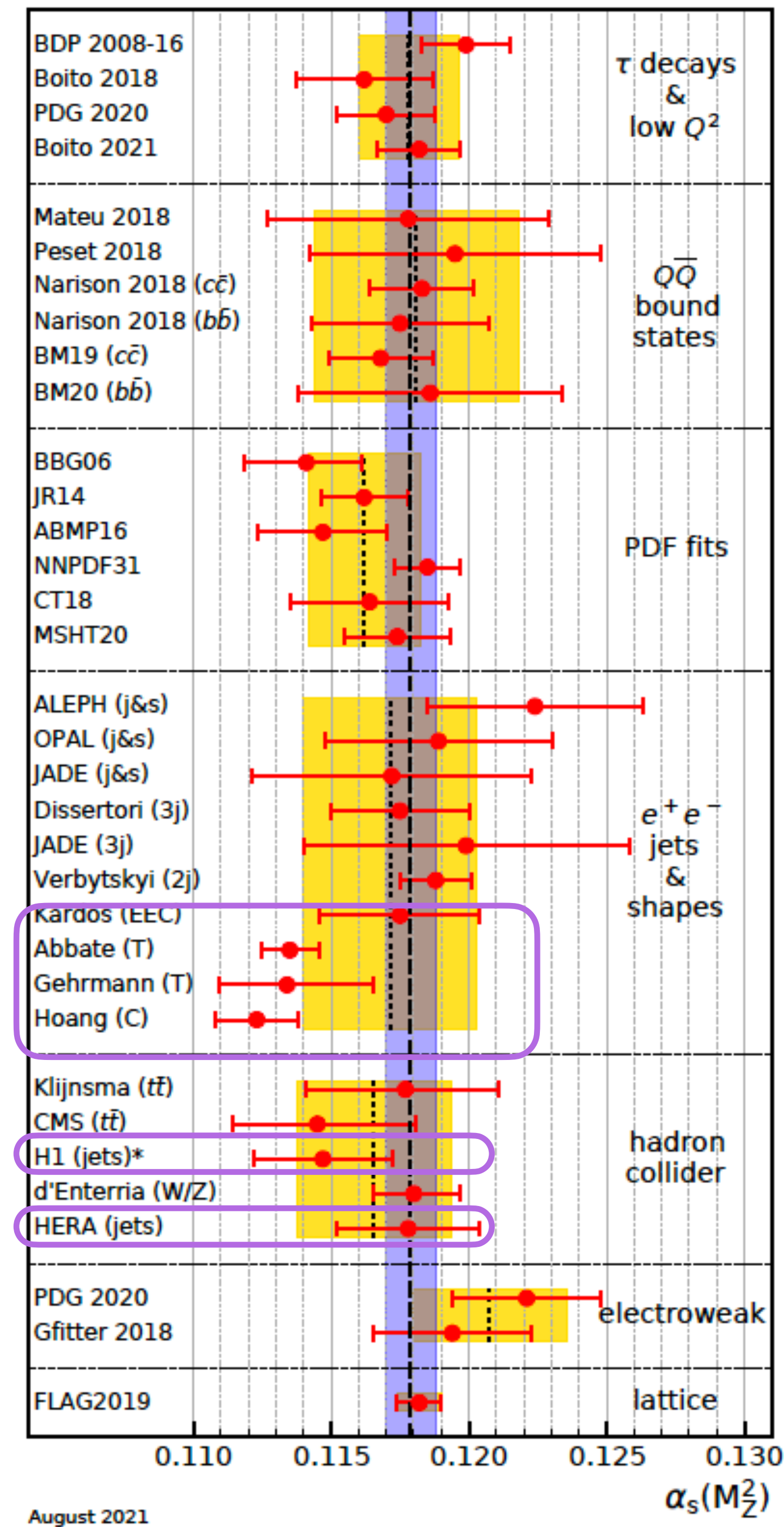


Abbate et al., PRD 83 (2011) 074021



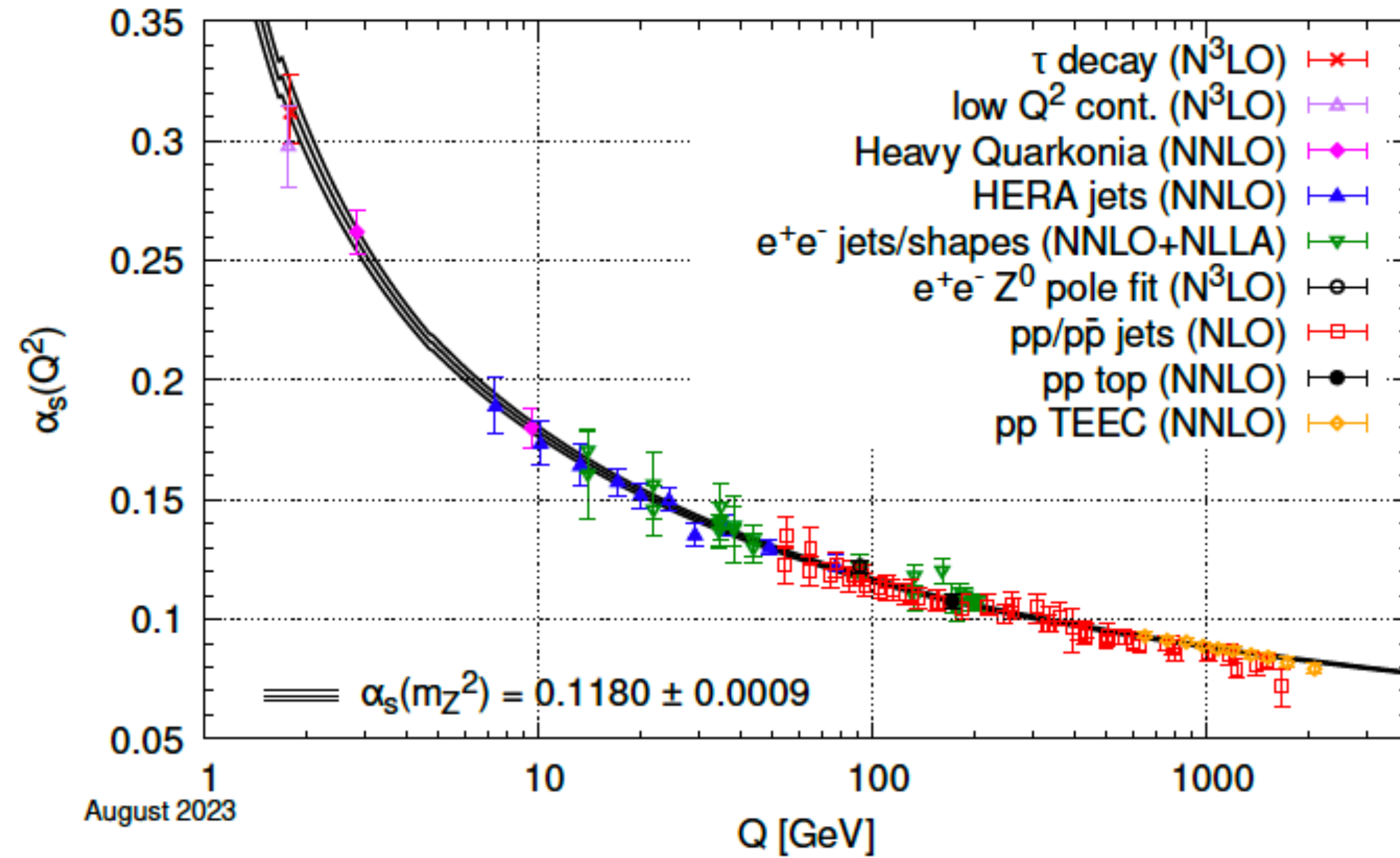
Event shapes

ep:

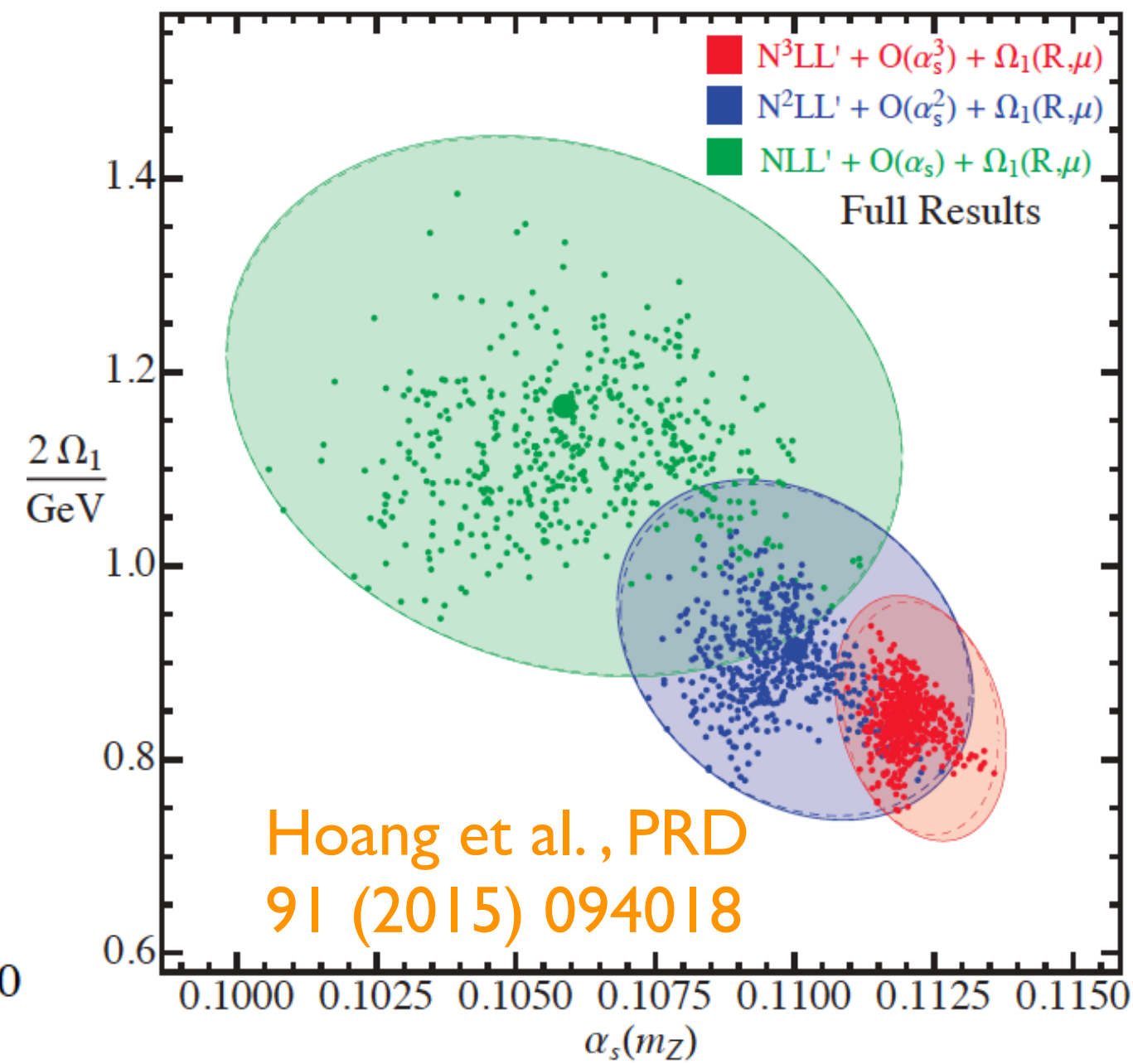
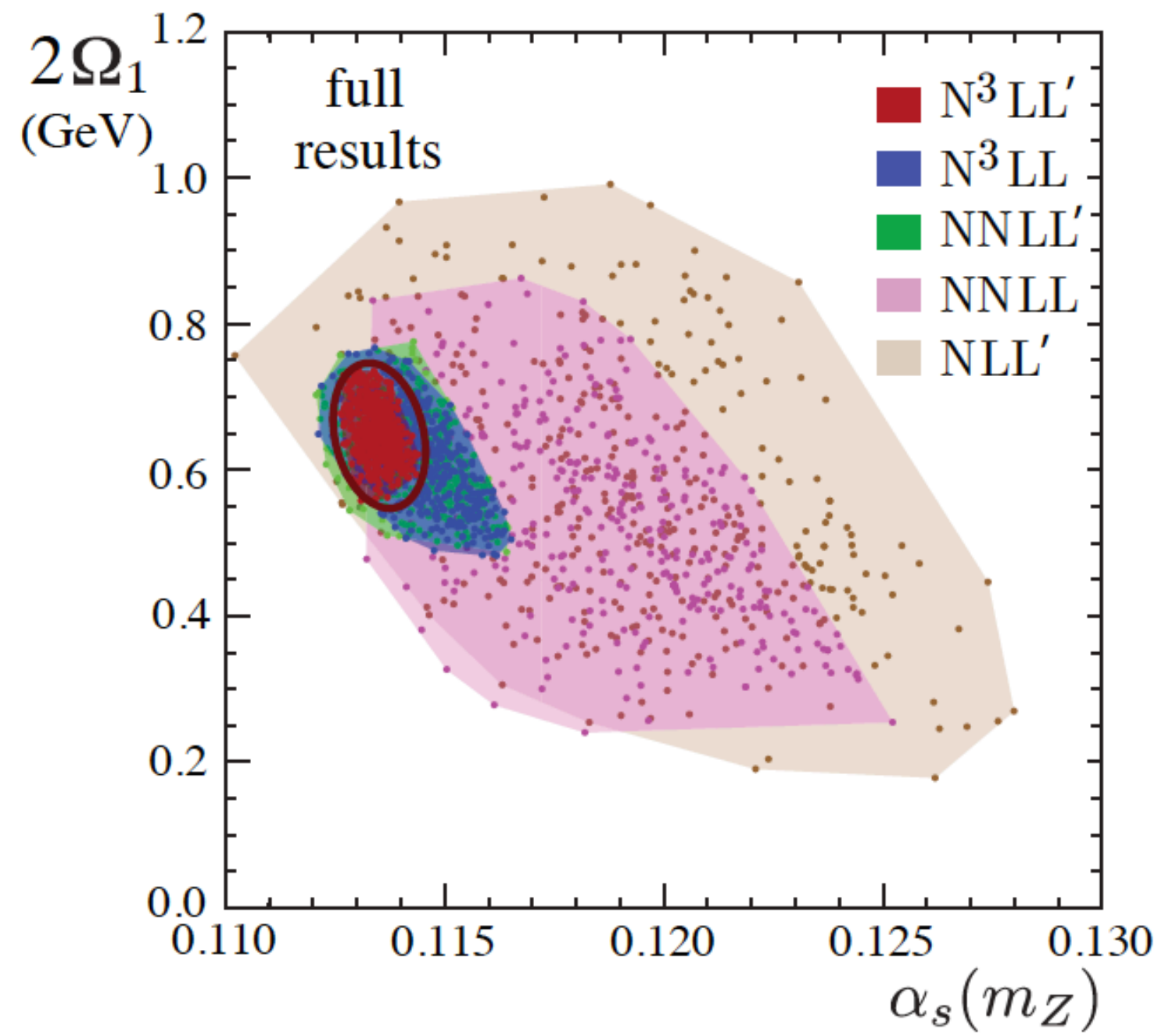


Event shapes and the strong coupling

PDG 2024:



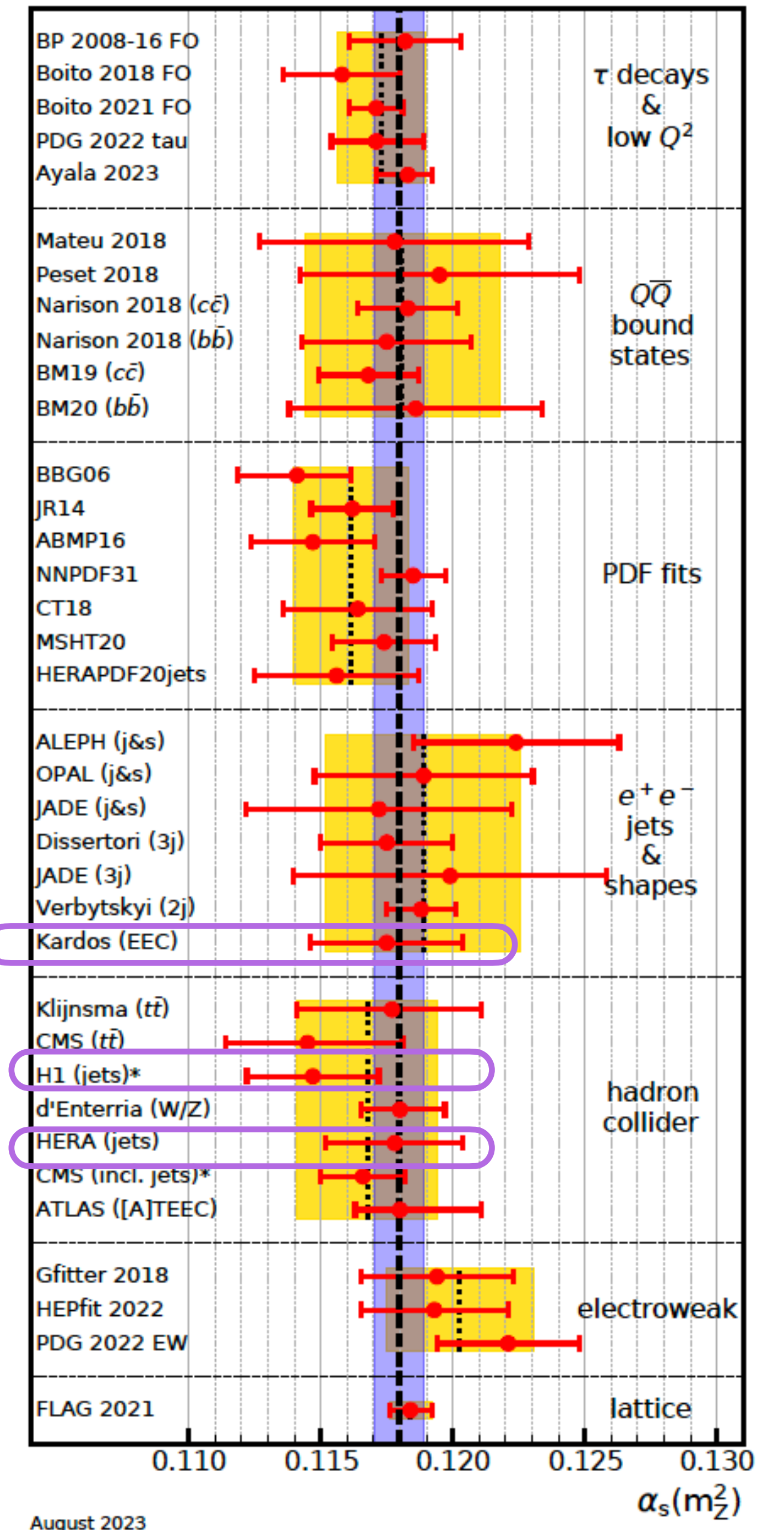
Abbate et al., PRD 83 (2011) 074021



Hoang et al., PRD 91 (2015) 094018

Event shapes??

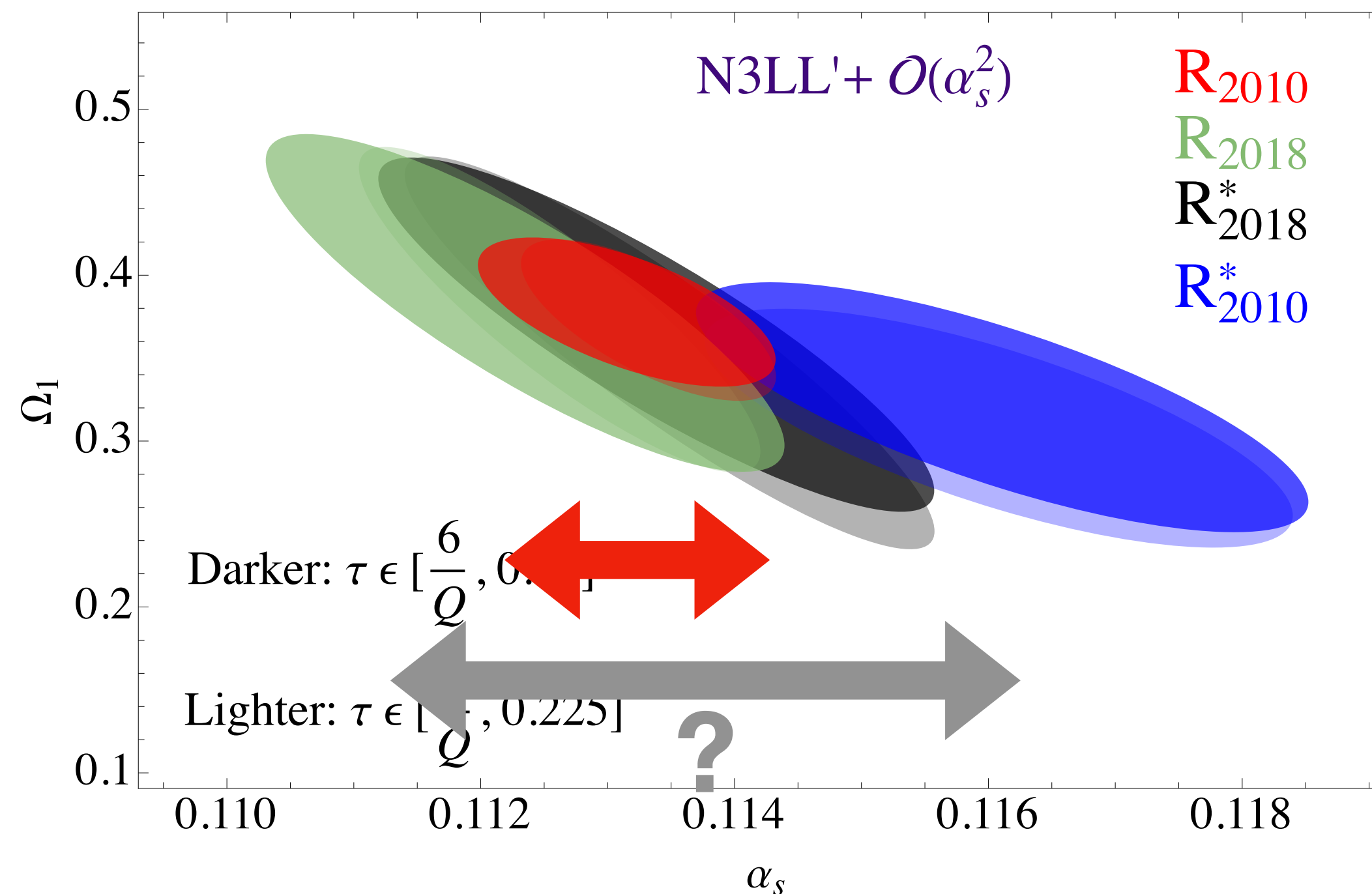
ep:



August 2023

Uncertainties underestimated?

- Some questions have been raised about systematic uncertainties due to understanding of size of nonperturbative power corrections in 3-jet region
 - Caola *et al.* [2108.08897, 2204.02247]
 - Nason, Zanderighi [2301.03607]
 - See: Benitez-Rathgeb *et al.* [2405.14380]
- and/or uncertainties due to schemes chosen to subtract renormalon ambiguities and/or perturbative scales chosen in the non-singular fixed-order prediction in the 3-jet region that probe the size of unresummed *subleading-power* logs
 - Bell, CL, Makris, Talbert, Yan [2311.03990]

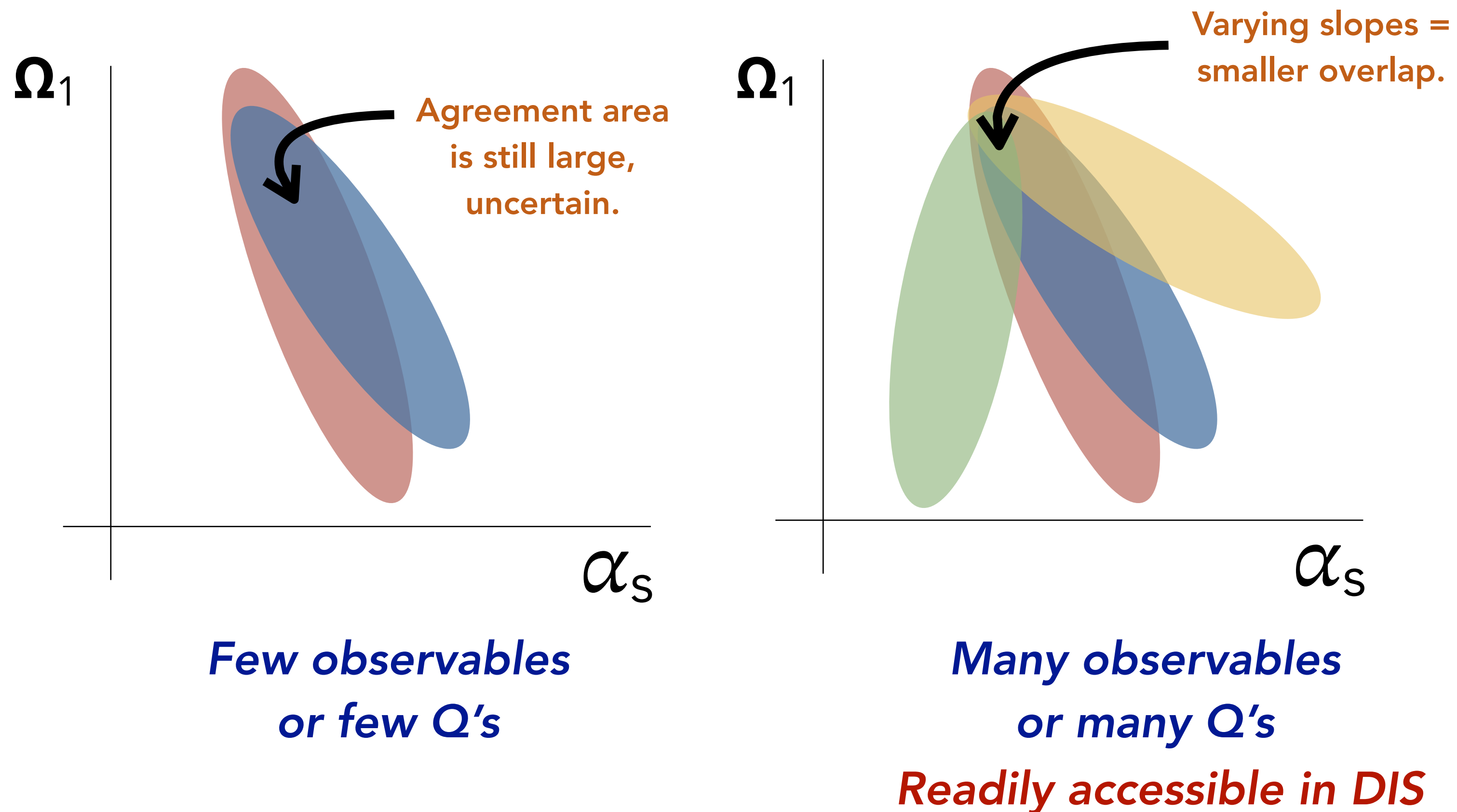


- Perhaps room for other methods to help resolve!

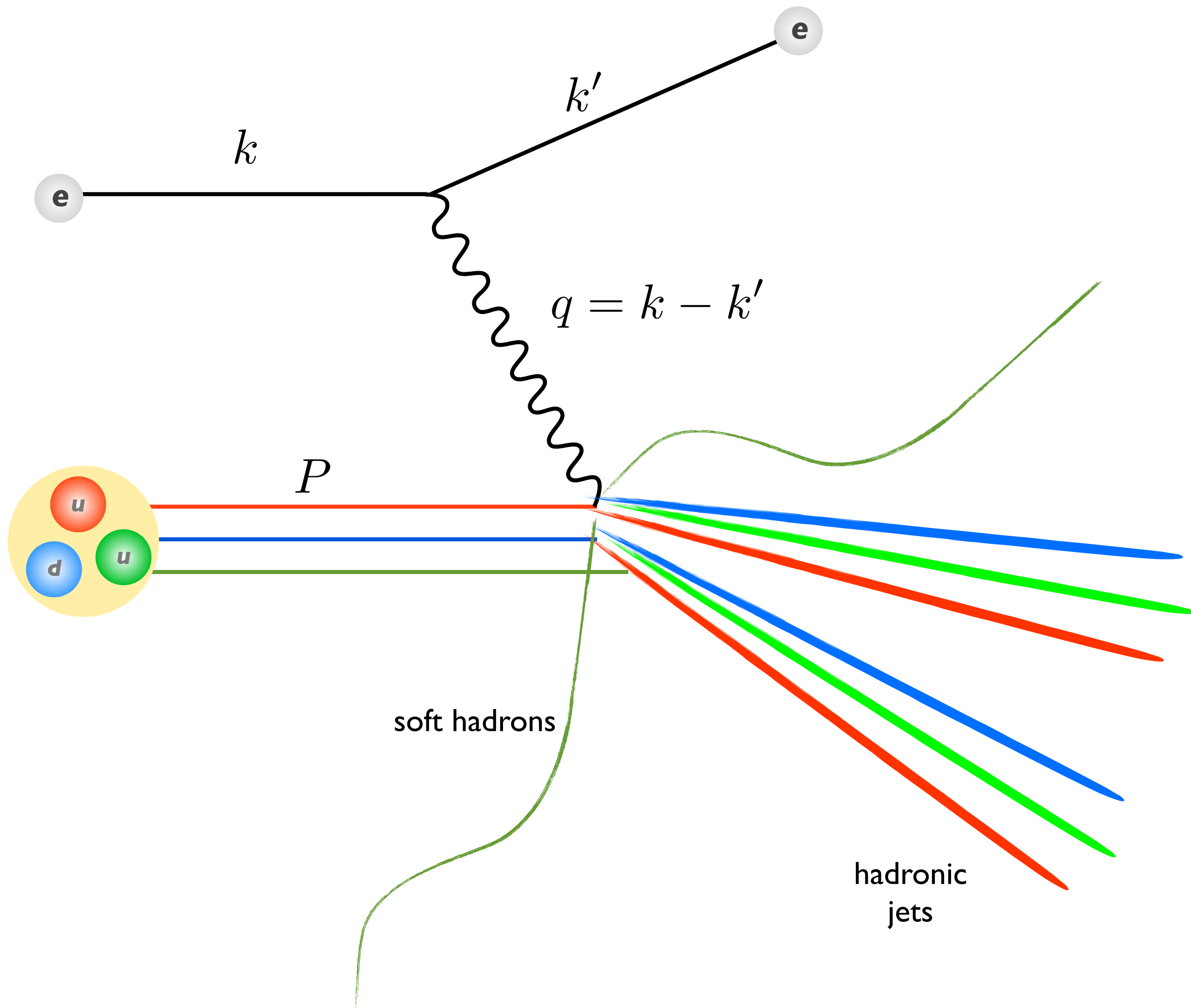
Need to break degeneracies

- In tail region, leading nonperturbative effect is a shift by $c_e \Omega_1 / Q$
 c_e is an exact observable dependent coefficient, e.g. angularities $c_e = 2/(1-a)$

$$\tau_a = \frac{1}{Q} \sum_i |\mathbf{p}_i^\perp| e^{-|\eta_i|(1-a)}$$



DIS Kinematics



Not as clean as e^+e^- , but provides single laboratory to vary x , Q to break $\{\alpha_s, \Omega_1\}$ degeneracies

$$s = (k + P)^2 \quad \text{squared center-of-mass energy}$$

$$Q^2 = -q^2 \quad \text{momentum transfer}$$

$$x = \frac{Q^2}{2P \cdot q} \quad \text{Bjorken scaling variable}$$

$$y = \frac{P \cdot q}{P \cdot k} \quad \text{lepton energy loss in proton rest frame}$$

$$Q^2 = xys$$

$$p_X = q + P \quad \text{total momentum of final hadronic state}$$

$$p_X^2 = \frac{1-x}{x} Q^2 \quad \text{invariant mass of final hadronic state}$$



1934-2024

Jets in DIS and the strong coupling

Process	Collab.	Value	Exp.	Th.	Total	(%)
(1) Inc. jets at low Q^2	H1	0.1180	0.0018	+0.0124 -0.0093	+0.0125 -0.0095	+10.6 -8.1
(2) Dijets at low Q^2	H1	0.1155	0.0018	+0.0124 -0.0093	+0.0125 -0.0095	+10.8 -8.2
(3) Trijets at low Q^2	H1	0.1170	0.0017	+0.0091 -0.0073	+0.0093 -0.0075	+7.9 -6.4
(4) Combined low Q^2	H1	0.1160	0.0014	+0.0094 -0.0079	+0.0095 -0.0080	+8.2 -6.9
(5) Trijet/dijet at low Q^2	H1	0.1215	0.0032	+0.0067 -0.0059	+0.0074 -0.0067	+6.1 -5.5
(6) Inc. jets at medium Q^2	H1	0.1195	0.0010	+0.0052 0.0040	+0.0053 0.0041	+4.4 3.4
(7) Dijets at medium Q^2	H1	0.1155	0.0009	+0.0045 -0.0035	+0.0046 -0.0036	+4.0 -3.1
(8) Trijets at medium Q^2	H1	0.1172	0.0013	+0.0053 -0.0032	+0.0055 -0.0035	+4.7 -3.0
(9) Combined medium Q^2	H1	0.1168	0.0007	+0.0049 -0.0034	+0.0049 -0.0035	+4.2 -3.0
(10) Inc. jets at high Q^2 (anti- k_T)	ZEUS	0.1188	+0.0036 -0.0035	+0.0022 -0.0022	+0.0042 -0.0041	+3.5 -3.5
(11) Inc. jets at high Q^2 (SIScone)	ZEUS	0.1186	+0.0036 -0.0035	+0.0025 -0.0025	+0.0044 -0.0043	+3.7 -3.6
(12) Inc. jets at high Q^2 (k_T ; HERA I)	ZEUS	0.1207	+0.0038 -0.0036	+0.0022 -0.0023	+0.0044 -0.0043	+3.6 -3.6
(13) Inc. jets at high Q^2 (k_T ; HERA II)	ZEUS	0.1208	+0.0037 -0.0032	+0.0022 -0.0022	+0.0043 -0.0039	+3.6 -3.2
(14) Inc. jets in γp (anti- k_T)	ZEUS	0.1200	+0.0024 -0.0023	+0.0043 -0.0032	+0.0049 -0.0039	+4.1 -3.3
(15) Inc. jets in γp (SIScone)	ZEUS	0.1199	+0.0022 -0.0022	+0.0047 -0.0042	+0.0052 -0.0047	+4.3 -3.9
(16) Inc. jets in γp (k_T)	ZEUS	0.1208	+0.0024 -0.0023	+0.0044 -0.0033	+0.0050 -0.0040	+4.1 -3.3
(17) Jet shape	ZEUS	0.1176	+0.0013 -0.0028	+0.0091 -0.0072	+0.0092 -0.0077	+7.8 -6.5
(18) Subjet multiplicity	ZEUS	0.1187	+0.0029 -0.0019	+0.0093 -0.0076	+0.0097 -0.0078	+8.2 -6.6
HERA average 2004		0.1186	± 0.0011	± 0.0050	± 0.0051	± 4.3
HERA average 2007		0.1198	± 0.0019	± 0.0026	± 0.0032	± 2.7

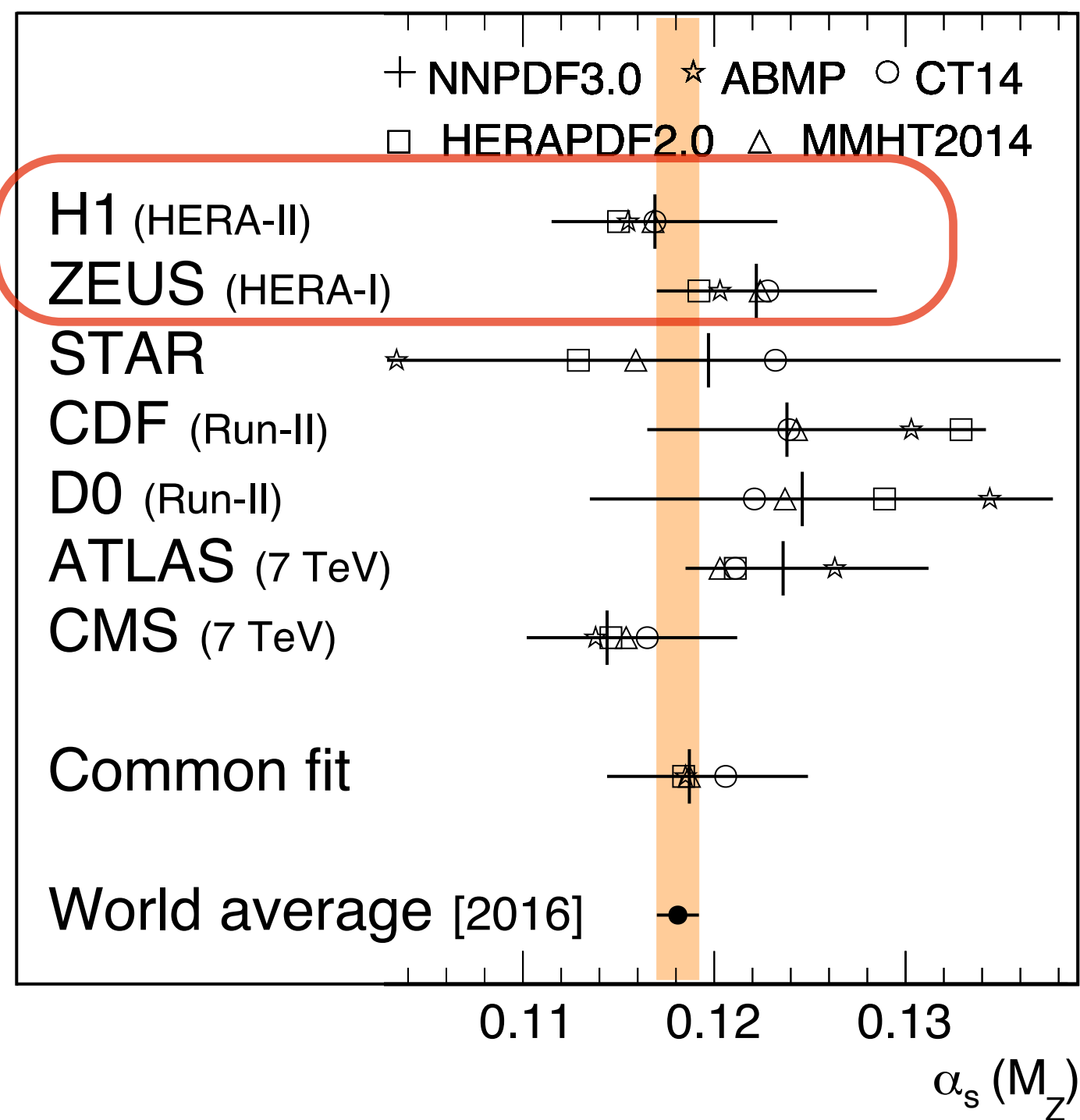
Table 1: Values of $\alpha_s(M_Z)$ extracted from jet observables at HERA together with their uncertainties (rows 1 to 18). The 2004 [10] and 2007 [11] HERA averages are shown in the last two rows.

Extractions from exclusive jet cross sections have order 10% uncertainty, dominated by theory

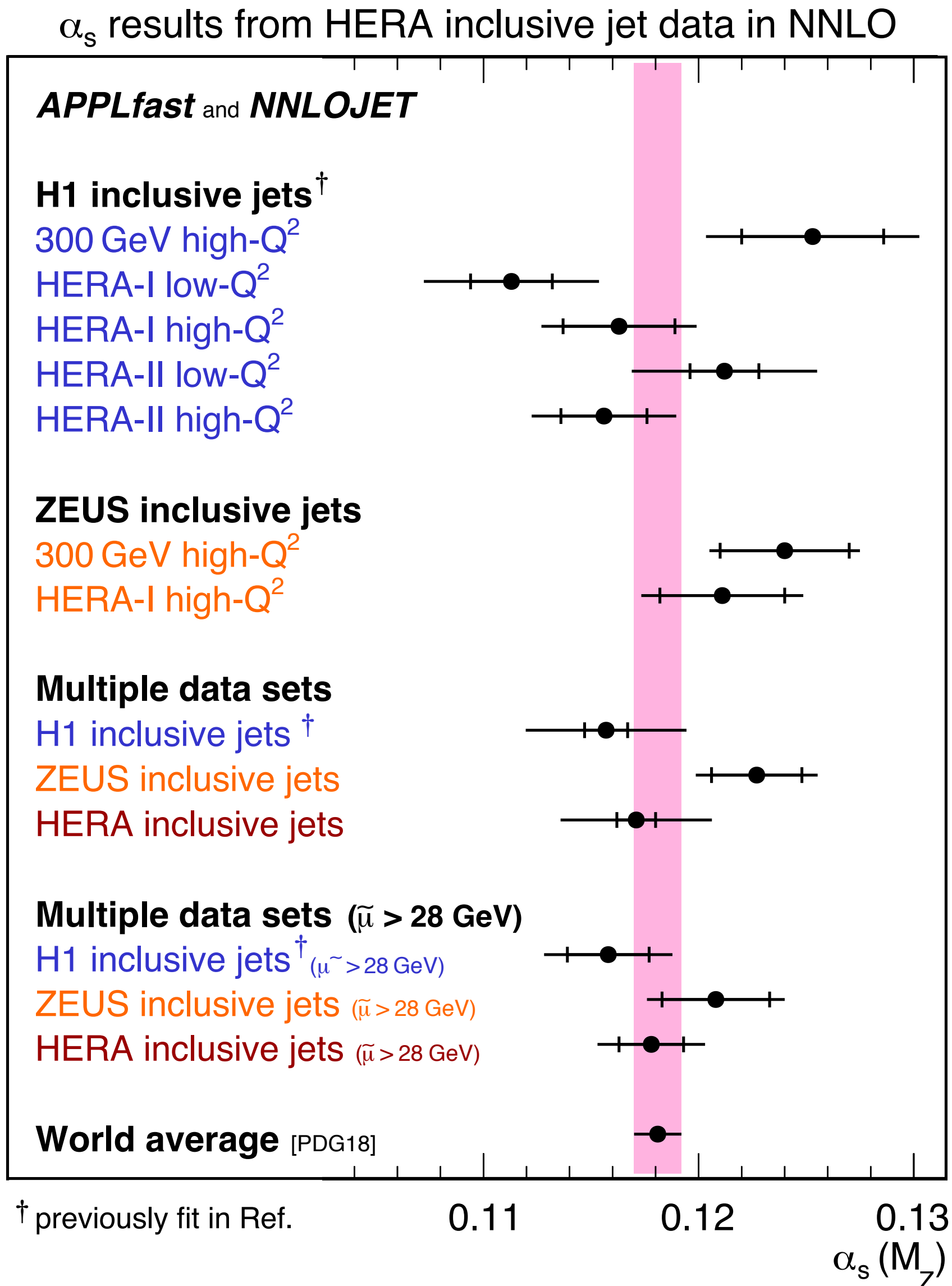
Improve to level of e^+e^- ?

C. Glasman, in the Proceedings of the Workshop on Precision Measurements of α_s [1110.0016]

Jets in DIS and the strong coupling



Britzger et al. [1712.00480]



Extractions from inclusive jet cross sections have order 10% uncertainty, exp + theory

Improve to level of e^+e^- ?

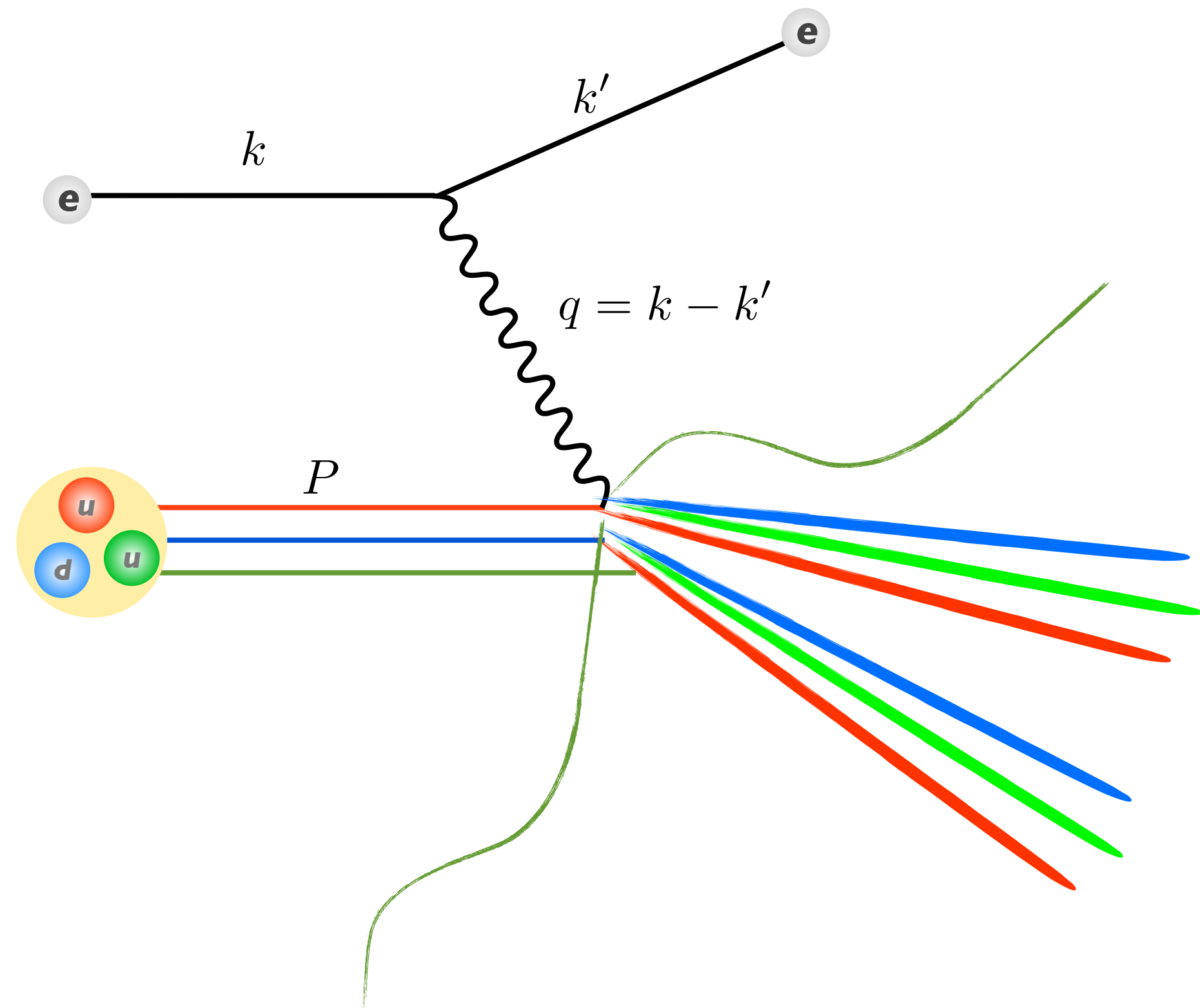
Britzger et al. [1906.05303]

I-Jettiness in DIS

D. Kang, CL, I. Stewart [1303.6952]

also Z. Kang, Liu, Mantry, Qiu
[1204.5469, 1303.3063, 1312.0301]

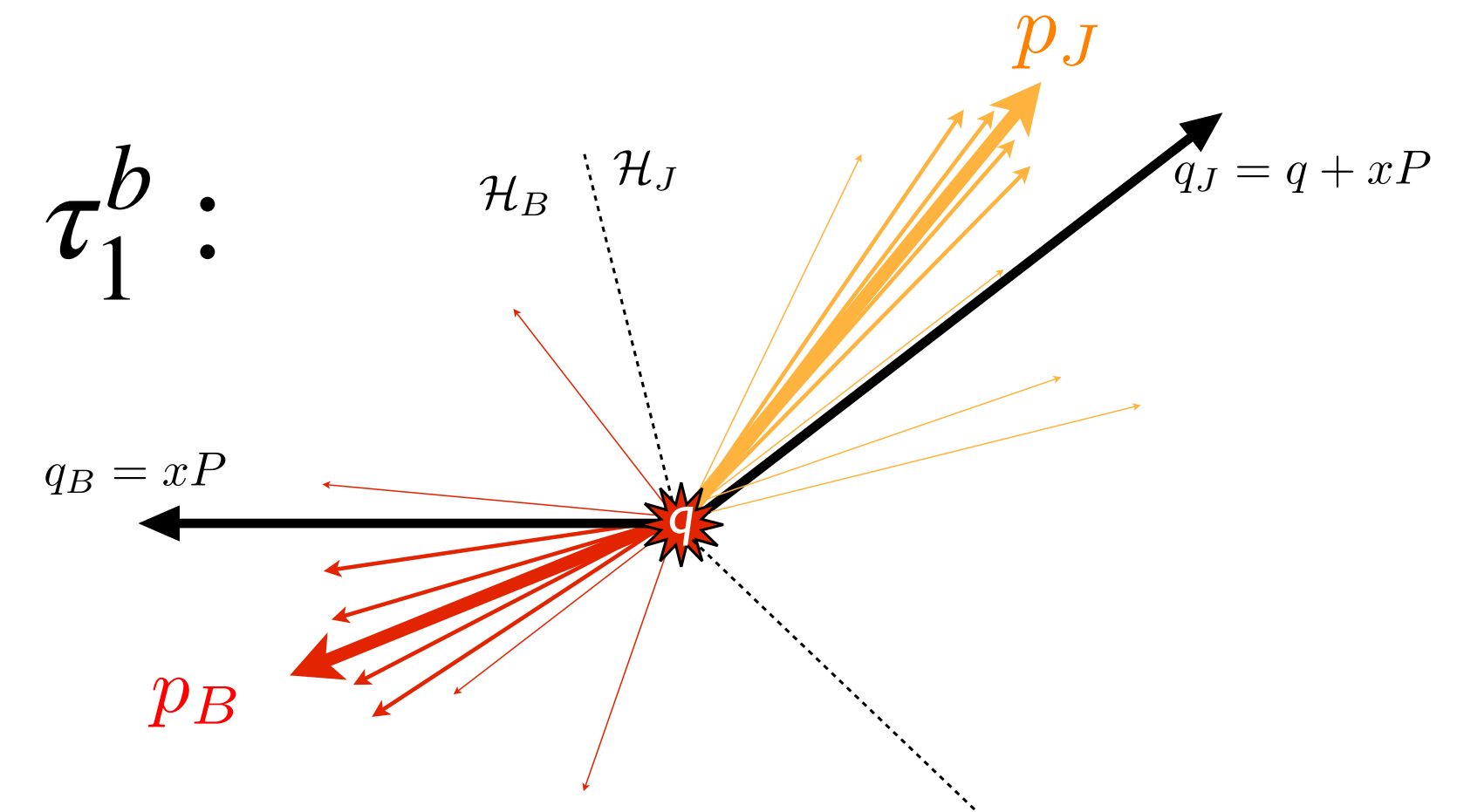
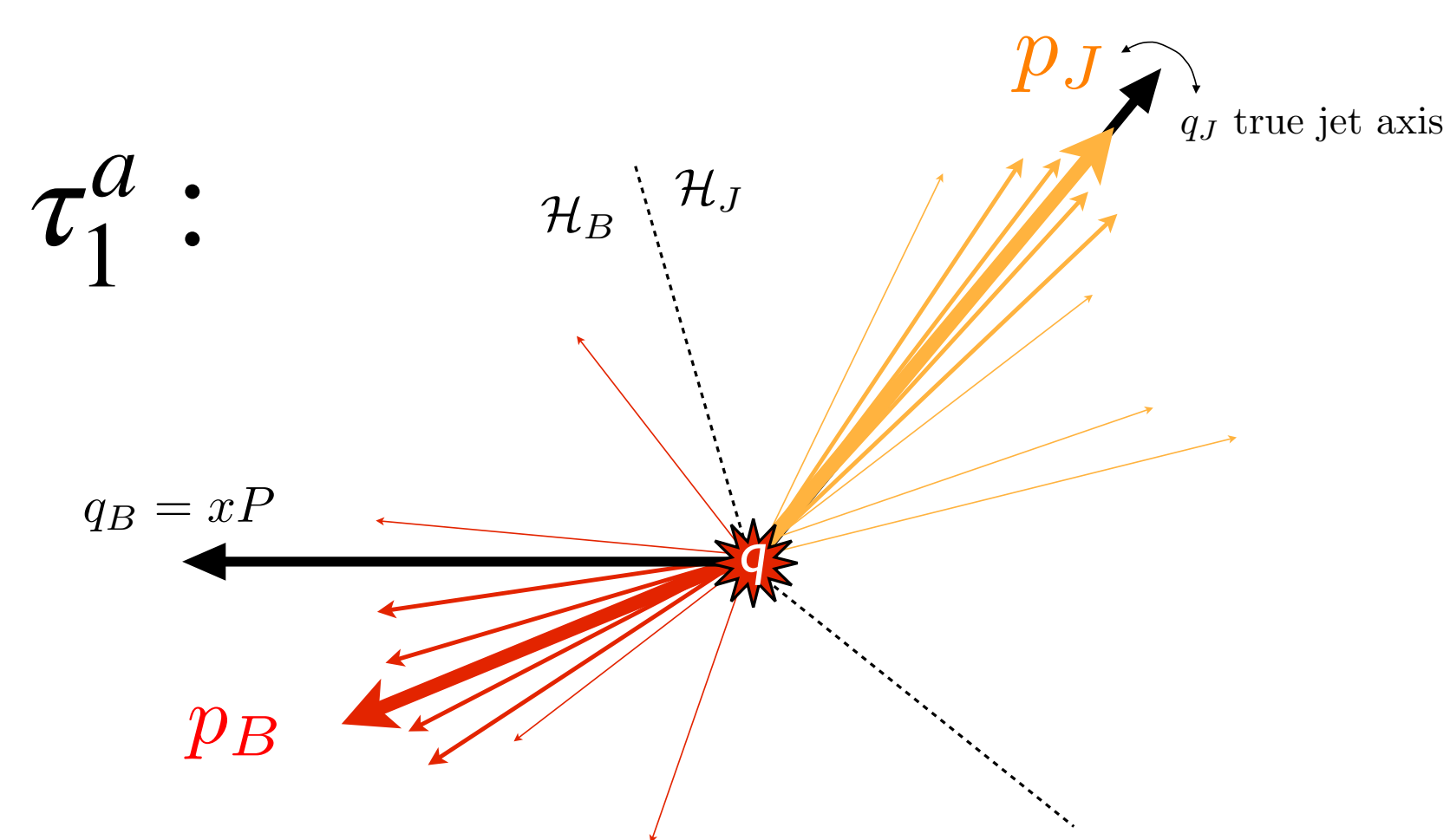
Considered eA collisions too



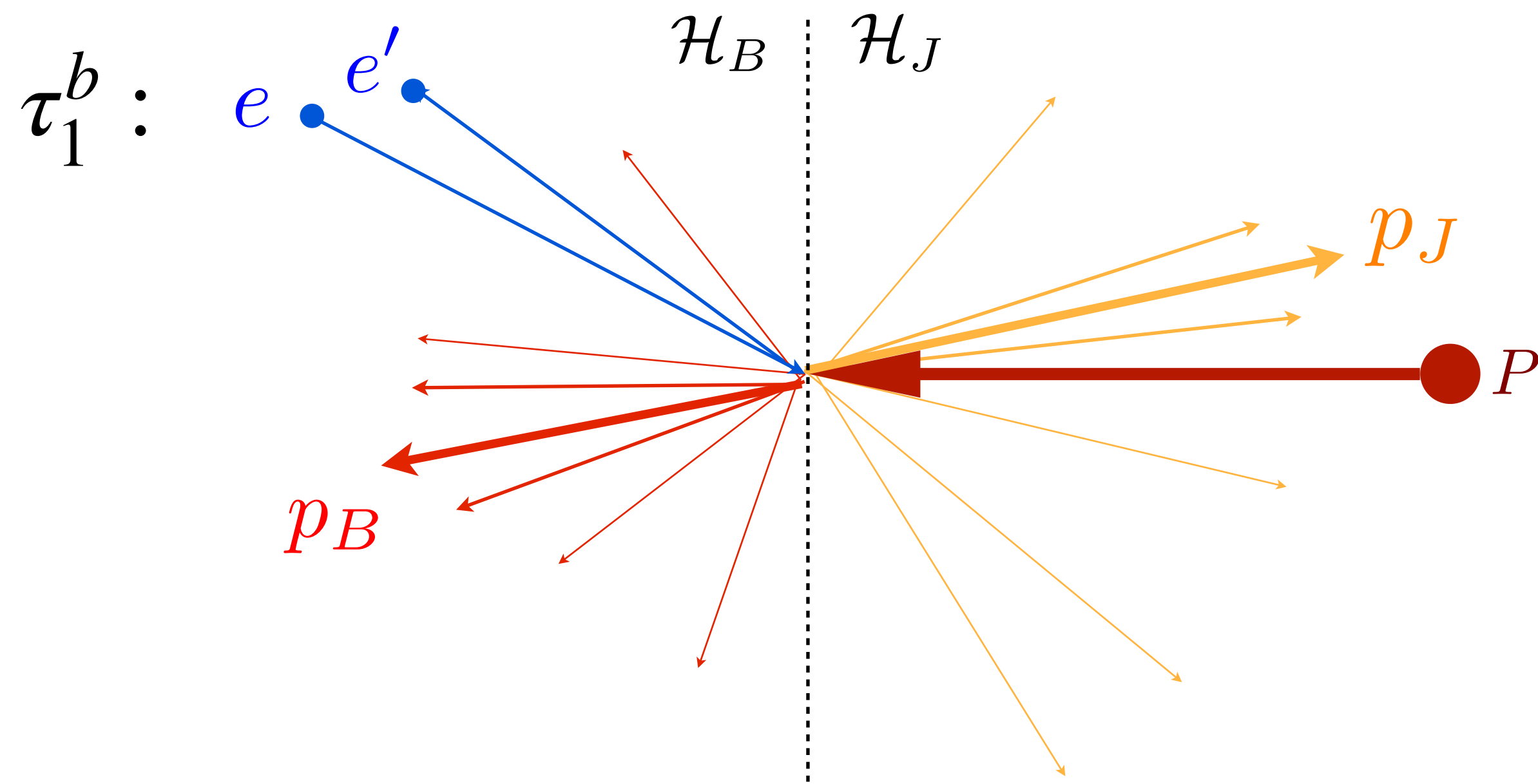
- “I-jettiness” in DIS measures final states with beam radiation + one additional jet

$$\tau_1 = \frac{2}{Q^2} \sum_{i \in X} \min\{q_B \cdot p_i, q_J \cdot p_i\}$$

- Different choices of axes are possible: different sensitivity to ISR transverse momentum



DIS thrust



In the Breit frame:

$$\tau_1 = \frac{2}{Q^2} \sum_i \min\{q_B \cdot p_i, q_J \cdot p_i\}$$

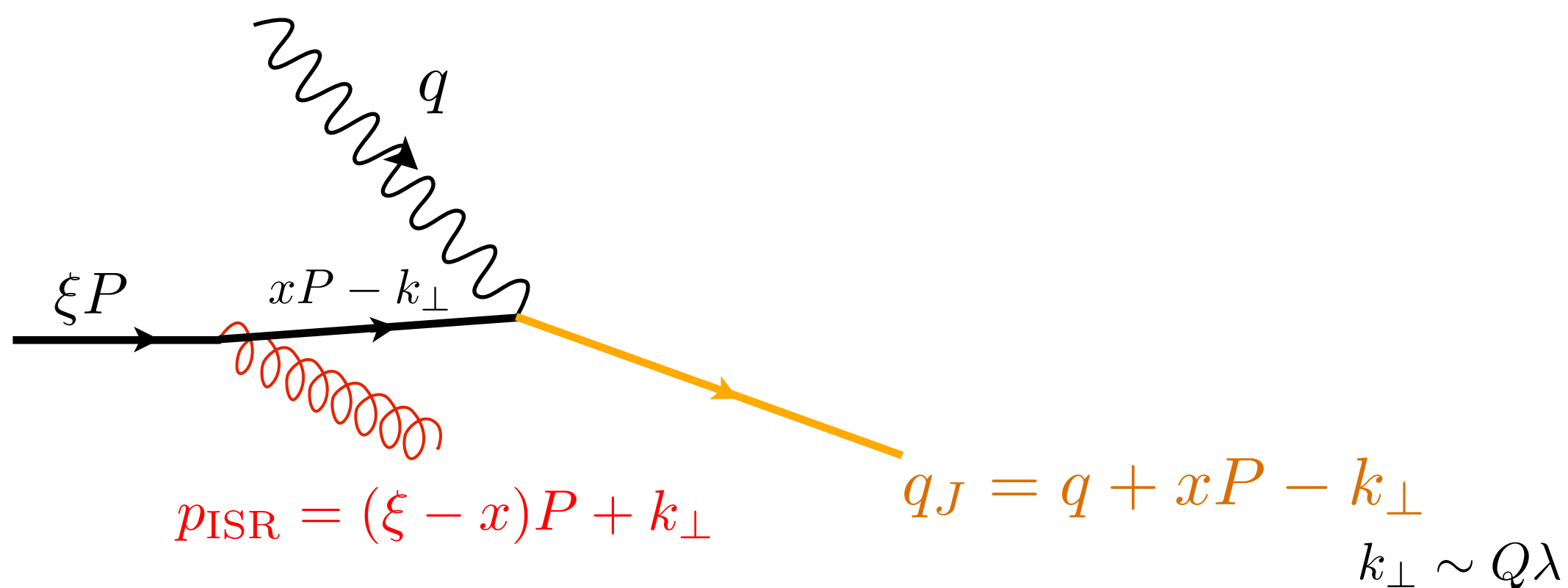
$$q_B = xP$$

$$q_J = q + xP$$

$$\stackrel{\text{Breit}}{=} 1 - \frac{2}{Q} \sum_{i \in \mathcal{H}_J^b} p_z^i$$

same as DIS thrust of
Antonelli, Dasgupta,
Salam (1999)

sensitive to ISR transverse momentum:



ultimately depends only on
momentum in jet or
“current” hemisphere

(thanks to momentum
conservation)

(not true of τ_1^a)

Fixed-order computation

Cross section:

$$\frac{d\sigma}{dx dQ^2 d\tau} = L_{\mu\nu}(x, Q^2) W^{\mu\nu}(x, Q^2, \tau)$$

Hadronic tensor:

$$W^{\mu\nu}(x, Q^2, \tau) = \int d^4x e^{iq \cdot x} \langle P | J^{\mu\dagger}(x) \delta(\tau - \hat{\tau}) J^\nu(0) | P \rangle$$

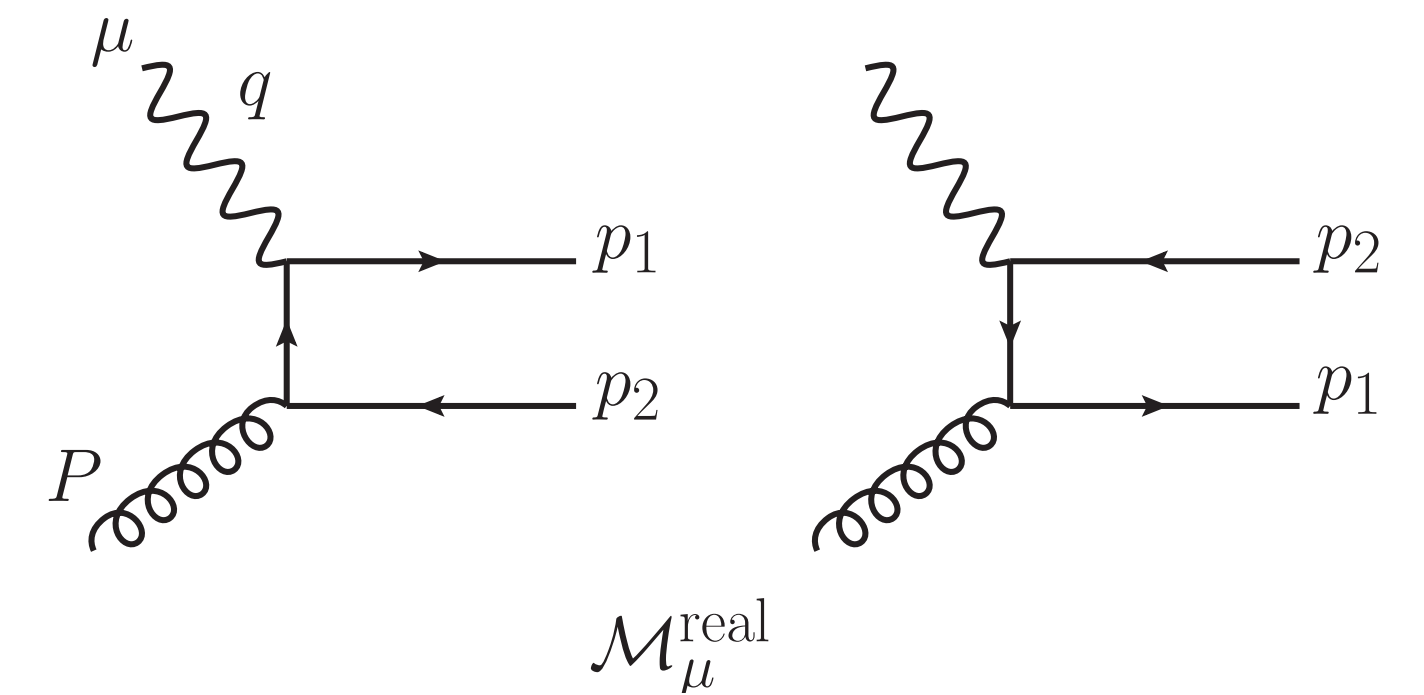
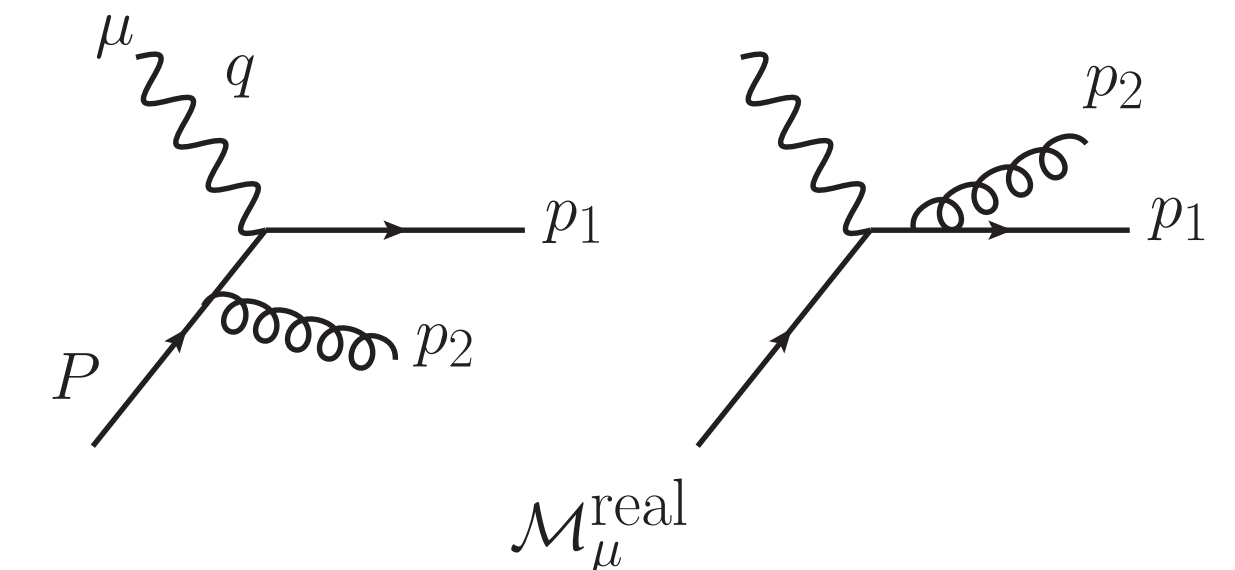
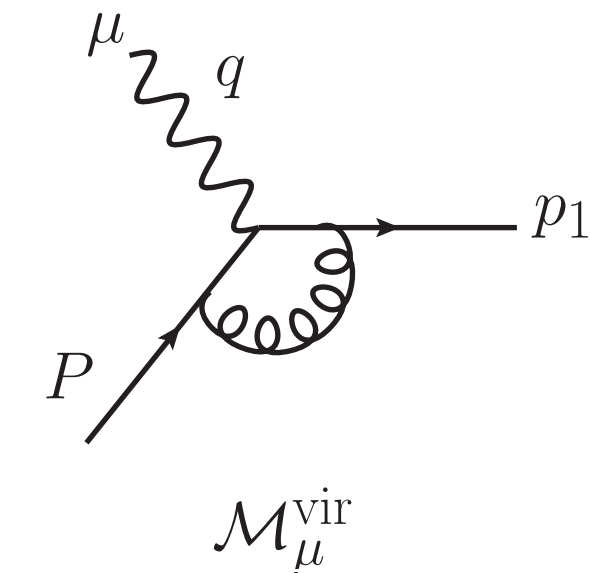
Measure thrust of final state:

$$W_{\mu\nu}^j(x, Q^2, \tau) = \frac{1}{s_j} \sum_n \int d\Phi_n \mathcal{M}_\mu^*(j(P) \rightarrow p_1 \dots p_n) \mathcal{M}_\nu(j(P) \rightarrow p_1 \dots p_n) \\ \times (2\pi)^D \delta^D(P + q - \sum_i p_i) \delta(\tau - \tau(\{p_1 \dots p_n\})) \equiv \sum_n W_{\mu\nu}^{j[n]}$$

2-particle phase space:

$$W_{\mu\nu}^{j[2]} = \frac{1}{s_j} \frac{1}{8\pi} \left(\frac{4\pi}{Q^2}\right)^\epsilon \frac{1}{\Gamma(1-\epsilon)} \left(\frac{x}{1-x}\right)^\epsilon \int_0^1 \frac{dv}{v^\epsilon(1-v)^\epsilon} \mathcal{M}_\mu^* \mathcal{M}_\nu \delta(\tau - \tau(x, v))$$

Diagrams to $\mathcal{O}(\alpha_s)$:



$$p_1^\mu = Qv \frac{n_z^\mu}{2} + Q(1-v) \frac{1-x}{x} \frac{\bar{n}_z^\mu}{2} + p_\perp^\mu$$

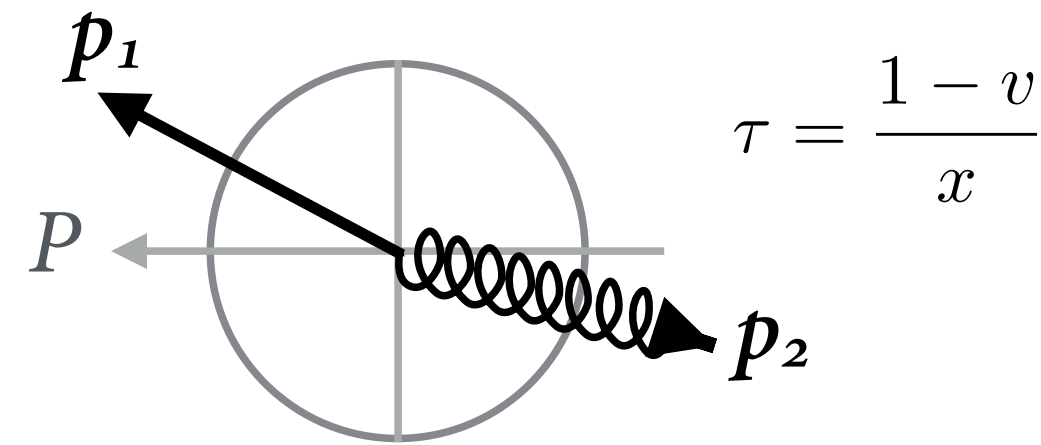
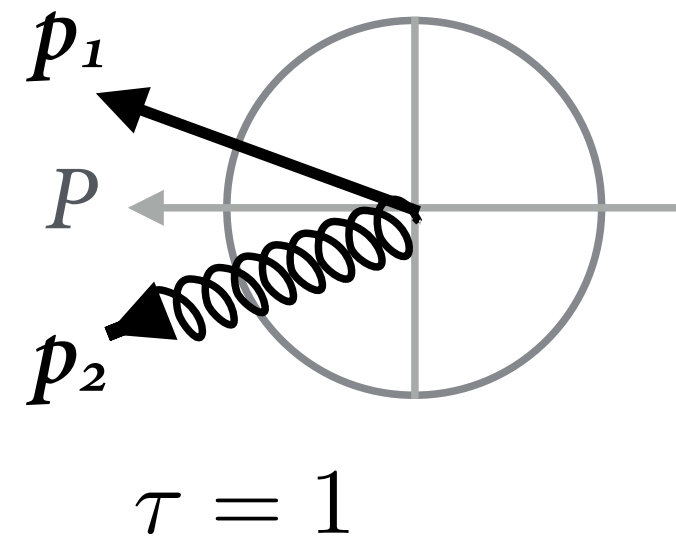
$$p_2^\mu = Q(1-v) \frac{n_z^\mu}{2} + Qv \frac{1-x}{x} \frac{\bar{n}_z^\mu}{2} - p_\perp^\mu$$

Fixed-order computation

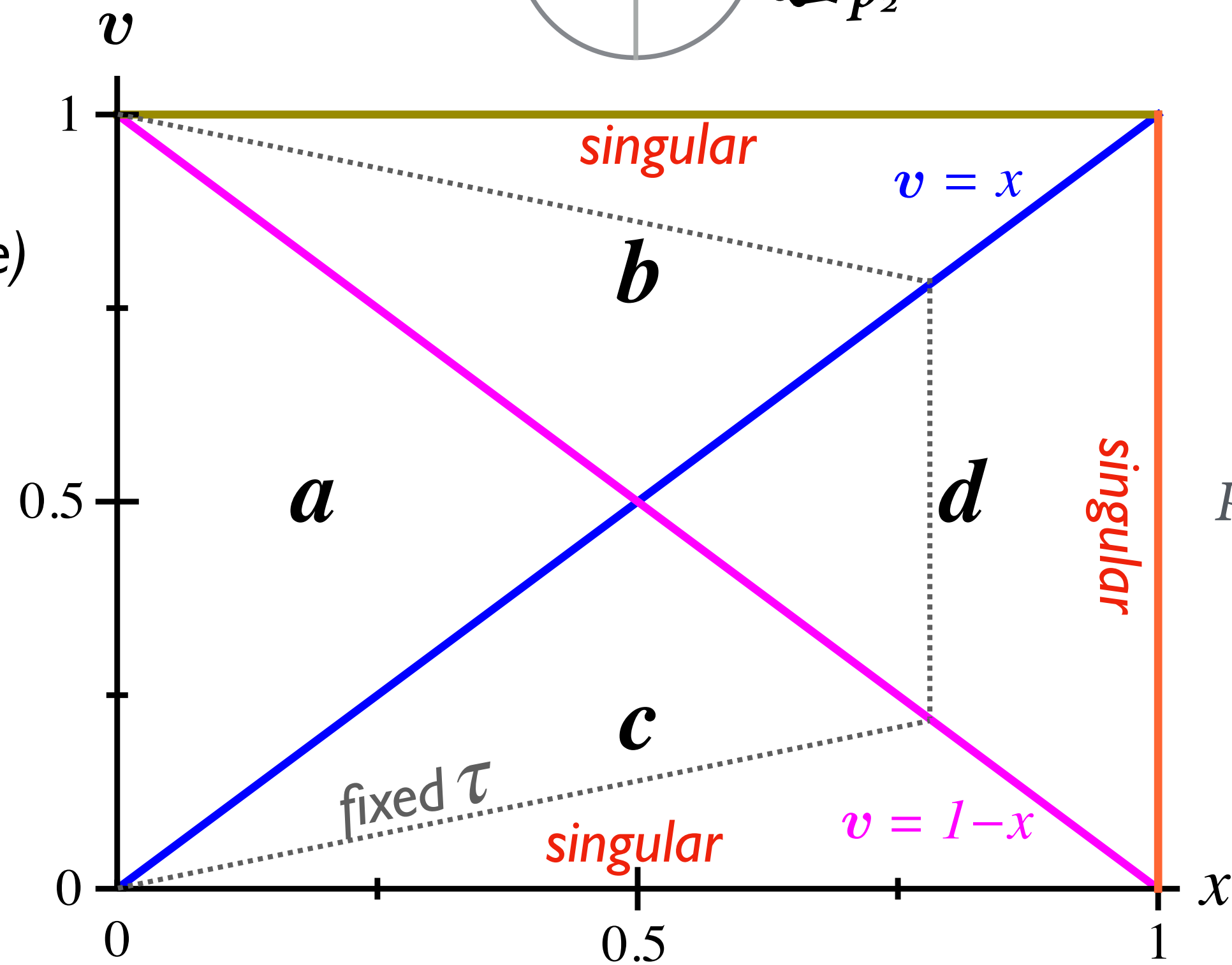
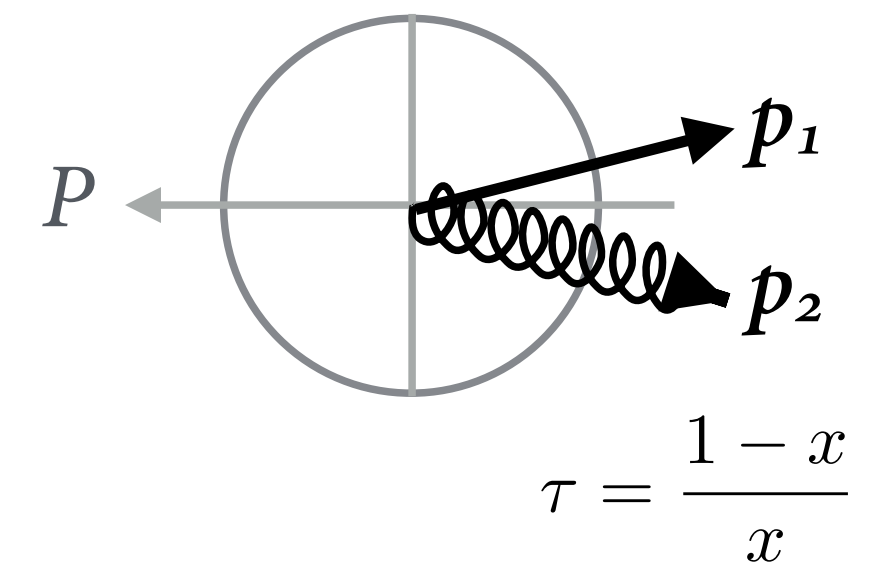
D. Kang, CL, Stewart
[1407.6706]

2-particle phase space:

(all particles into beam hemisphere)

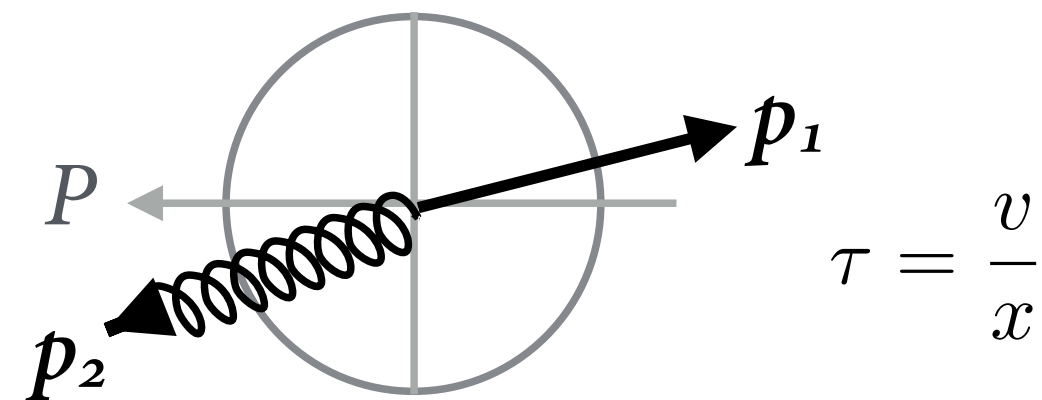


(all particles into jet/current hemisphere)



$$p_1^\mu = Qv \frac{n_z^\mu}{2} + Q(1-v) \frac{1-x}{x} \frac{\bar{n}_z^\mu}{2} + p_\perp^\mu$$

$$p_2^\mu = Q(1-v) \frac{n_z^\mu}{2} + Qv \frac{1-x}{x} \frac{\bar{n}_z^\mu}{2} - p_\perp^\mu$$



Fixed-order results

D. Kang, CL, Stewart
[1407.6706]

Structure functions:

$$W^{\mu\nu}(x, Q^2, \tau) = 4\pi \left[T_1^{\mu\nu} \mathcal{F}_1(x, Q^2, \tau) + T_2^{\mu\nu} \frac{\mathcal{F}_2(x, Q^2, \tau)}{P \cdot q} \right] \quad T_1^{\mu\nu} = -g^{\mu\nu} + \frac{q^\mu q^\nu}{q^2}, \quad T_2^{\mu\nu} = \left(P^\mu - q^\mu \frac{P \cdot q}{q^2} \right) \left(P^\nu - q^\nu \frac{P \cdot q}{q^2} \right)$$

$$\mathcal{F}_L \equiv \mathcal{F}_2 - 2x\mathcal{F}_1$$

Group into singular and non-singular parts:

$$F_1(x, Q^2, \tau) = \sum_{i \in \{q, \bar{q}, g\}} (A_i + B_i)$$

$$F_L(x, Q^2, \tau) = \sum_{i \in \{q, \bar{q}, g\}} 4x A_i.$$

(integrated:)

$$F(x, Q^2, \tau) = \int_0^\tau d\tau' \mathcal{F}(x, Q^2, \tau')$$

$$F_i = F_i^{\text{sing}} + F_i^{\text{ns}}$$

Singular terms:

$$A_{q,g}^{\text{sing}} = 0,$$

$$B_q^{\text{sing}} = \sum_f Q_f^2 \left\{ f_q(x) \left[\frac{1}{2} - \frac{\alpha_s C_F}{4\pi} \left(\frac{9}{2} + \frac{\pi^2}{3} + 3 \ln \tau + 2 \ln^2 \tau \right) \right] \right. \\ \left. + \frac{\alpha_s C_F}{4\pi} \int_x^1 \frac{dz}{z} f_q(x/z) \left[\mathcal{L}_1(1-z)(1+z^2) + (1-z) + P_{qq}(z) \ln \frac{Q^2 \tau}{\mu^2} \right] \right\}$$

$$B_g^{\text{sing}} = \sum_f Q_f^2 \frac{\alpha_s T_F}{2\pi} \int_x^1 \frac{dz}{z} f_g(x/z) \left[1 - P_{qg}(z) + P_{qg}(z) \ln \frac{Q^2 \tau (1-z)}{\mu^2} \right].$$

**Need
ln τ
resummation**

Fixed-order results

Non-singular terms
to $\mathcal{O}(\alpha_s)$:

D. Kang, CL, Stewart
[1407.6706]

For $\mathcal{O}(\alpha_s^2)$ we use
NLOJet++

Z. Nagy
[hep-ph/0307268]

$$A_q^{\text{ns}} = \sum_f Q_f^2 \frac{\alpha_s C_F}{4\pi} \left\{ \Theta_0 \int_x^{\frac{1}{1+\tau}} dz f_q\left(\frac{x}{z}\right) (2z\tau - 1) + \int_x^1 dz f_q\left(\frac{x}{z}\right) \right\},$$

$$A_g^{\text{ns}} = \sum_f Q_f^2 \frac{\alpha_s T_F}{\pi} \left\{ \Theta_0 \int_x^{\frac{1}{1+\tau}} dz f_g\left(\frac{x}{z}\right) (2z\tau - 1)(1 - z) + \int_x^1 dz f_g\left(\frac{x}{z}\right) (1 - z) \right\},$$

$$B_q^{\text{ns}} = \sum_f Q_f^2 \frac{\alpha_s C_F}{4\pi} \left\{ \Theta_0 \int_x^{\frac{1}{1+\tau}} \frac{dz}{z} f_q\left(\frac{x}{z}\right) \left[\frac{1 - 4z}{2(1 - z)} (2z\tau - 1) + P_{qq}(z) \ln \frac{z\tau}{1 - z\tau} \right] \right. \\ \left. + f_q(x) (3 \ln \tau + 2 \ln^2 \tau) + \int_x^1 \frac{dz}{z} f_q\left(\frac{x}{z}\right) \left[\mathcal{L}_0(1 - z) \frac{1 - 4z}{2} - P_{qq}(z) \ln z\tau \right] \right\},$$

$$B_g^{\text{ns}} = \sum_f Q_f^2 \frac{\alpha_s T_F}{2\pi} \left\{ \Theta_0 \int_x^{\frac{1}{1+\tau}} \frac{dz}{z} f_g\left(\frac{x}{z}\right) \left[-(2z\tau - 1) + P_{qg}(z) \ln \frac{z\tau}{1 - z\tau} \right] \right. \\ \left. - \int_x^1 \frac{dz}{z} f_g\left(\frac{x}{z}\right) [1 + P_{qg}(z) \ln z\tau] \right\},$$

$$\Theta_0 \equiv \Theta_0(\tau, x) \equiv \theta(\tau) \theta(1 - \tau) \theta\left(\frac{1 - x}{x} - \tau\right)$$

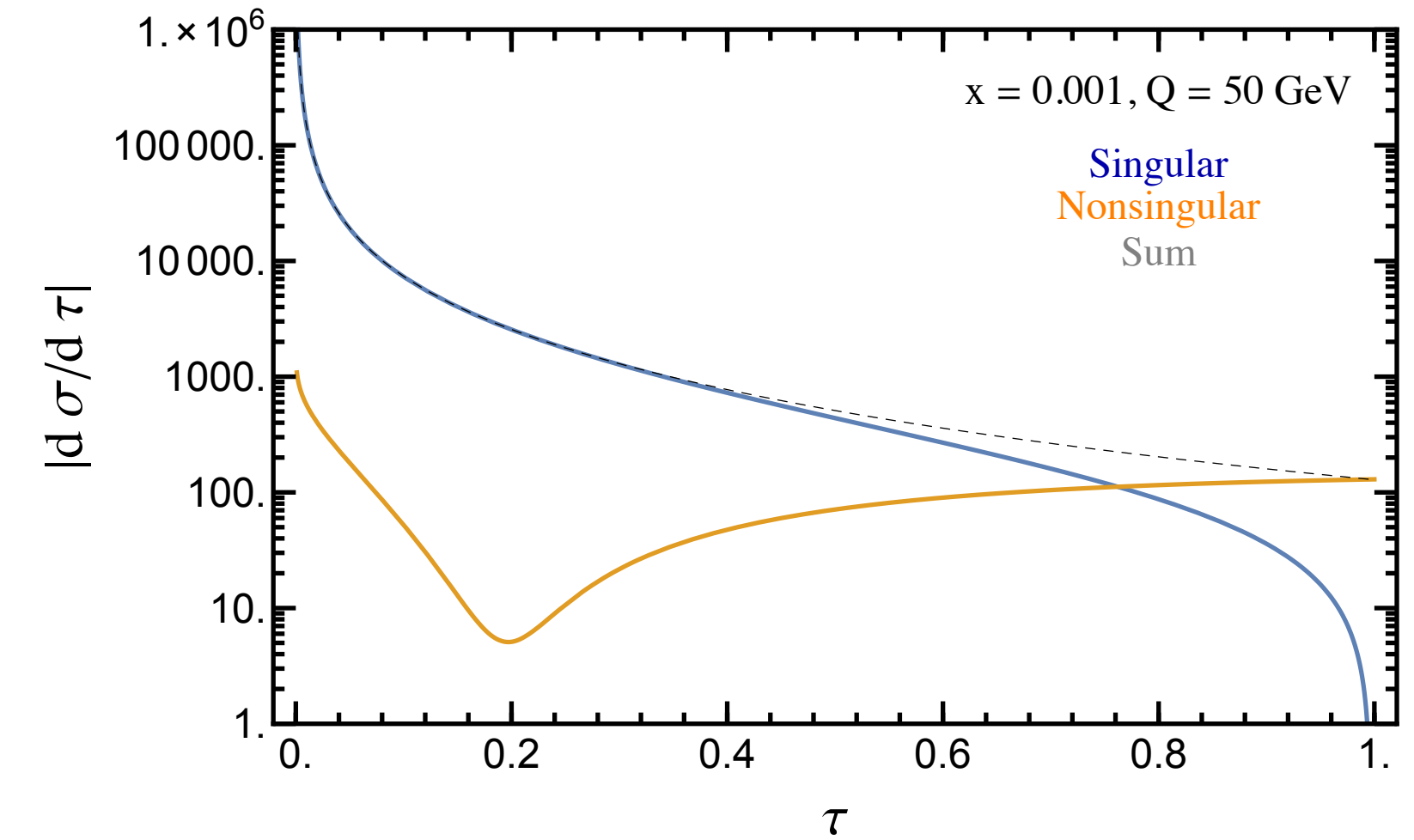
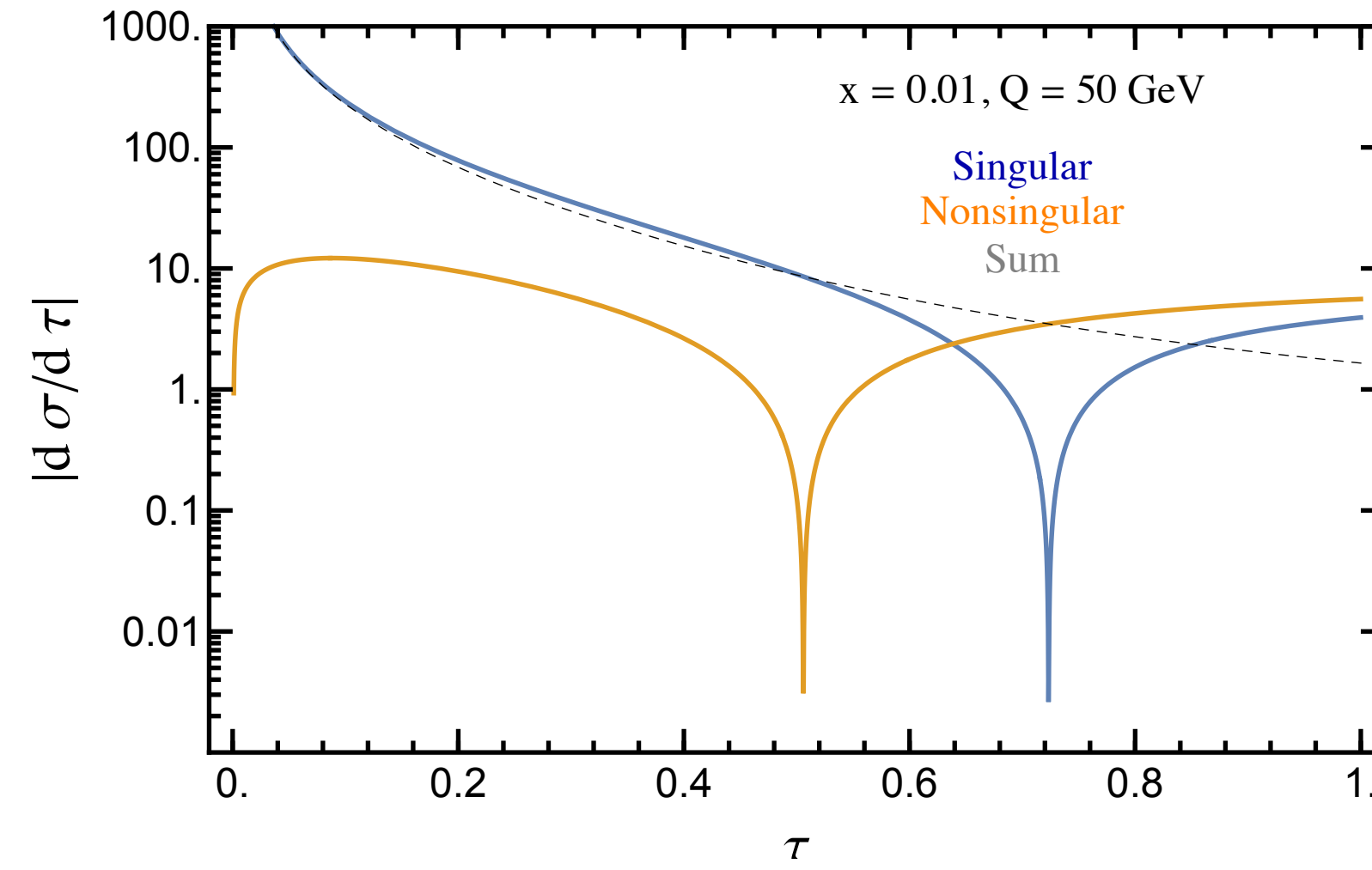
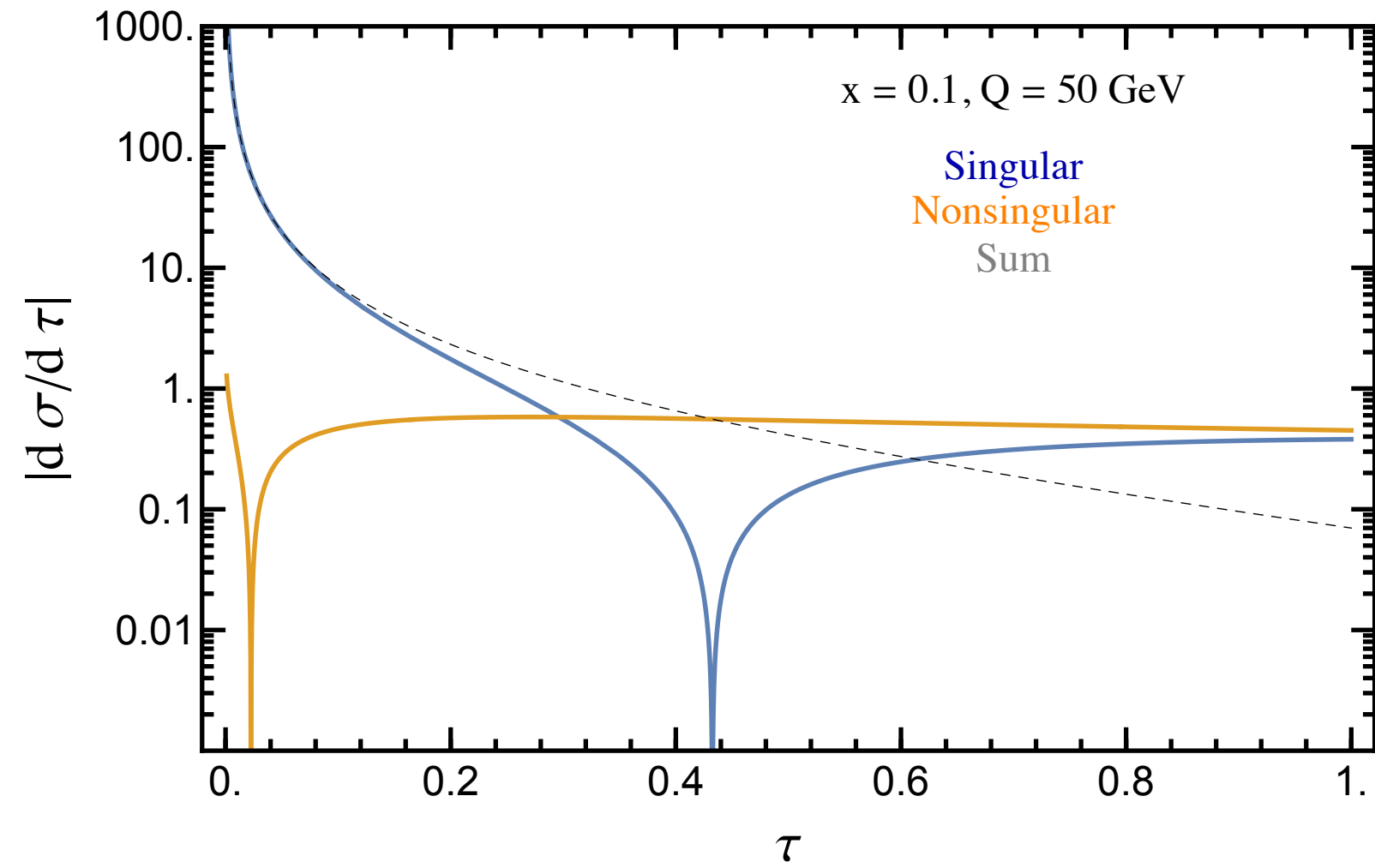
$$P_{qq}(z) \equiv \left[\theta(1 - z) \frac{1 + z^2}{1 - z} \right]_+ = (1 + z^2) \mathcal{L}_0(1 - z) + \frac{3}{2} \delta(1 - z)$$

$$P_{qg}(z) \equiv \theta(1 - z) [(1 - z)^2 + z^2].$$

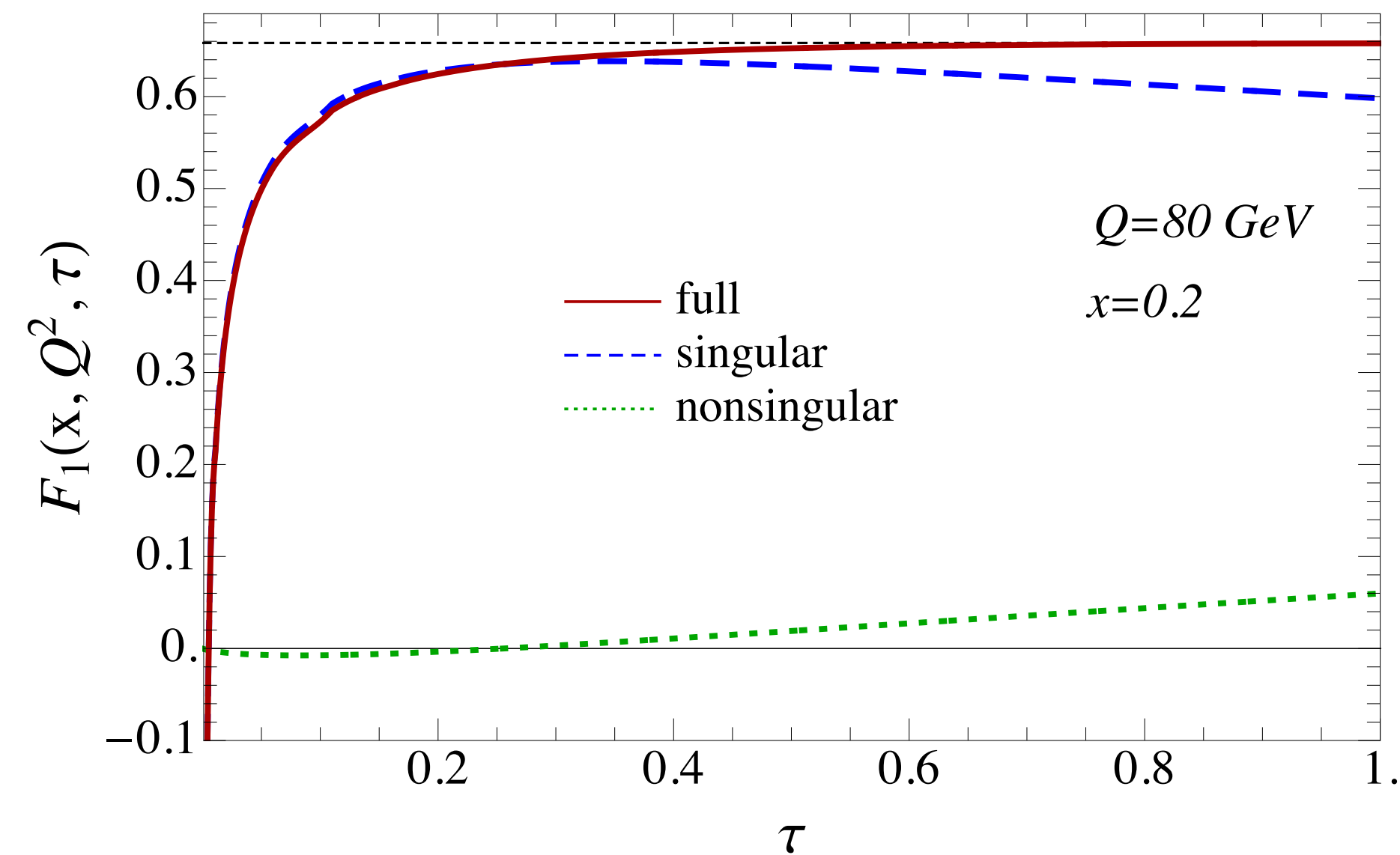
Singular vs. non-singular

D. Kang, CL, Stewart (2014)

Contributions to differential thrust spectrum:



Add up to total integrated cross section:



Singular vs. non-singular

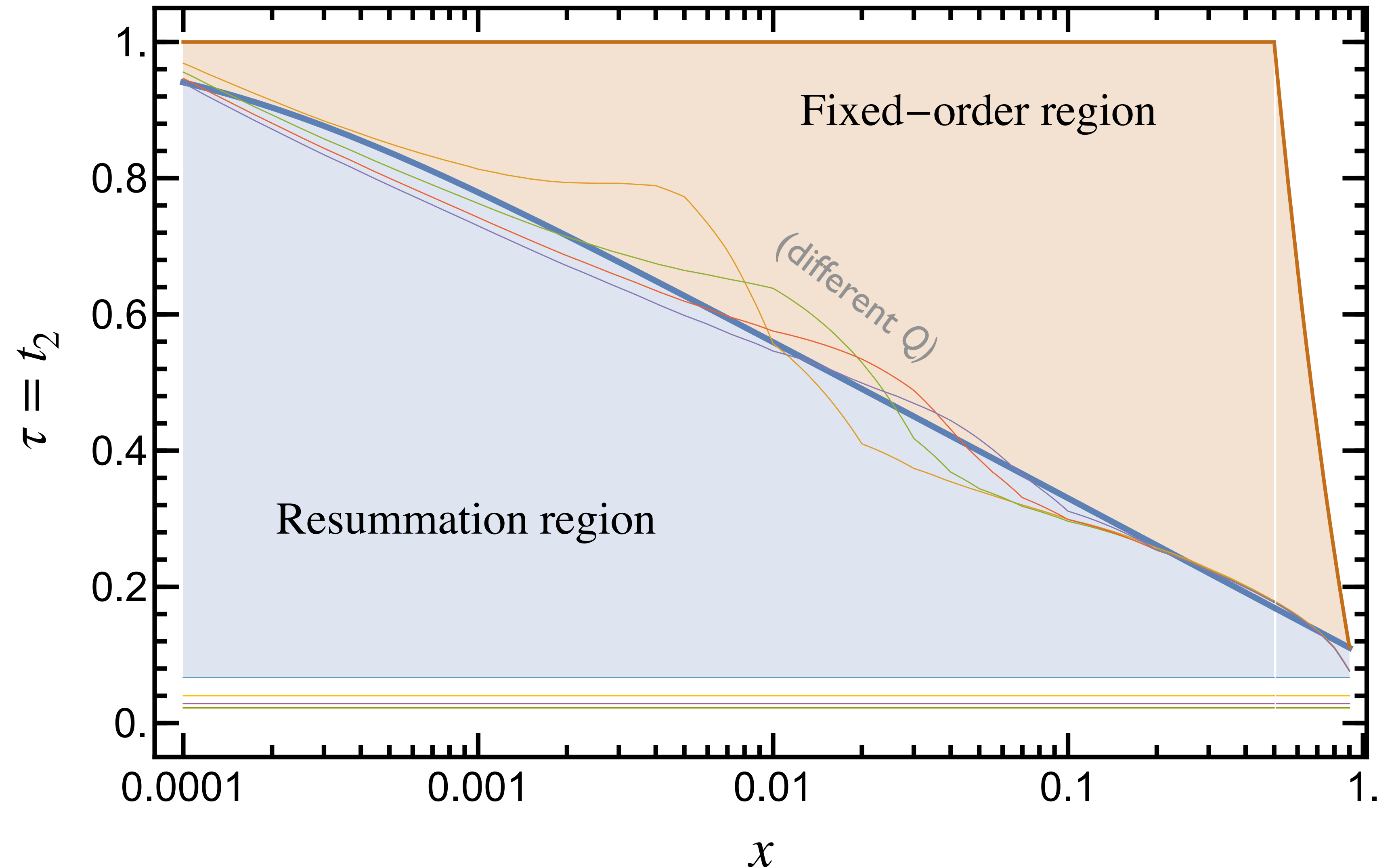
Region where resummation is important is thus a function of x :

Crossing point between singular and non-singular contributions is, empirically, about:

$$t_2 = \frac{1 - \log(x + x_c)}{10}$$

$$x_c = 0.0001234$$

[Based on $\mathcal{O}(\alpha_s)$ results;
Remains similar at $\mathcal{O}(\alpha_s^2)$]



Large Logs

- If we calculate event shape τ cross section in QCD perturbation theory, we will find:

$$\int_0^\tau d\tau \frac{1}{\sigma_0} \frac{d\sigma(x, Q^2)}{d\tau} \sim \left[1 + \frac{\alpha_s}{4\pi} \left(F_{12} \ln^2 \tau - F_{11} \ln \tau + F_{10} \right) + \left(\frac{\alpha_s}{4\pi} \right)^2 \left(F_{24} \ln^4 \tau + F_{23} \ln^3 \tau + F_{22} \ln^2 \tau + F_{21} \ln \tau + F_{20} \right) + \dots \right]$$

- In the narrow-jet limit $\tau \rightarrow 0$ the logs grow large and spoil the perturbative expansion. Reorganize the expansion:

$$\ln \sigma(\tau) \sim \begin{array}{cccc} \alpha_s (\ln^2 \tau + \ln \tau) & & & \\ + \alpha_s^2 (\ln^3 \tau + \ln^2 \tau + \ln \tau) & & & \\ + \alpha_s^3 (\ln^4 \tau + \ln^3 \tau + \ln^2 \tau + \ln \tau) & & & \\ + \vdots & & & \end{array}$$

Leading Log (LL)	Next-to-Leading Log (NLL)	NNLL	N ³ LL
------------------	---------------------------	------	-------------------

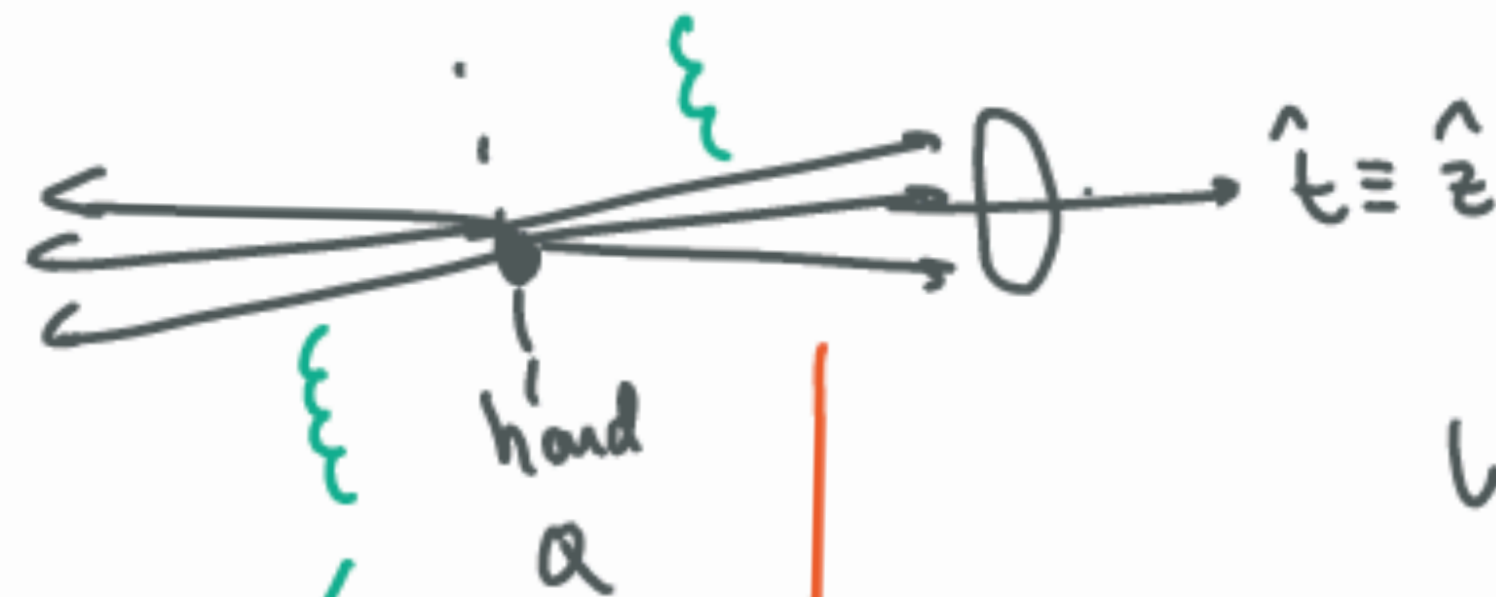
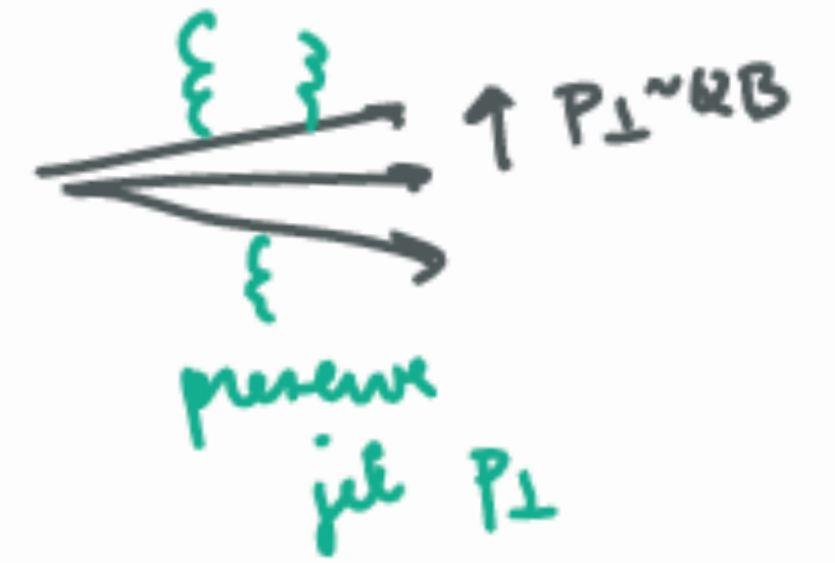
- **These logs are of large ratios of disparate physical scales**
- **Need to identify and factor these scales**
- **Use RG evolution to resum the logs**

New power counting when $\ln \tau \sim \frac{1}{\alpha}$: $\sim \alpha^{-1}$ ~ 1 $\sim \alpha$ $\sim \alpha^2$

Momentum scales

Thrust: $M^2 = M_A^2 + M_B^2 = Q^2 z \quad (z \ll 1)$

Broadening:



light-cone coordinates:

$$P^{\mu} = (\bar{n} \cdot p, n \cdot p, \vec{P}_{\perp})$$

collinear $P_C \sim (Q, \frac{M^2}{Q}, M) \sim Q(1, z, \sqrt{z})$

soft $k_S \sim (\frac{M^2}{Q}, \frac{M^2}{Q}, \frac{M^2}{Q}) \sim Q(z, z, z)$

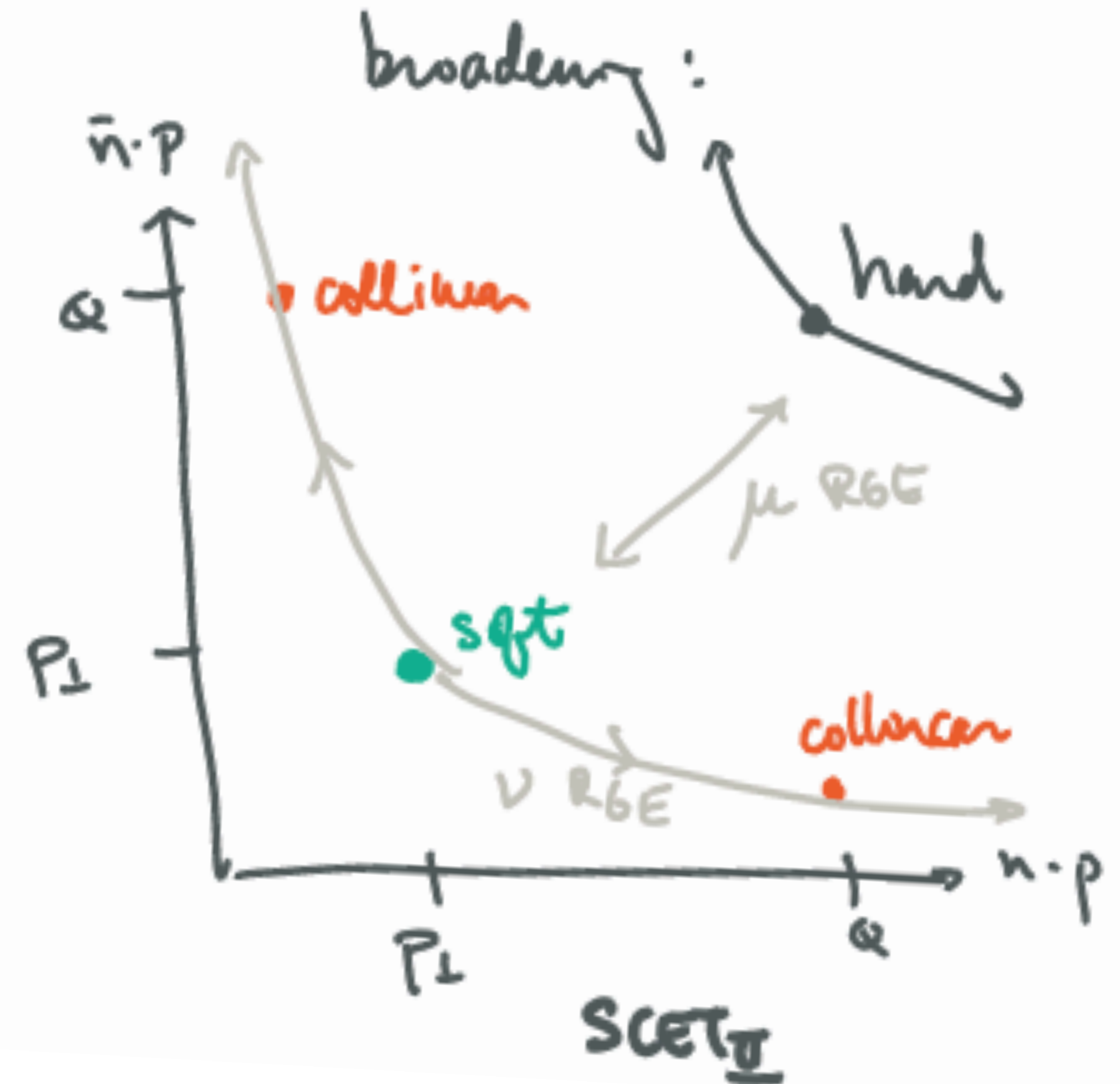
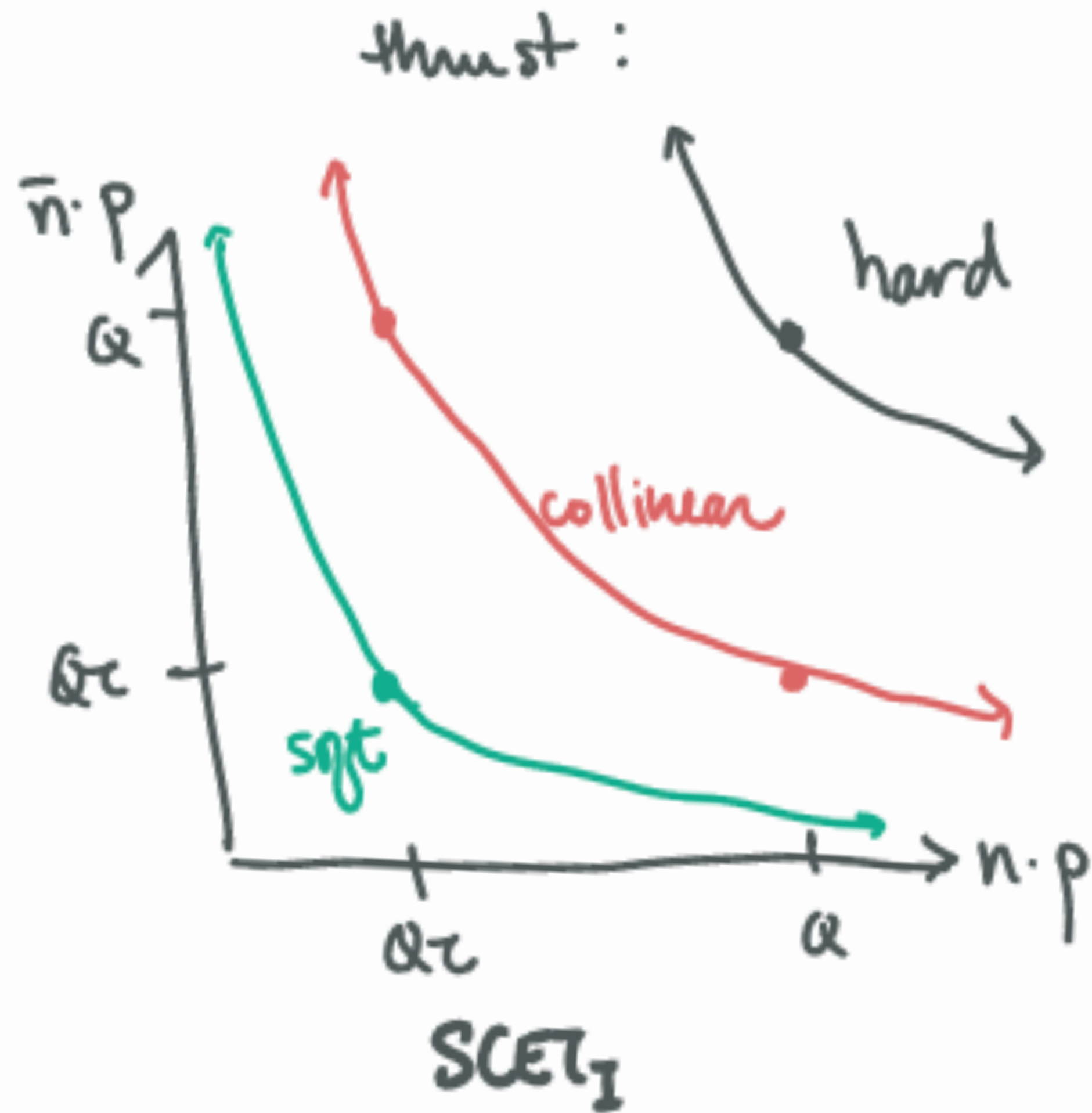
coll $P_C \sim (Q, Q_B^2, Q_B)$
 soft $k_S \sim Q(B, B, B)$

↑ same
 ↓ same

presence jet mass

SCET modes

Bauer, Fleming, Luke, Pirjol, Stewart (2000-02)



Chiu, Jain, Neill, Rothstein (2011-12)

Factorization Theorem for DIS thrust

Start in QCD:

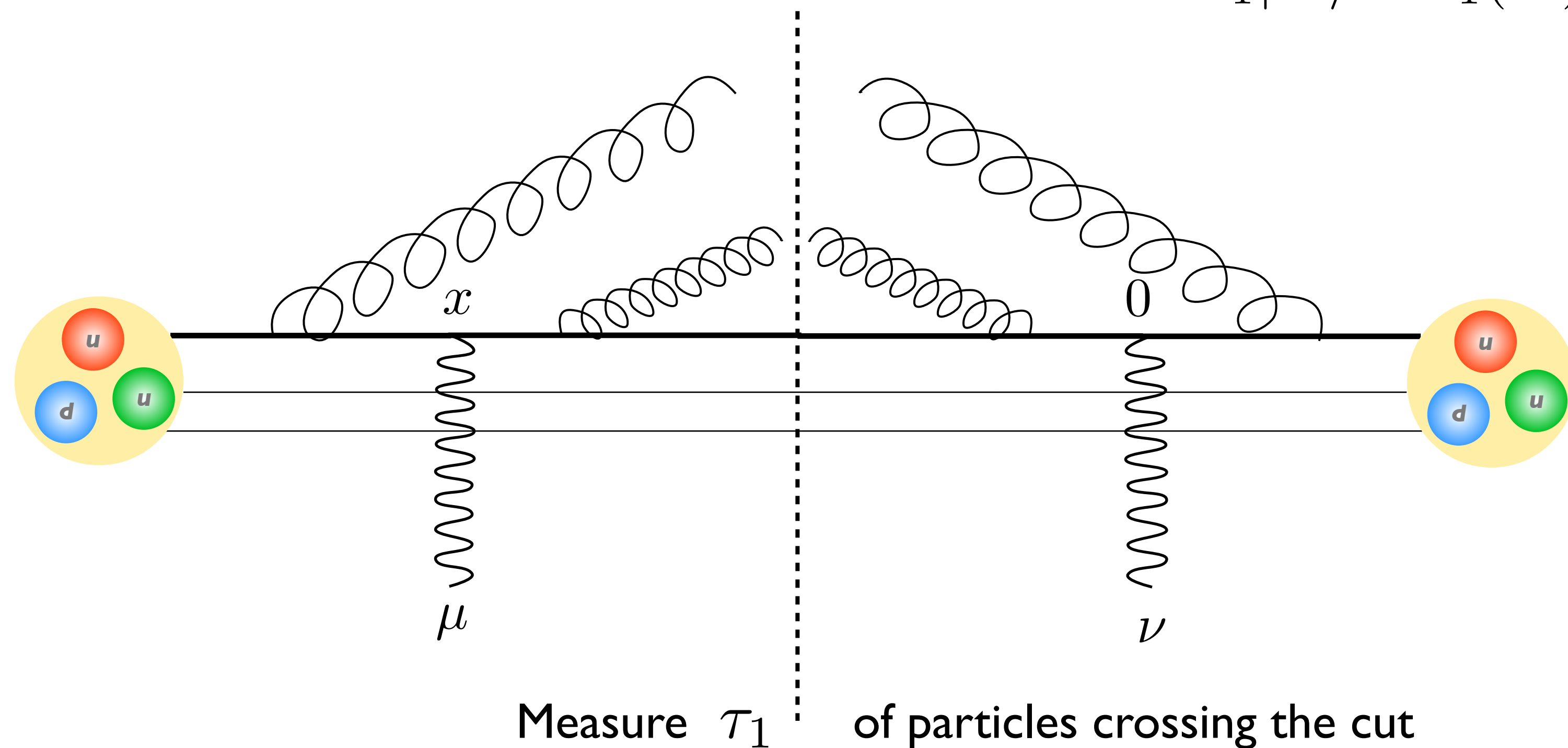
$$\frac{d\sigma(x, Q^2)}{d\tau_1} = L_{\mu\nu}(x, Q^2) W^{\mu\nu}(x, Q^2, \tau_1)$$

leptonic
tensor

hadronic
tensor

$$W^{\mu\nu}(x, Q^2, \tau_1) = \int d^4x e^{iq \cdot x} \langle P | \bar{q} \gamma^\mu q(x) \delta(\tau_1 - \hat{\tau}_1) \bar{q} \gamma^\nu q(0) | P \rangle$$

$$\hat{\tau}_1 |X\rangle = \tau_1(X) |X\rangle$$



Factorization Theorem for DIS thrust

Match onto 2-jet operators in SCET:

$$W_{\mu\nu}(x, Q^2, \tau_1) = \int d^4x e^{iq \cdot x} \sum_{n_1, n_2} \int d^3\tilde{p}_1 d^3\tilde{p}_2 e^{i(\tilde{p}_2 - \tilde{p}_1) \cdot x} C_\mu^*(\tilde{p}_1, \tilde{p}_2) C_\mu(\tilde{p}_1, \tilde{p}_2)$$

$$\times \langle P_{n_B} | \bar{\chi}_{n_2, \tilde{p}_2}(x) \bar{T} [Y_{n_2}^\dagger(x) Y_{n_1}(x)] \chi_{n_1, \tilde{p}_1}(x) \rangle$$

$$\times \delta(\tau_1 - \hat{\tau}_1^{n_1} - \hat{\tau}_1^{n_2} - \tau_1^s)$$

$$\times \bar{\chi}_{n_1, \tilde{p}_1}(0) T [Y_{n_1}^\dagger(0) Y_{n_2}(0)] \chi_{n_2, \tilde{p}_2}(0) | P_{n_B} \rangle$$

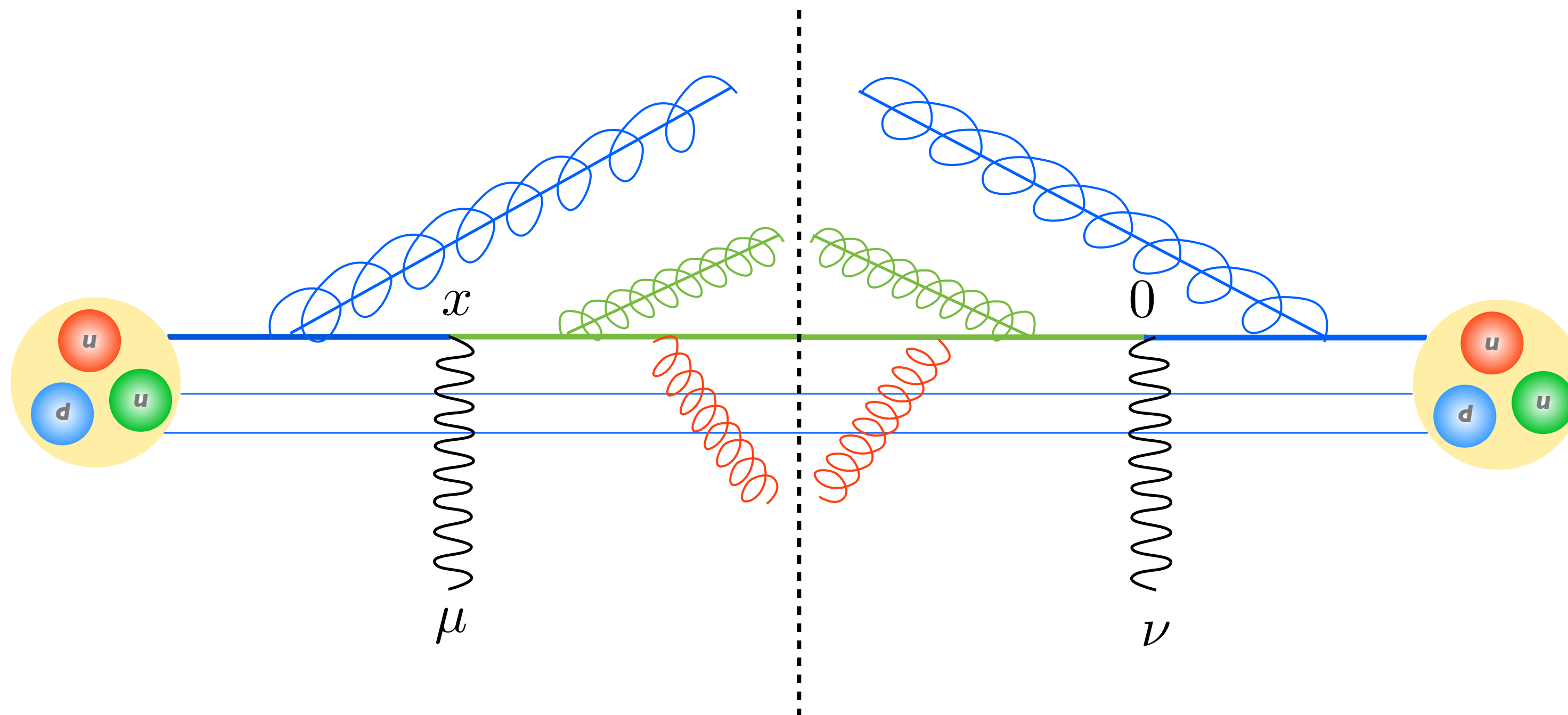
collinear jet operators in SCET

$$\chi_n = [W_n \xi_n]$$

collinear Wilson line

collinear quark field

$Y_{n_{1,2}}$ soft gluon Wilson lines



Factorization Theorem for DIS thrust

Match onto 2-jet operators in SCET:

$$W_{\mu\nu}(x, Q^2, \tau_1) = \int d^4x e^{iq \cdot x} \sum_{n_1, n_2} \int d^3\tilde{p}_1 d^3\tilde{p}_2 e^{i(\tilde{p}_2 - \tilde{p}_1) \cdot x} C_\mu^*(\tilde{p}_1, \tilde{p}_2) C_\mu(\tilde{p}_1, \tilde{p}_2)$$

$$\times \langle P_{n_B} | \bar{\chi}_{n_2, \tilde{p}_2}(x) \bar{T} [Y_{n_2}^\dagger(x) Y_{n_1}(x)] \chi_{n_1, \tilde{p}_1}(x) \rangle$$

$$\times \delta(\tau_1 - \hat{\tau}_1^{n_1} - \hat{\tau}_1^{n_2} - \tau_1^s)$$

$$\times \bar{\chi}_{n_1, \tilde{p}_1}(0) T [Y_{n_1}^\dagger(0) Y_{n_2}(0)] \chi_{n_2, \tilde{p}_2}(0) | P_{n_B} \rangle$$

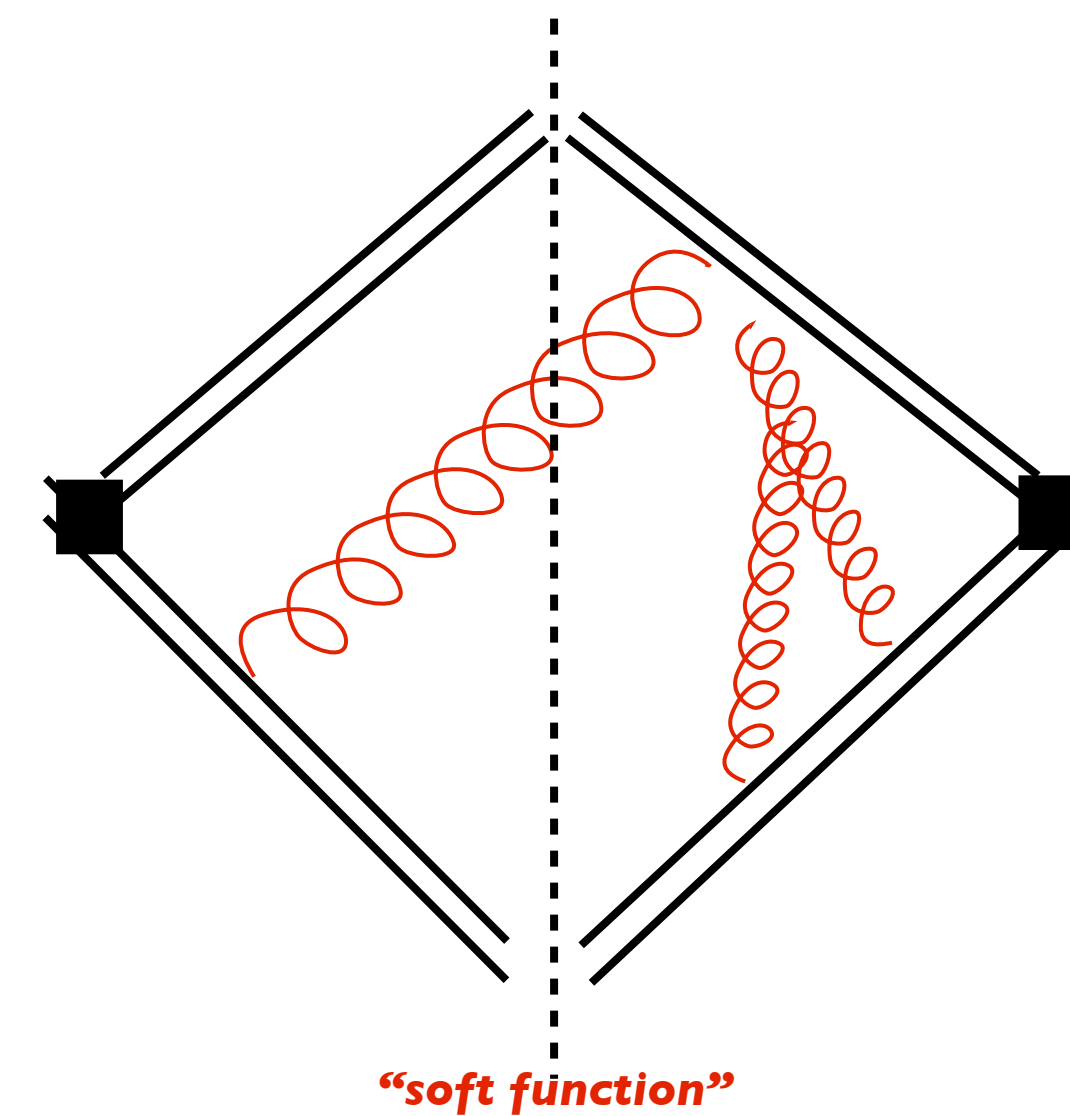
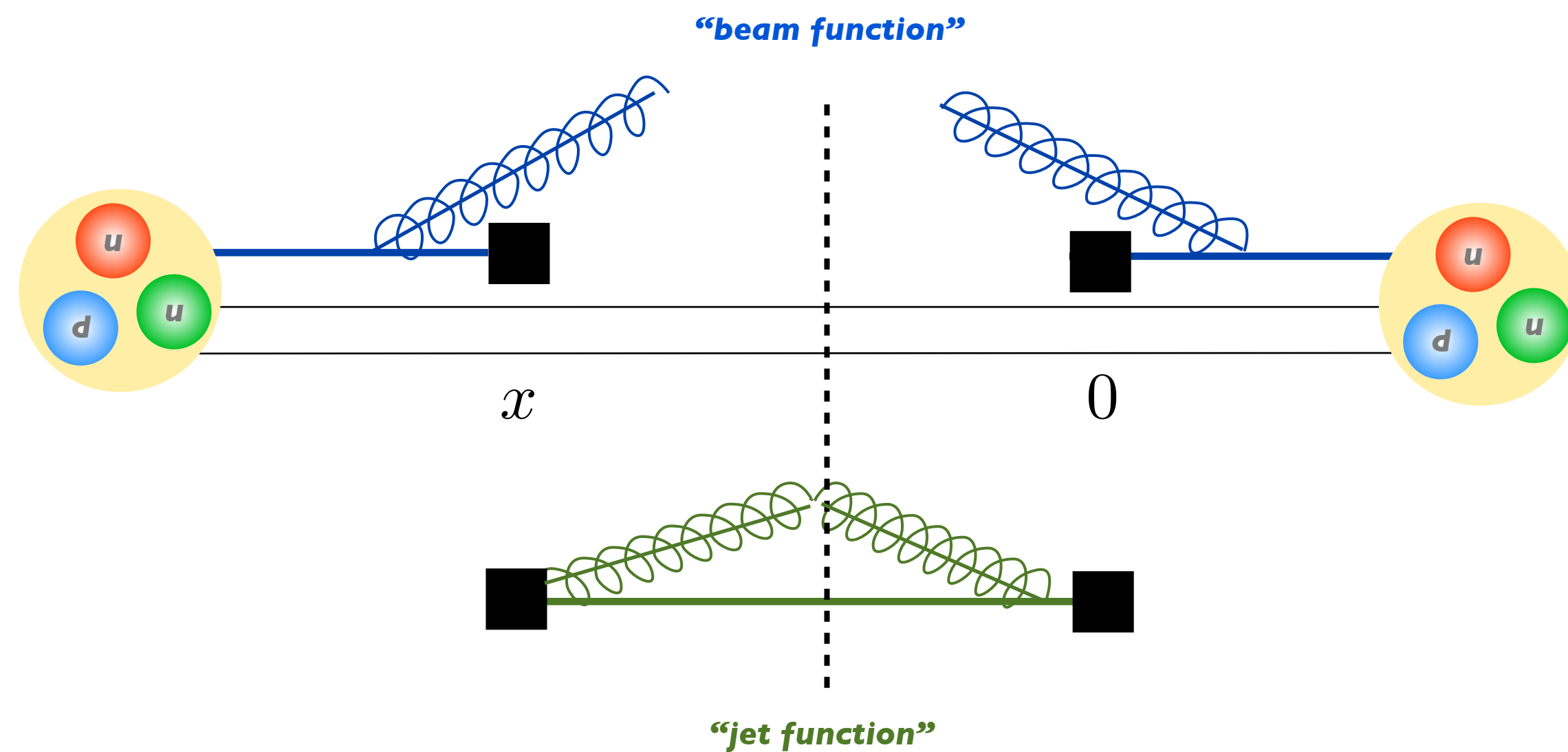
collinear jet operators in SCET

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$Y_{n_{1,2}}$ soft gluon Wilson lines

collinear Wilson line

collinear quark field

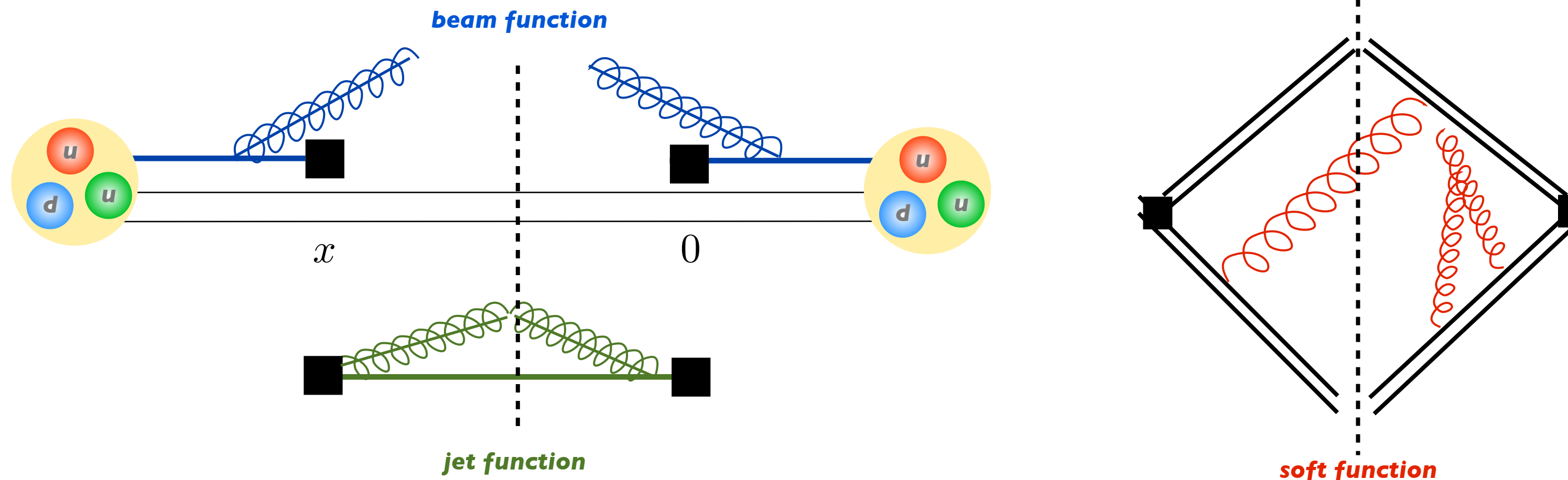


Factorization Theorem for DIS thrust

Factor collinear and soft matrix elements:

$$\begin{aligned}
 W_{\mu\nu}(x, Q^2, \tau_1) = & \int d^2\tilde{p}_\perp \int d\tau_J d\tau_B d\tau_S C^*(Q^2, \mu) C(Q^2, \mu) \delta\left(\tau_1 - \frac{t_J}{s_J} - \frac{t_B}{s_B} - \frac{k_S}{Q_R}\right) \\
 & \times \langle 0 | [Y_{n'_J}^\dagger Y_{n'_B}^\dagger](0) \delta(k_S - n'_J \cdot \hat{p}_{J'} - n'_B \cdot \hat{p}_{B'}) [Y_{n'_B} Y_{n'_J}](0) | 0 \rangle \\
 & \times \langle P_{n_B} | \bar{\chi}_{n_B}(0) \delta(Q_B \tau_B - n_B \cdot \hat{p}^{n_B}) [\delta(\bar{n}_B \cdot q + \bar{n}_B \cdot \mathcal{P}) \delta^2(\tilde{p}_\perp - \mathcal{P}_\perp) \chi_{n_B}](0) | P_{n_B} \rangle \\
 & \times \langle 0 | \chi_{n_J}(0) \delta(Q_J \tau_J - n_J \cdot \hat{p}^{n_J}) \delta(\bar{n}_J \cdot q + \bar{n}_J \cdot \mathcal{P}) \delta^2(q_\perp + \tilde{p}_\perp + \mathcal{P}_\perp) \bar{\chi}_{n_J}(0) | 0 \rangle
 \end{aligned}$$

(+ permutations)



➔

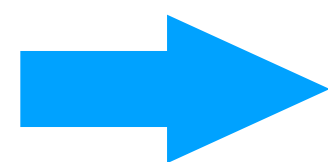
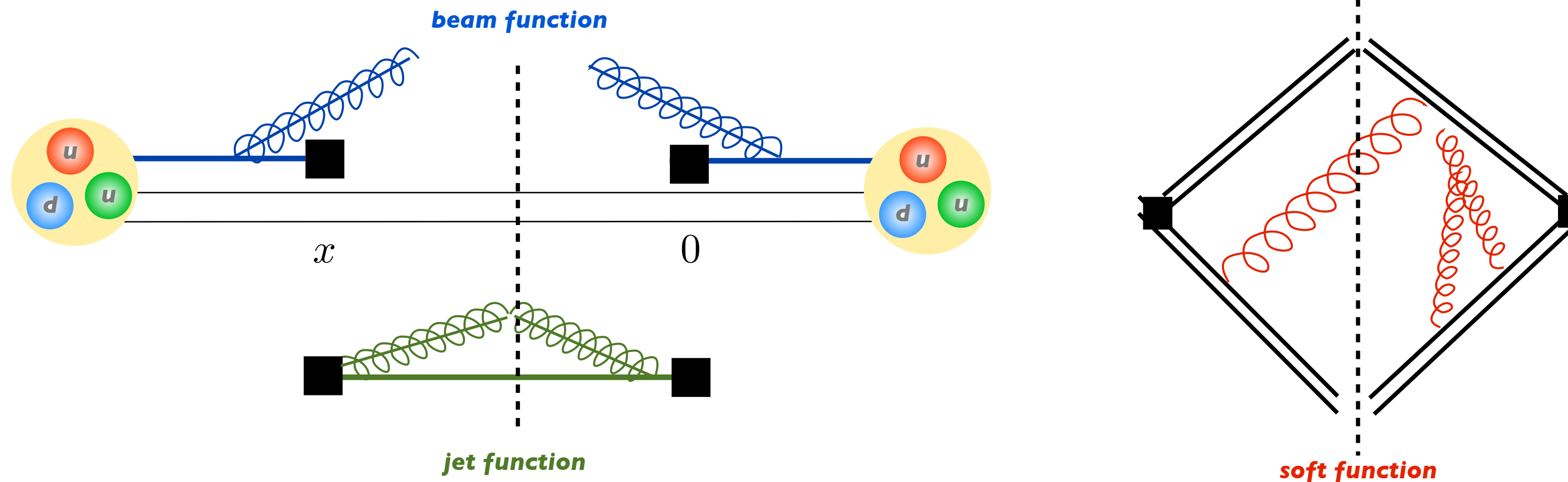
$$\begin{aligned}
 \frac{1}{\sigma_0} \frac{d\sigma(x, Q^2)}{d\tau_1^b} = & H(Q^2, \mu) \int d^2p_\perp dt_J dt_B dk_S \delta\left(\tau_1^b - \frac{t_J}{Q^2} - \frac{t_B}{Q^2} - \frac{k_S}{Q}\right) \\
 & \times J_q(t_J - \mathbf{p}_\perp^2, \mu) \mathcal{B}_q(t_B, x, \mathbf{p}_\perp^2, \mu) S(k_S, \mu)
 \end{aligned}$$

Factorization Theorem for DIS thrust

Factor collinear and soft matrix elements:

$$\begin{aligned}
 W_{\mu\nu}(x, Q^2, \tau_1) = & \int d^2\tilde{p}_\perp \int d\tau_J d\tau_B d\tau_S \underbrace{C^*(Q^2, \mu)C(Q^2, \mu)}_{\text{hard function}} \delta\left(\tau_1 - \frac{t_J}{s_J} - \frac{t_B}{s_B} - \frac{k_S}{Q_R}\right) \\
 & \times \underbrace{\langle 0 | [Y_{n'_J}^\dagger Y_{n'_B}^\dagger](0) \delta(k_S - n'_J \cdot \hat{p}_{J'} - n'_B \cdot \hat{p}_{B'}) [Y_{n'_B} Y_{n'_J}](0) | 0 \rangle}_{\text{soft function}} \\
 & \times \underbrace{\langle P_{n_B} | \bar{\chi}_{n_B}(0) \delta(Q_B \tau_B - n_B \cdot \hat{p}^{n_B}) [\delta(\bar{n}_B \cdot q + \bar{n}_B \cdot \mathcal{P}) \delta^2(\tilde{p}_\perp - \mathcal{P}_\perp) \chi_{n_B}](0) | P_{n_B} \rangle}_{\text{beam function}} \\
 & \times \underbrace{\langle 0 | \chi_{n_J}(0) \delta(Q_J \tau_J - n_J \cdot \hat{p}^{n_J}) \delta(\bar{n}_J \cdot q + \bar{n}_J \cdot \mathcal{P}) \delta^2(q_\perp + \tilde{p}_\perp + \mathcal{P}_\perp) \bar{\chi}_{n_J}(0) | 0 \rangle}_{\text{jet function}}
 \end{aligned}$$

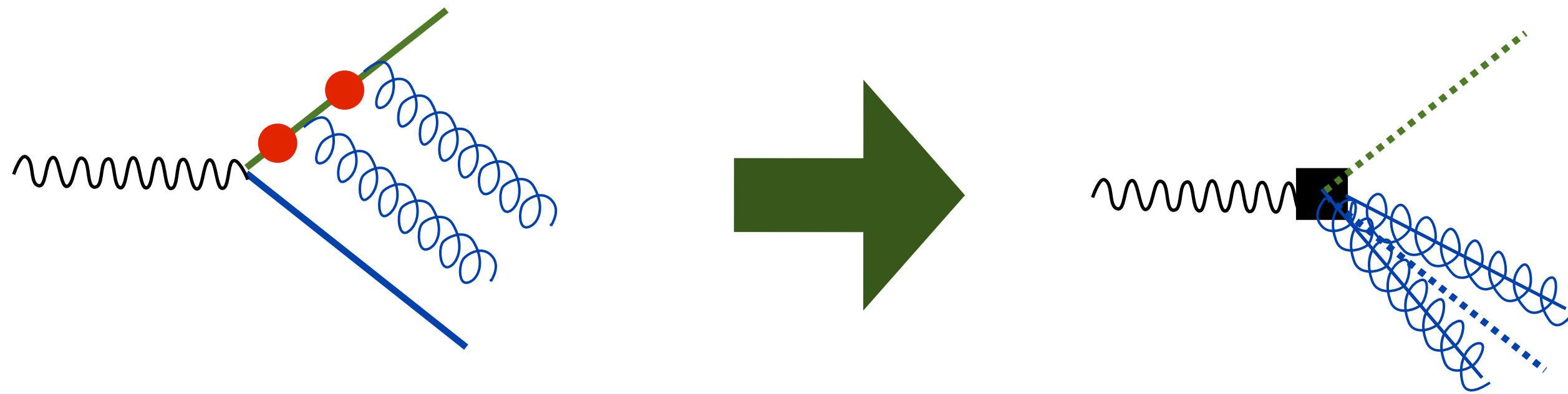
(+ permutations)



$$\begin{aligned}
 \frac{1}{\sigma_0} \frac{d\sigma(x, Q^2)}{d\tau_1^b} = & H(Q^2, \mu) \int d^2p_\perp dt_J dt_B dk_S \delta\left(\tau_1^b - \frac{t_J}{Q^2} - \frac{t_B}{Q^2} - \frac{k_S}{Q}\right) \\
 & \times J_q(t_J - \mathbf{p}_\perp^2, \mu) \mathcal{B}_q(t_B, x, \mathbf{p}_\perp^2, \mu) S(k_S, \mu)
 \end{aligned}$$

Hard and Jet Functions

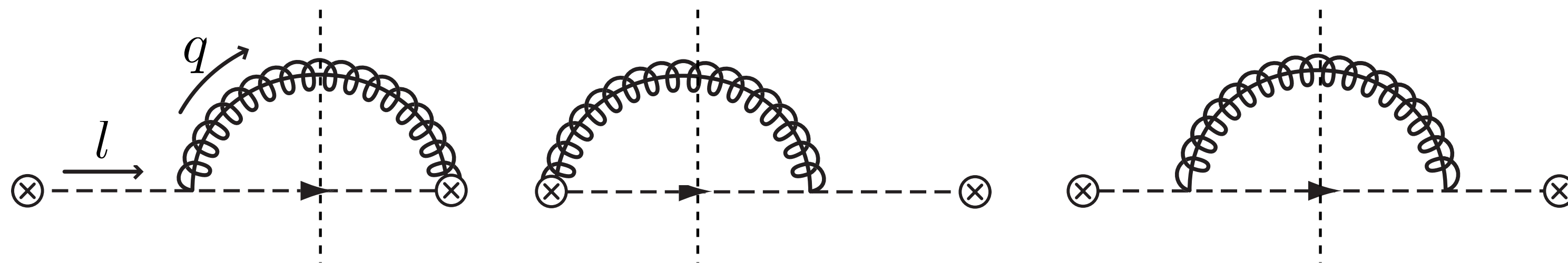
Hard function:



$$H(Q^2, \mu) = 1 + \frac{\alpha_s(\mu) C_F}{2\pi} \left(-\ln^2 \frac{\mu^2}{Q^2} - 3 \ln \frac{\mu^2}{Q^2} - 8 + \frac{\pi^2}{6} \right) + \dots$$

known to 3 loops

Jet function:



$$J(t, \mu) = \delta(t) + \frac{\alpha_s(\mu) C_F}{4\pi} \left\{ (7 - \pi^2) \delta(t) - \frac{3}{\mu^2} \left[\frac{\mu^2 \theta(t)}{t} \right]_+ + \frac{4}{\mu^2} \left[\frac{\theta(t) \ln(t/\mu^2)}{t/\mu^2} \right]_+ \right\} + \dots$$

known to 3 loops

Beam Function and PDFs

transverse momentum dependent beam function:

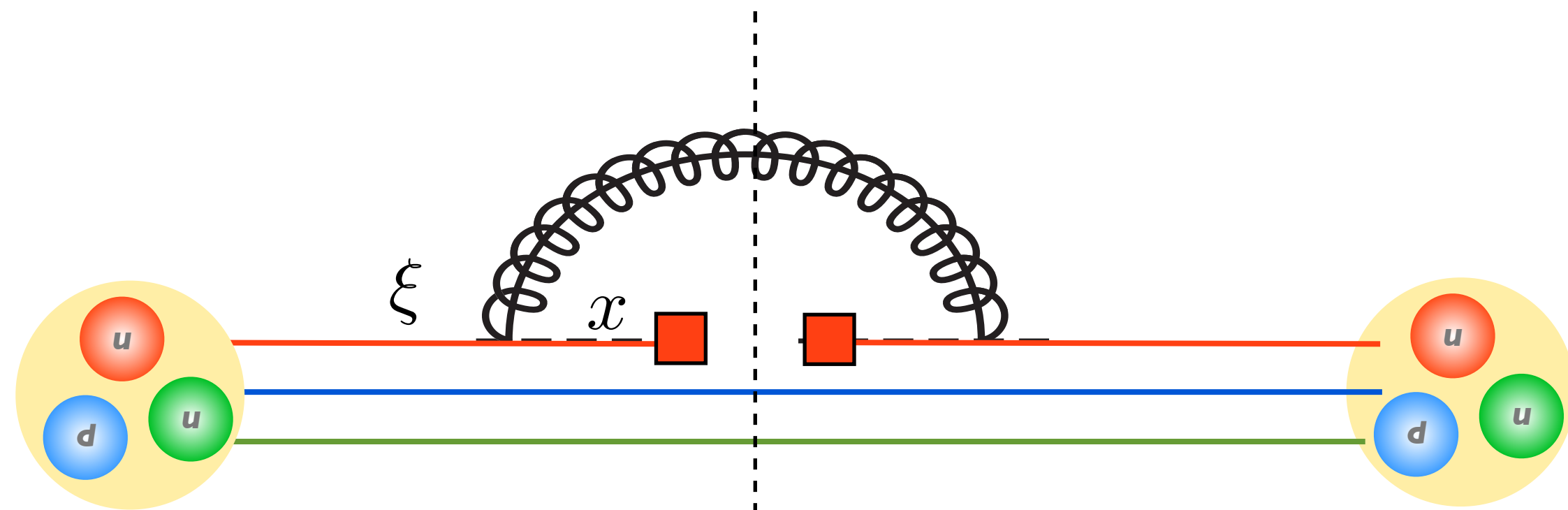
$$B(\omega k^+, x, k_\perp^2, \mu) = \frac{\theta(\omega)}{\omega} \int \frac{dy^-}{4\pi} e^{ik^+ y^- / 2} \langle P_n(P^-) | \bar{\chi}_n \left(y^- \frac{n}{2} \right) \delta(xP^- - \bar{n} \cdot \mathcal{P}) \delta(k_\perp^2 - \mathcal{P}_\perp^2) \chi_n(0) | P_n(P^-) \rangle$$

 match onto PDF

$$f(x, \mu) = \theta(\omega) \langle P_n(P^-) | \bar{\chi}_n(0) \delta(xP^- - \bar{n} \cdot \mathcal{P}) \chi_n(0) | P_n(P^-) \rangle$$

$$\mathcal{B}_q(t, x, \mathbf{k}_\perp^2, \mu) = \sum_j \int_x^1 \frac{d\xi}{\xi} \mathcal{I}_{ij} \left(t, \frac{x}{\xi}, \mathbf{k}_\perp^2, \mu \right) f_j(\xi, \mu)$$

known to 2 loops;
anomalous dimension
known to 3 loops



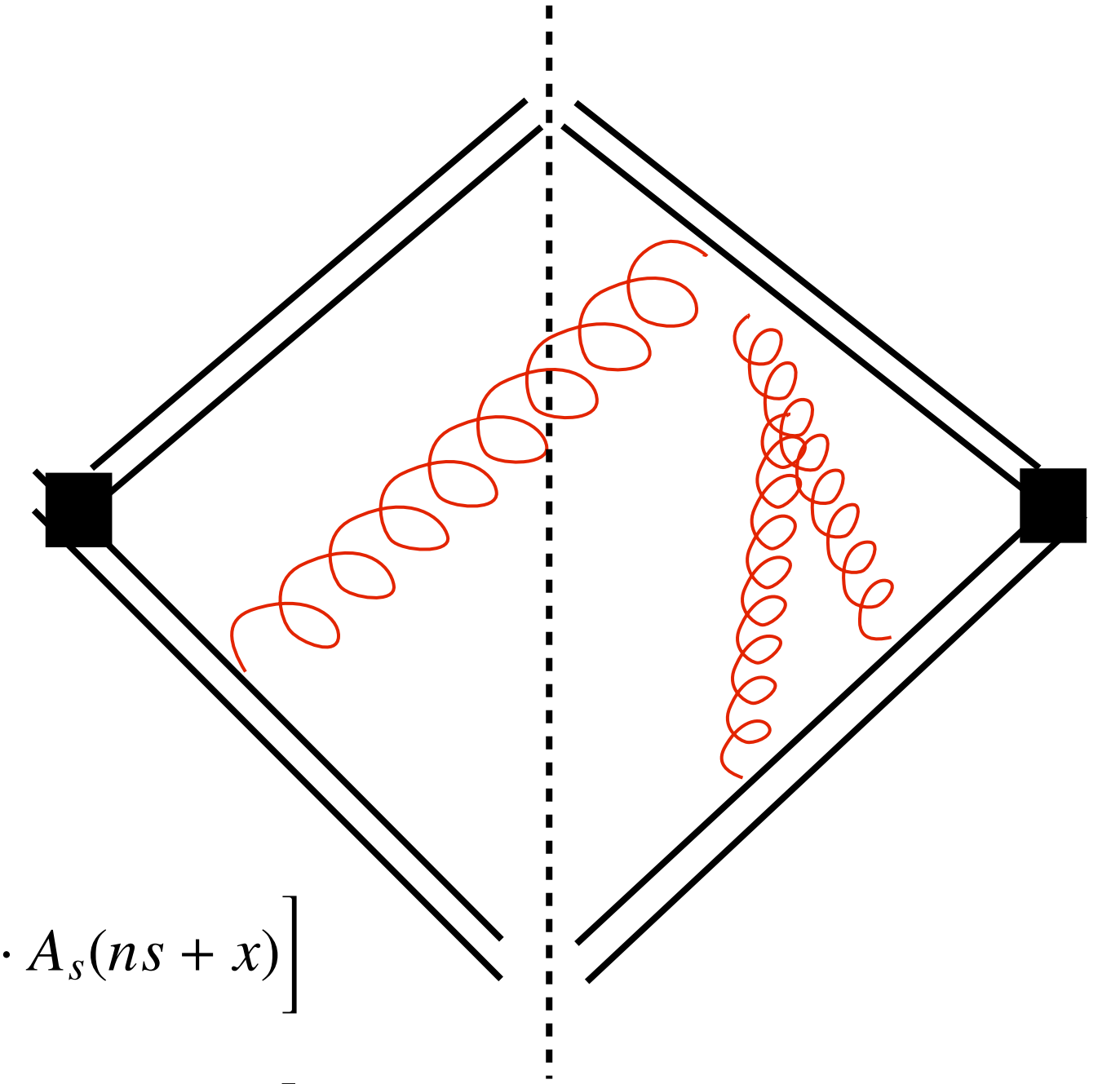
Measure small light-cone momentum $k^+ = t/P^-$
and transverse momentum \mathbf{k}_\perp
of initial state radiation

Soft function

- Soft functions for e⁺e⁻ dijets, DIS 1-jettiness, and pp beam thrust:

$$S_2(\ell_1, \ell_2, \mu) = \frac{1}{N_C} \text{Tr} \sum_{i \in X_s} |\langle X_s | T[Y_n^{\pm \dagger}(0) Y_{\bar{n}}^{\pm}(0)] | 0 \rangle|^2$$

$$\times \delta\left(\ell_1 - \sum_{i \in X_s} \theta(\bar{n} \cdot k_i - n \cdot k_i) n \cdot k_i\right) \delta\left(\ell_2 - \sum_{i \in X_s} \theta(n \cdot k_i - \bar{n} \cdot k_i) \bar{n} \cdot k_i\right),$$



e⁺e⁻: ++
DIS: --
pp: +-

$$Y_n^{+\dagger}(x) = P \exp\left[ig \int_0^\infty ds n \cdot A_s(ns + x)\right]$$

$$Y_{\bar{n}}^-(x) = P \exp\left[ig \int_{-\infty}^0 ds n \cdot A_s(ns + x)\right],$$

- Perturbatively, it is known that $S_2^{ee} = S_2^{ep} = S_2^{pp}$ to at least $\mathcal{O}(\alpha_s^2)$

D. Kang, Labun, CL (2015);
 Boughezal, Liu, Petriello (2015)

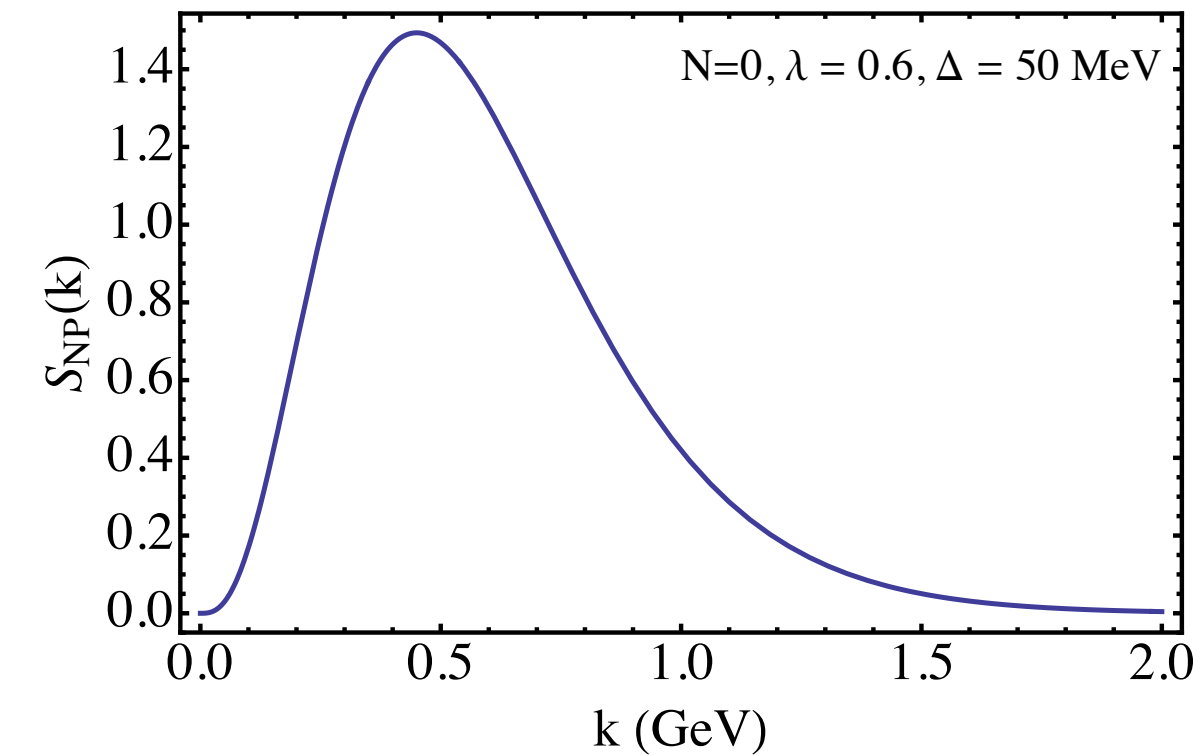
$$S(k_s, \mu) = \int d\ell_1 d\ell_2 \delta(k - \ell_1 - \ell_2) S_2(\ell_1, \ell_2, \mu)$$

$$\int S(k_s, \mu) = 1 + \frac{\alpha_s(\mu)}{4\pi} \left\{ -\frac{8C_F}{1-a} \ln^2 \frac{k}{\mu} + C_S'(a) \right\}$$

Nonperturbative corrections

- In general, soft function expressed as convolution of perturbative part and nonperturbative shape function:

$$S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) f_{\text{mod}}(k' - 2\bar{\Delta}_a)$$



- For large enough $\tau(k_S)$, leading effect is a shift:

$$\langle e \rangle = \langle e \rangle_{\text{PT}} + c_e \frac{\Omega_1}{Q}$$

c_e observable dependent,
calculable coefficient

Ω_1 universal nonperturbative parameter

Amongst DIS event shapes:
Kang, CL, Stewart [1303.6952]

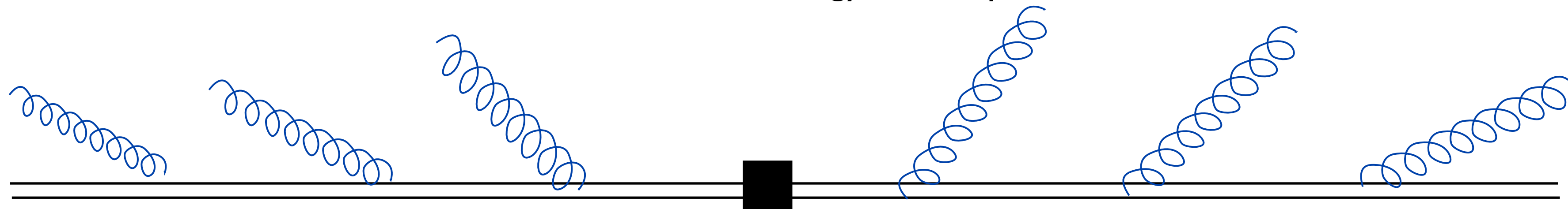
relation to pp jet mass::
Stewart, Tackmann, Waalewijn [1405.6722]

- Rigorous proof (and **field theory** definition of Ω_1) from factorization theorem and boost invariance of soft radiation:

$$\Omega_1 = \frac{1}{N_C} \text{Tr} \langle 0 | \bar{Y}_{\bar{n}}^\dagger Y_n^\dagger \mathcal{E}_T(\eta) Y_n \bar{Y}_{\bar{n}} | 0 \rangle$$

Lee, Sterman [hep-ph/0611061]

“energy flow” operator

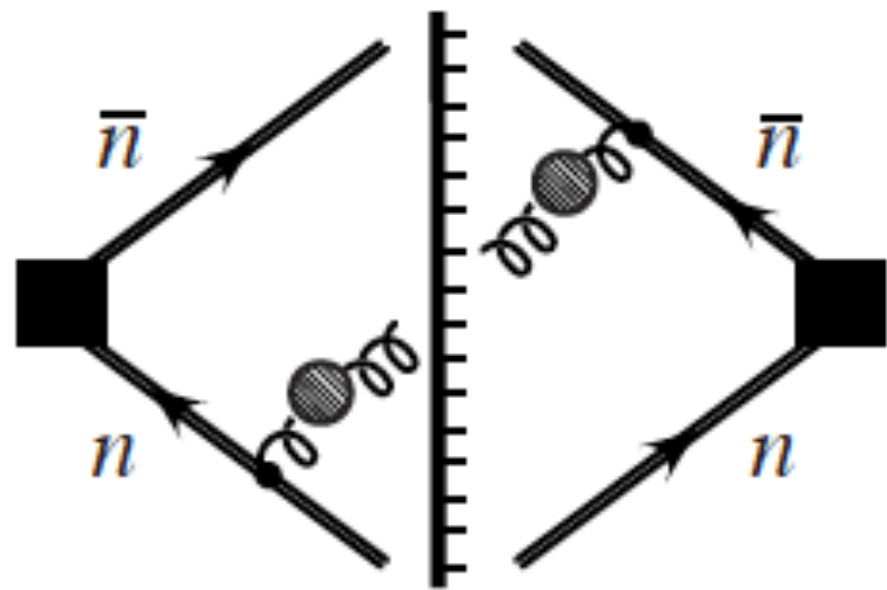


soft radiation sees only direction, not energy, of original collinear partons, invariant to boosts along z

Non-perturbative effects and gapped soft function

- However, both the perturbative soft function and gap parameter suffer renormalon ambiguities.

$$S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) f_{\text{mod}}(k' - 2\bar{\Delta}_a)$$



$$\text{gluon with blob} = \text{gluon} + \text{gluon with loop} + \text{gluon with two loops} + \dots$$

- $\mathcal{O}(\Lambda_{\text{QCD}})$ ambiguity in gap $\bar{\Delta}_a$

- Subtract a series with the same/canceling ambiguity from both PT and NP pieces:

$$\bar{\Delta}_a = \Delta_a(\mu) + \delta_a(\mu) \quad \xrightarrow{\text{Laplace space}} \quad \tilde{S}(\nu, \mu) = \left[e^{-2\nu\Delta_a(\mu)} \tilde{f}_{\text{mod}}(\nu) \right] \left[e^{-2\nu\delta_a(\mu)} \tilde{S}_{\text{PT}}(\nu, \mu) \right]$$

renormalon free
renormalon free

- Choosing the R_{gap} scheme to cancel the leading renormalon,

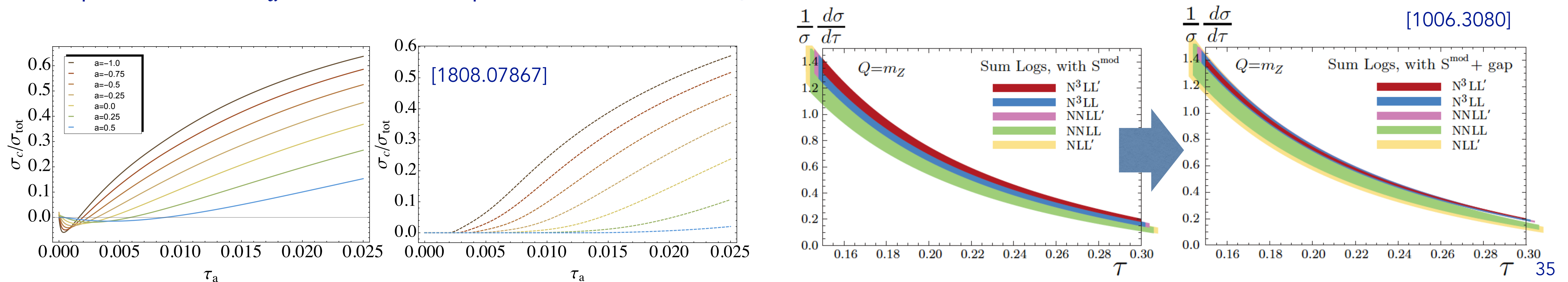
$$Re^{\gamma_E} \frac{d}{d \ln \nu} \left[\ln \hat{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})} = 0 \quad \longrightarrow \quad \delta_a(\mu, R) = \frac{1}{2} Re^{\gamma_E} \frac{d}{d \ln \nu} \left[\ln \tilde{S}_{\text{PT}}(\nu, \mu) \right]_{\nu=1/(Re^{\gamma_E})},$$

$$\hat{S}_{\text{PT}}(\nu, \mu) = e^{-2\nu\delta_a(\mu)} \tilde{S}_{\text{PT}}(\nu, \mu)$$

Gapped and renormalon free soft function $S(k, \mu) = \int dk' S_{\text{PT}}(k - k', \mu) \left[e^{-2\delta_a(\mu, R) \frac{d}{dk'}} f_{\text{mod}}(k' - 2\Delta_a(\mu, R)) \right]$

Final cross section is expanded order-by-order in bracketed term $\frac{1}{\sigma_0} \sigma(\tau_a) = \int dk \sigma_{\text{PT}}\left(\tau_a - \frac{k}{Q}\right) \left[e^{-2\delta_a(\mu_S, R) \frac{d}{dk}} f_{\text{mod}}(k - 2\Delta_a(\mu_S, R)) \right]$

- Improves small τ_a behavior and perturbative convergence:



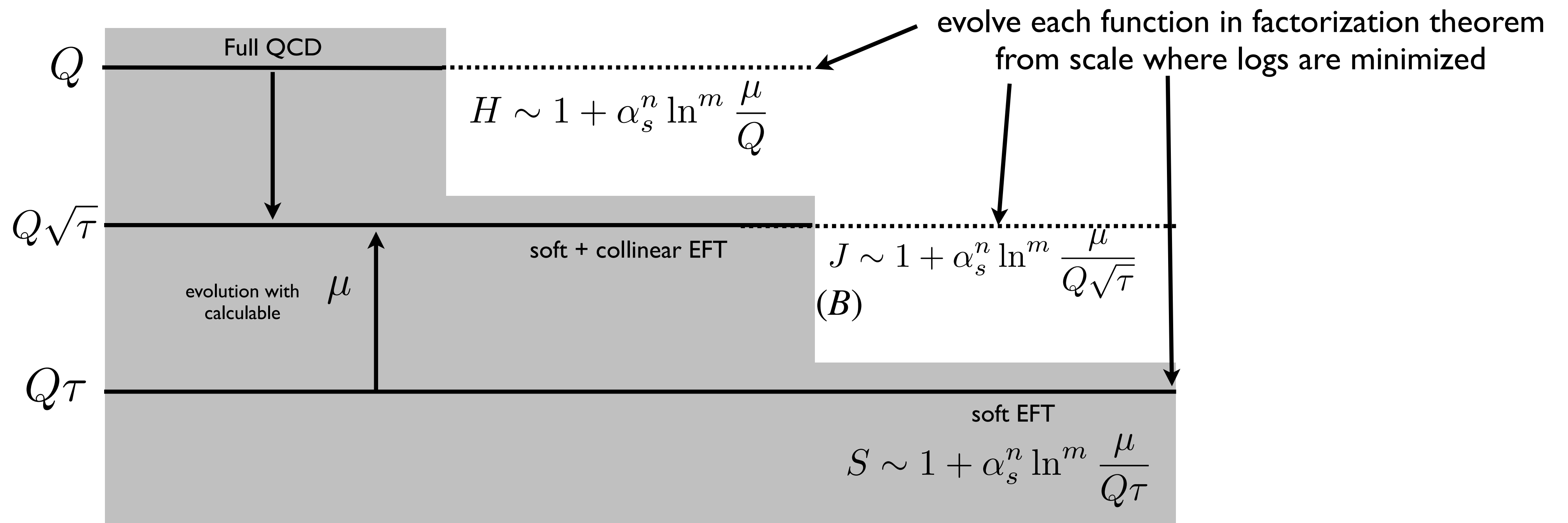
Evolution and resummation

- Easier to discuss in terms of Laplace transforms (or Fourier transforms to position space)
- Turns factorization theorem into a simple product:
- RGE obeyed by Laplace-space jet and soft functions:

$$\tilde{\sigma}(\nu) = \int_0^\infty d\tau e^{-\nu\tau} \sigma(\tau)$$

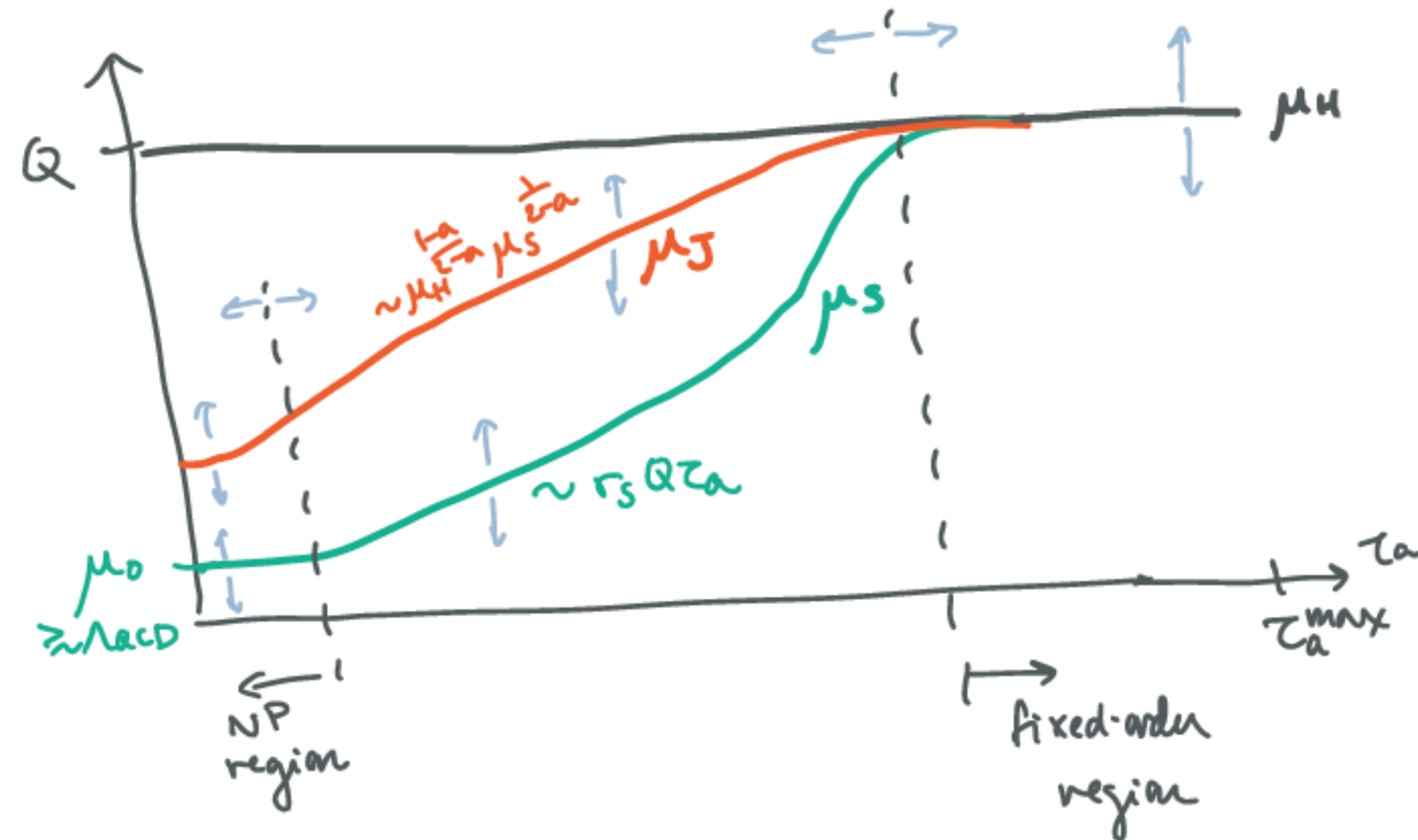
$$\tilde{\sigma}(\nu) = H(Q^2, \mu) \tilde{J}(Q^2/\nu, \mu) \tilde{B}(Q^2/\nu, x, \mu) \tilde{S}(Q/\nu, \mu)$$

$$\mu \frac{d}{d\mu} \tilde{F}(Q^j/\nu, \mu) = \gamma_F(Q^j/\nu, \mu) \tilde{F}(Q^j/\nu, \mu)$$



Scale profiles

Freedom to choose μ_H, μ_S, μ_S (and μ_{NS}, R) allows not only log resummation but robust estimates of perturbative theory uncertainties in each region:



- allows scales to merge in fixed order region before $\tau_a = \tau_a^{\max} (< 1)$
- stable NP region to involve shape function
- variation of all parameters to fully probe theory uncertainty

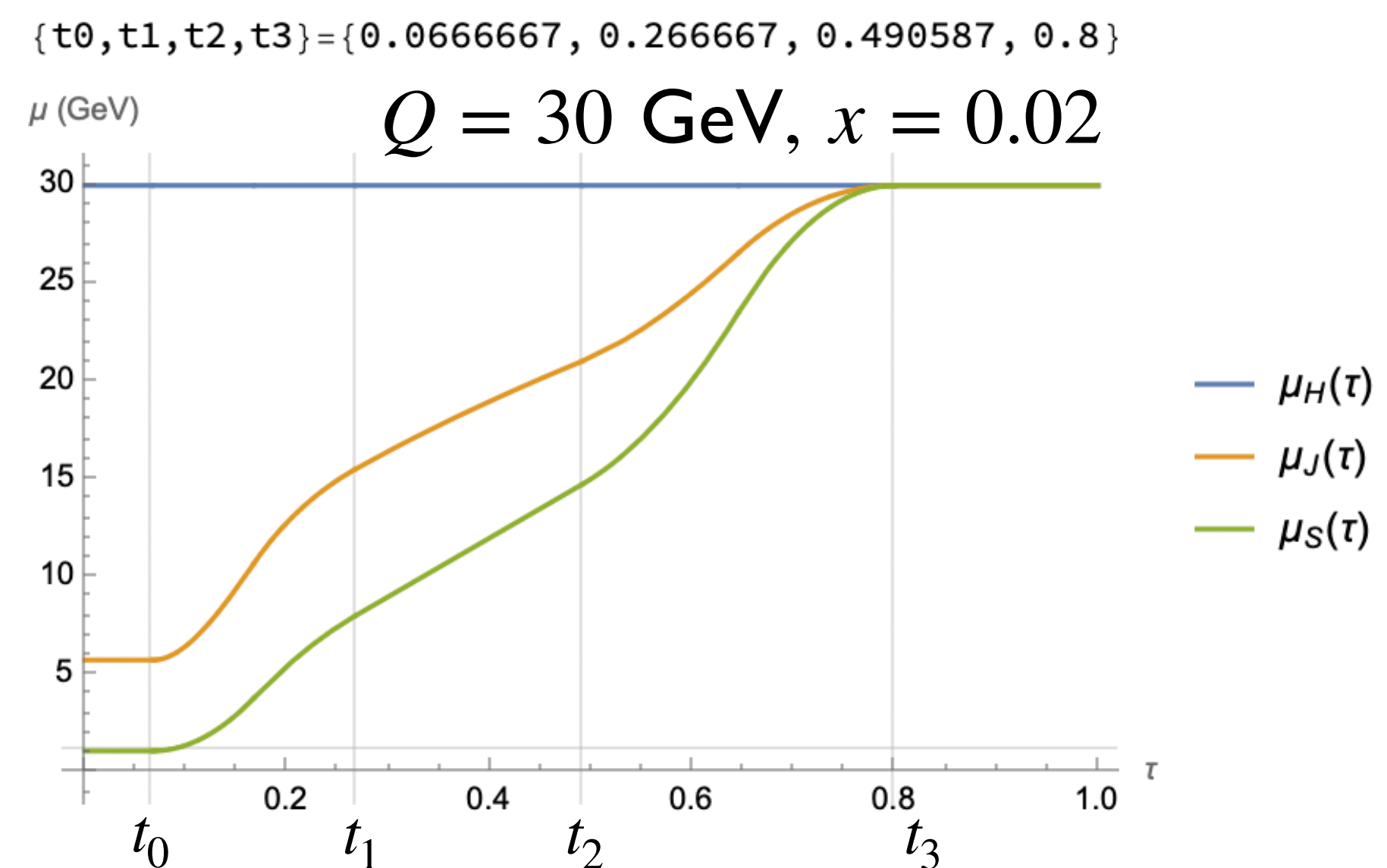
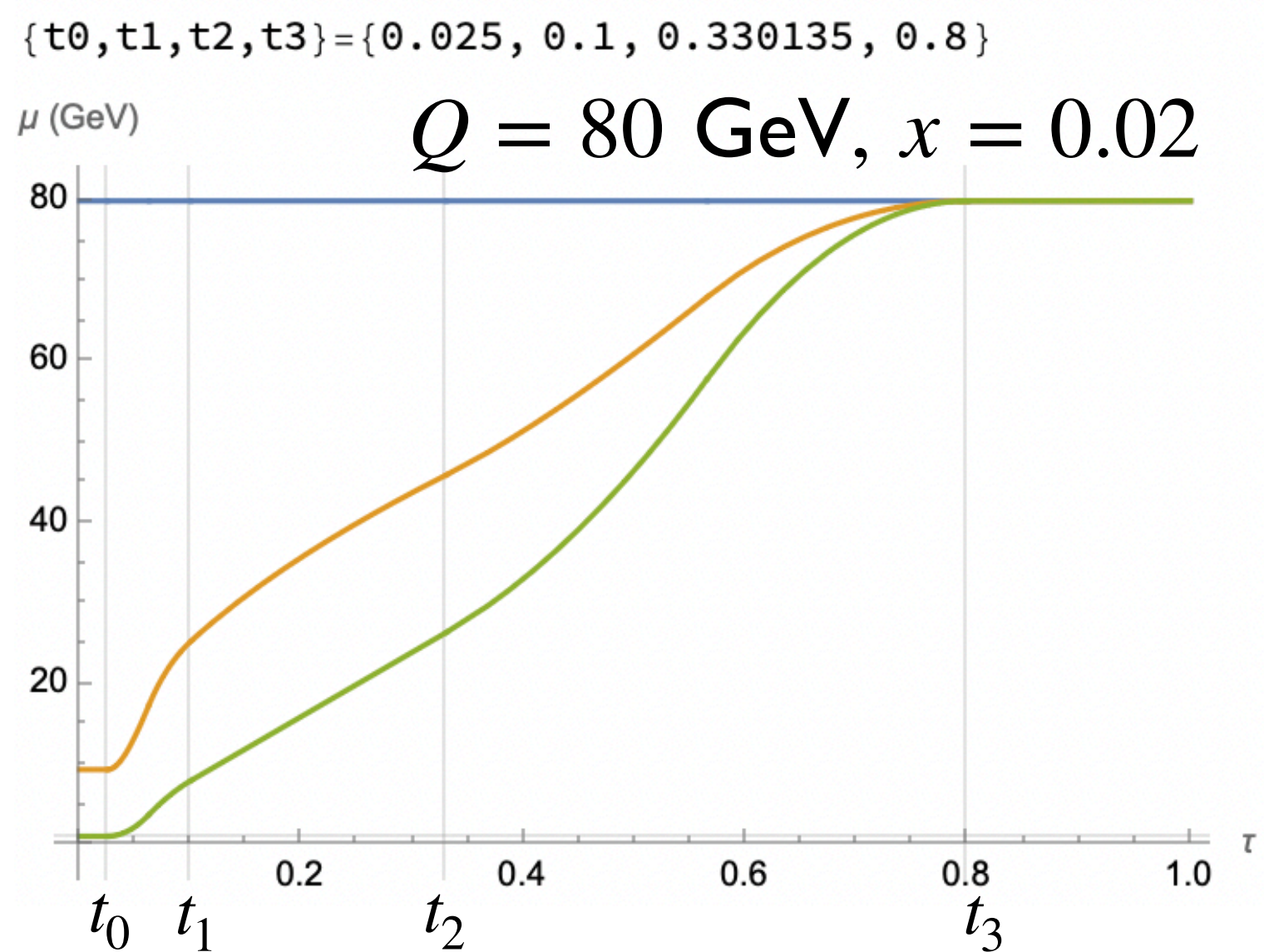
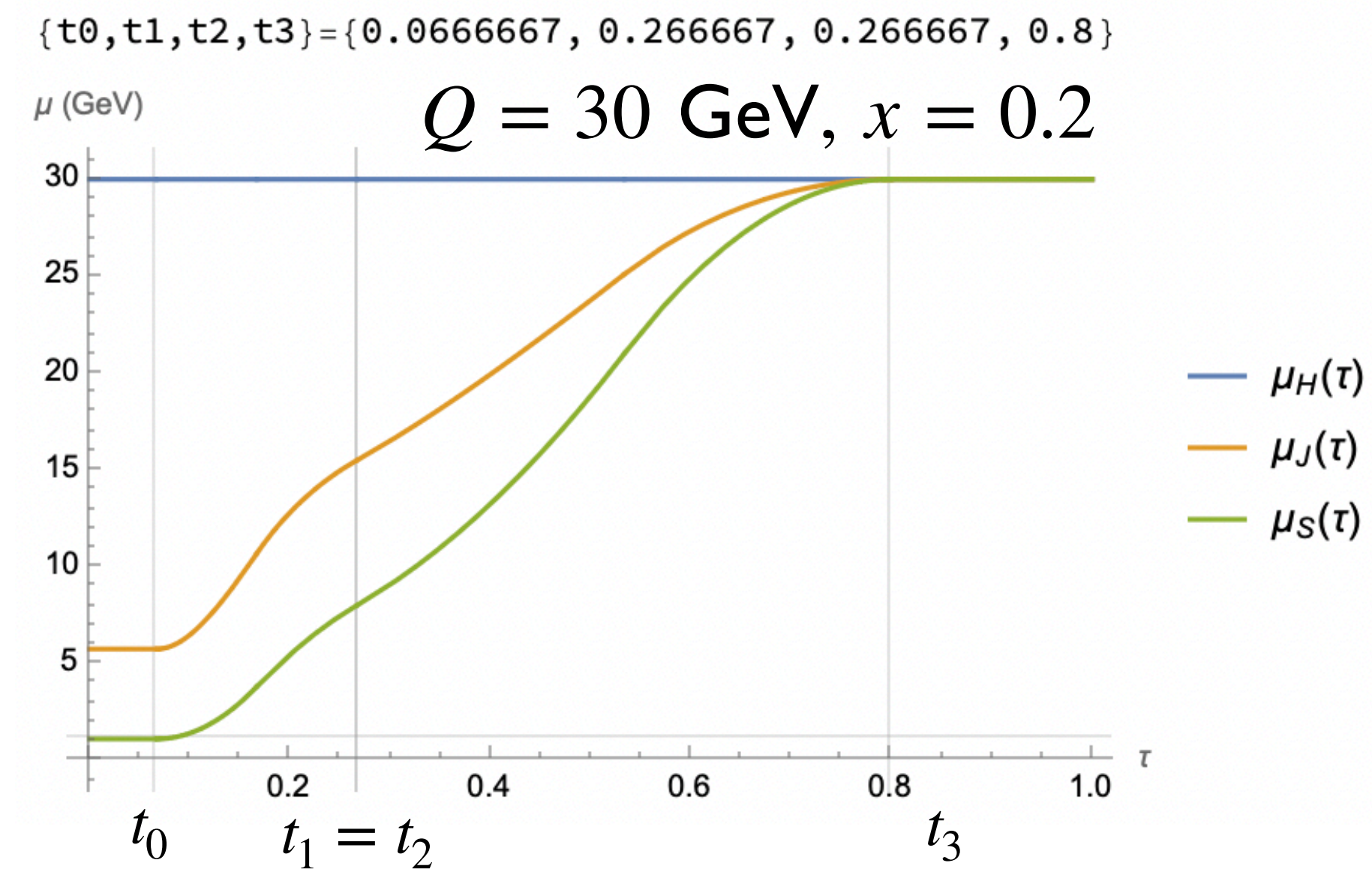
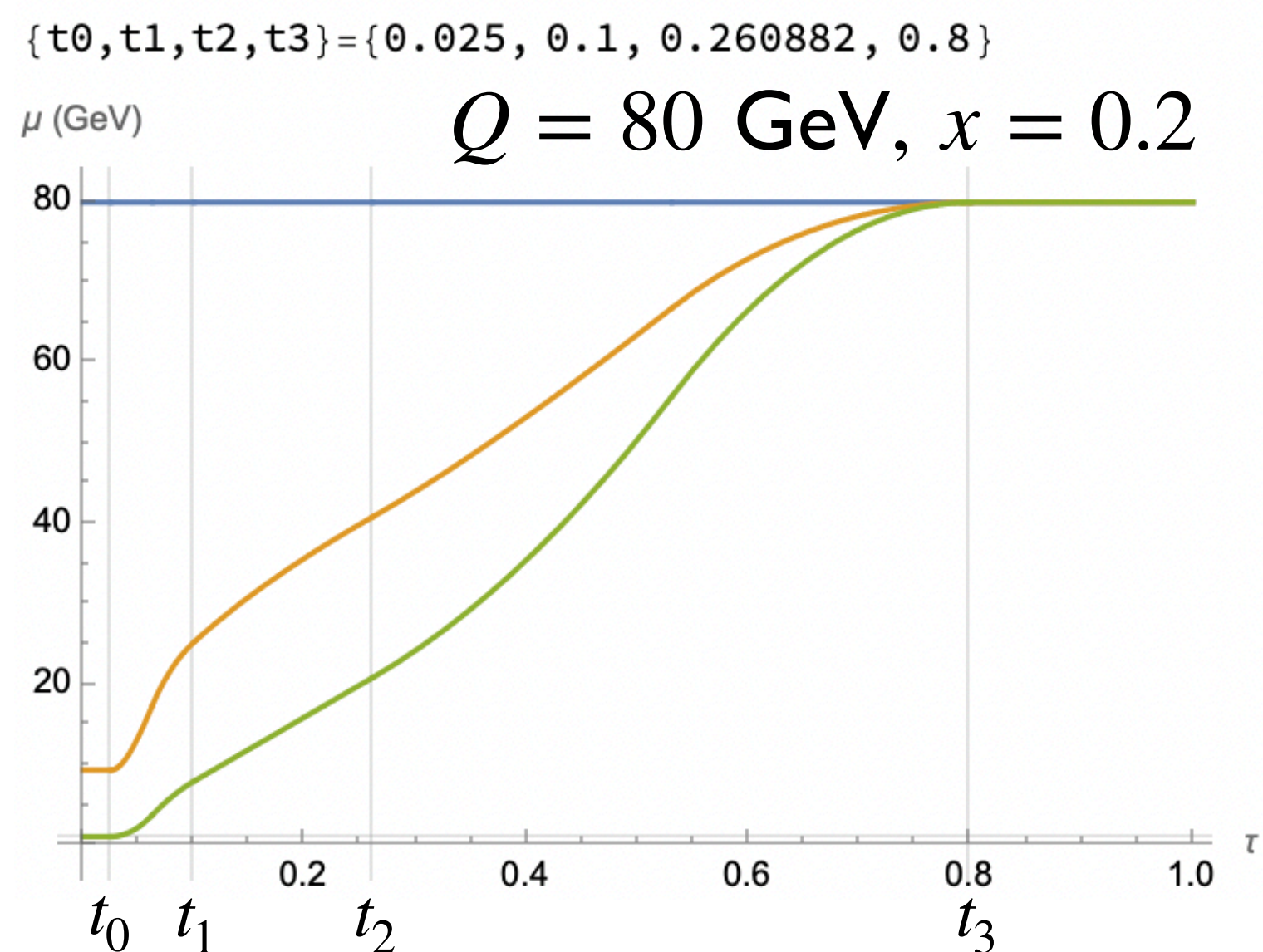
Scale profiles

For DIS, these regions depend on Q, x :

$\tau < t_0$: nonperturbative

$t_1 < \tau < t_2$: canonical resummation

$\tau > t_3$: fixed-order

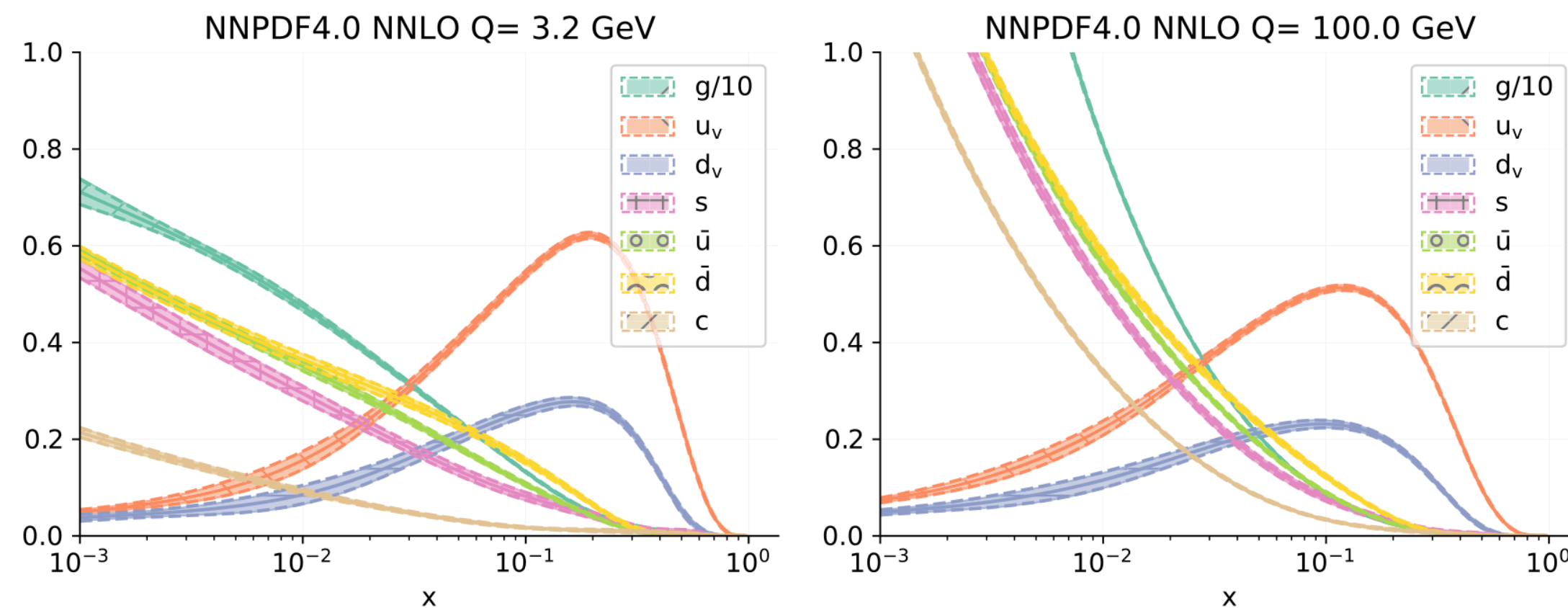


SCET FT for τ_1^b : PDF

τ_1^b **quark beam function**: $\hat{B}_q(t_B, x, \mu) = \int d^2\mathbf{p}_\perp \mathcal{B}_q(t_B - \mathbf{p}_\perp^2, x, \mathbf{p}_\perp^2, \mu)$ PDF for parton j

$\mathcal{B}_q(t, x, \mathbf{k}_\perp^2, \mu)$ is the k_\perp -dep. beam function, $\mathcal{B}_i(t, x, \mathbf{k}_\perp^2, \mu) = \mathcal{F}_{ij}(t, x/\xi, \mathbf{k}_\perp^2, \mu) \otimes_\xi f_j(\xi, \mu)$

- Gluon PDF could get very large as $x \rightarrow 0$.



- we use NNPDF4.0 NNLO PDF set implemented in LHAPDF.
- PDFs are determined w.r.t. α_s value.
- Should change PDFs for different α_s simultaneously.

332700	NNPDF40_nnlo_as_01160	(tarball) (info file)	101	1
332900	NNPDF40_nnlo_as_01170	(tarball) (info file)	101	1
333100	NNPDF40_nnlo_as_01175	(tarball) (info file)	101	1
333300	NNPDF40_nnlo_as_01185	(tarball) (info file)	101	1
333500	NNPDF40_nnlo_as_01190	(tarball) (info file)	101	1
333700	NNPDF40_nnlo_as_01200	(tarball) (info file)	101	1

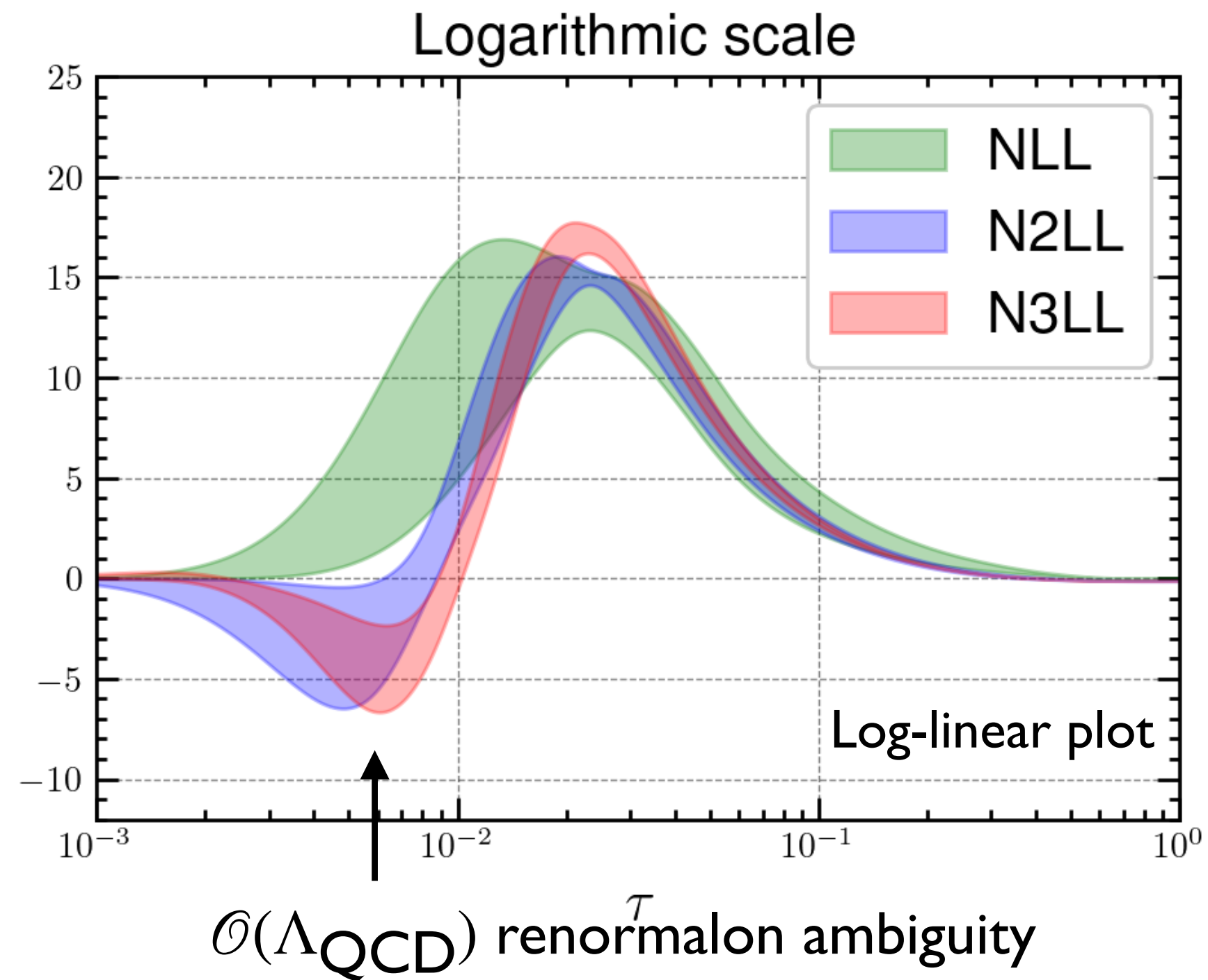
DIS thrust cross sections

Ee, Kang, CL, Stewart [2024]

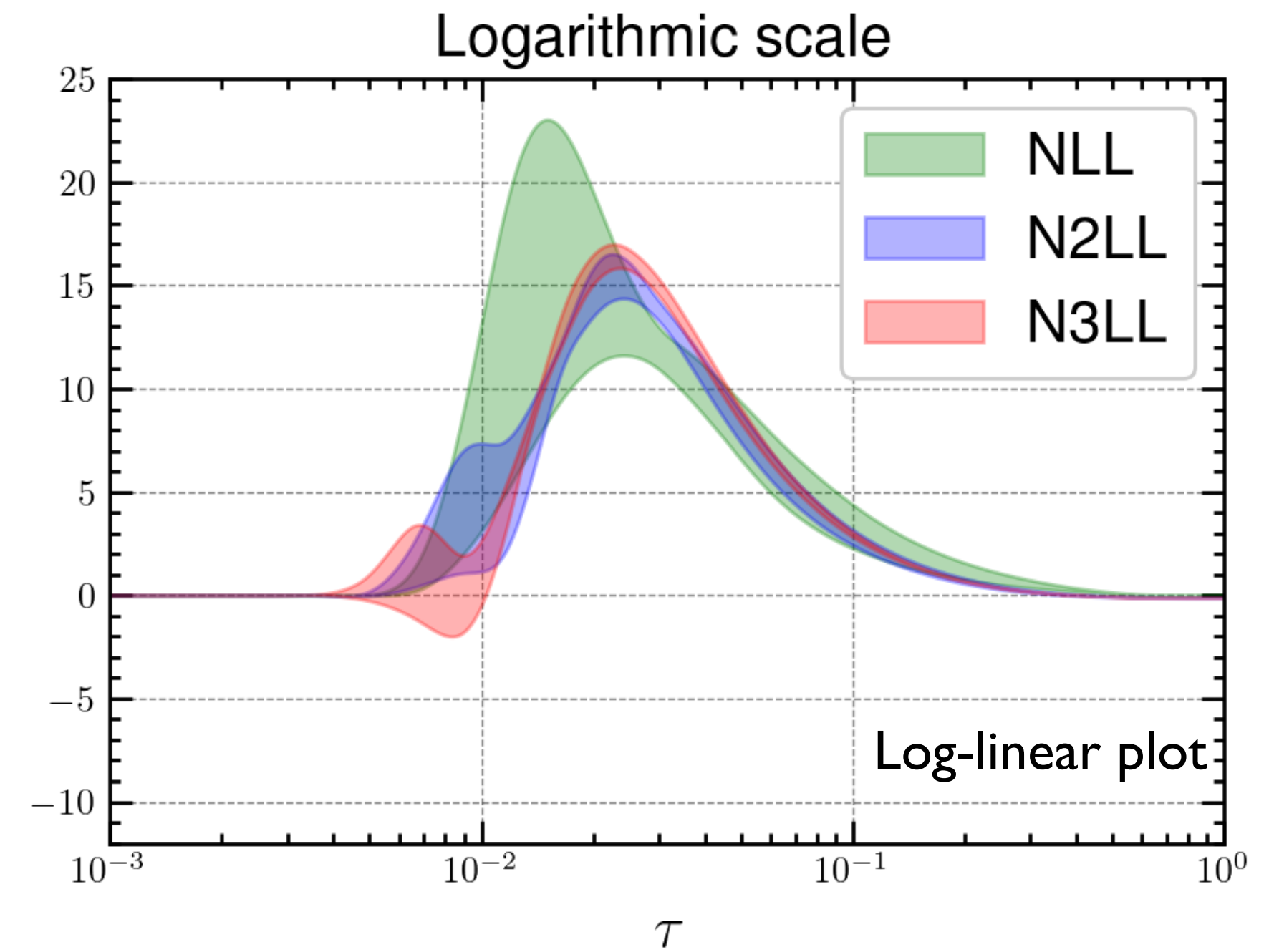
At N³LL + $\mathcal{O}(\alpha_s^2)$:

At $x = 0.2$ and $Q = 80$ GeV $\sqrt{s} = 300$ GeV

Before renormalon subtraction
(shape function only)



After renormalon subtraction
(standard Rgap)

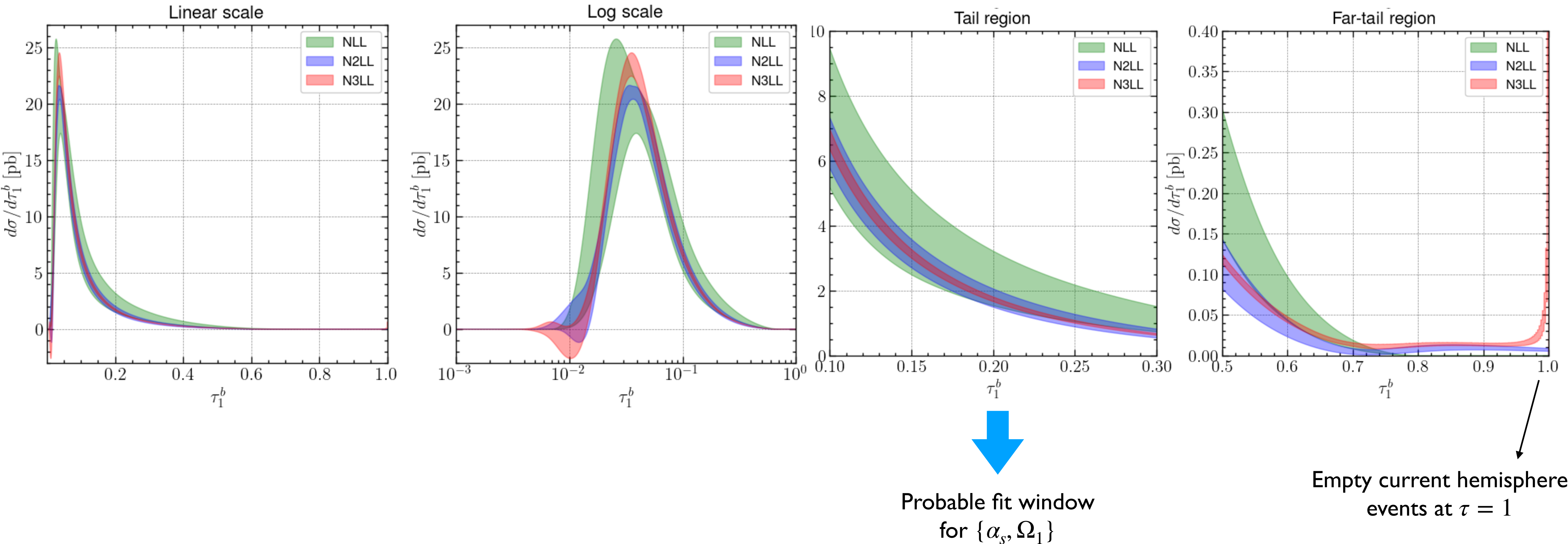


DIS thrust cross sections

Ee, Kang, CL, Stewart [2024]

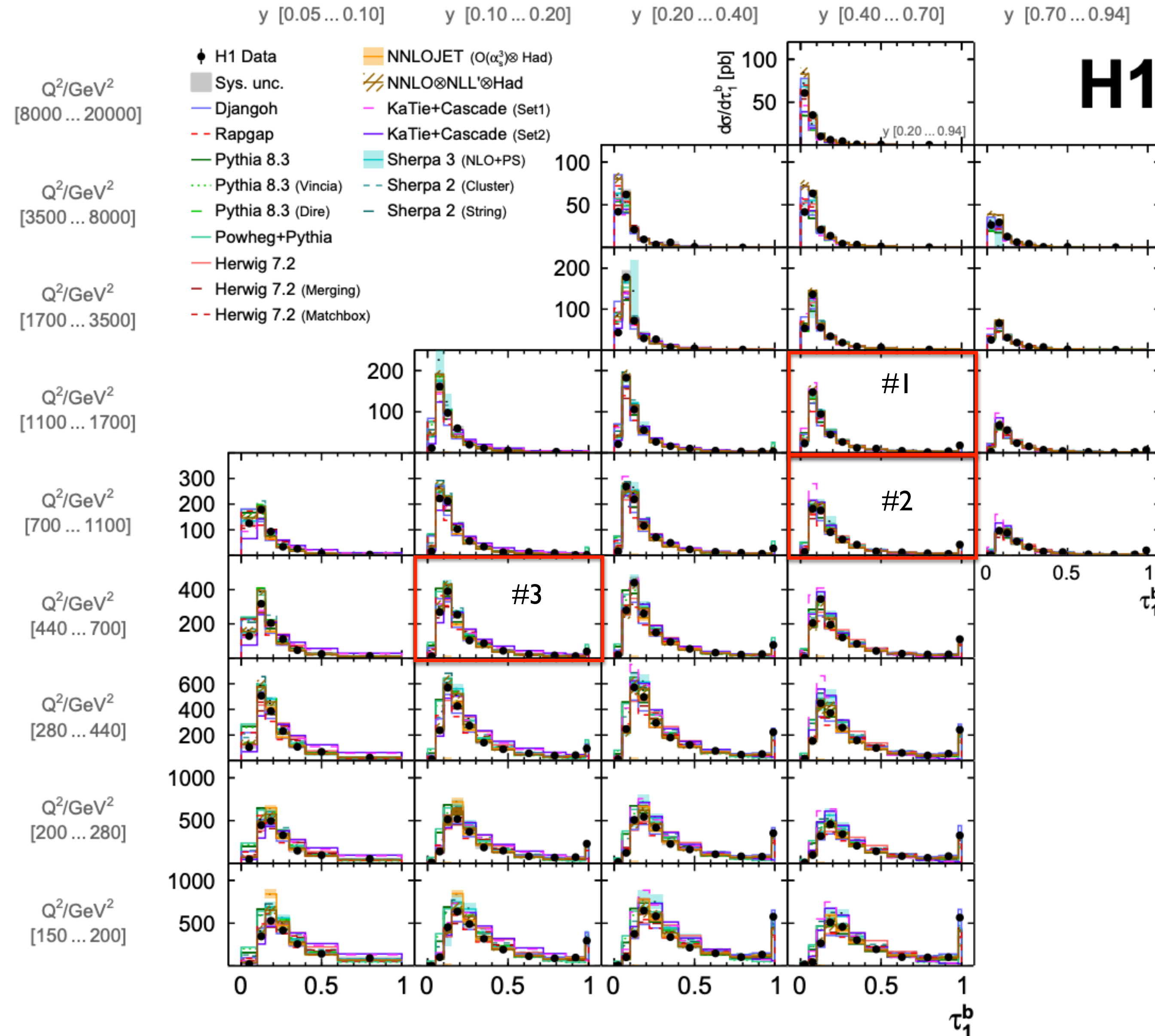
At N³LL + $\mathcal{O}(\alpha_s^2)$:

$Q = 50 \text{ GeV}, x = 0.2, \sqrt{s} = 140 \text{ GeV}$



HERA data

HI Collaboration
[2403.10109]



Theory vs HERA:

- Bin #1
 $1100 < Q^2/\text{GeV} < 1700$,
 $0.4 < y < 0.7$:

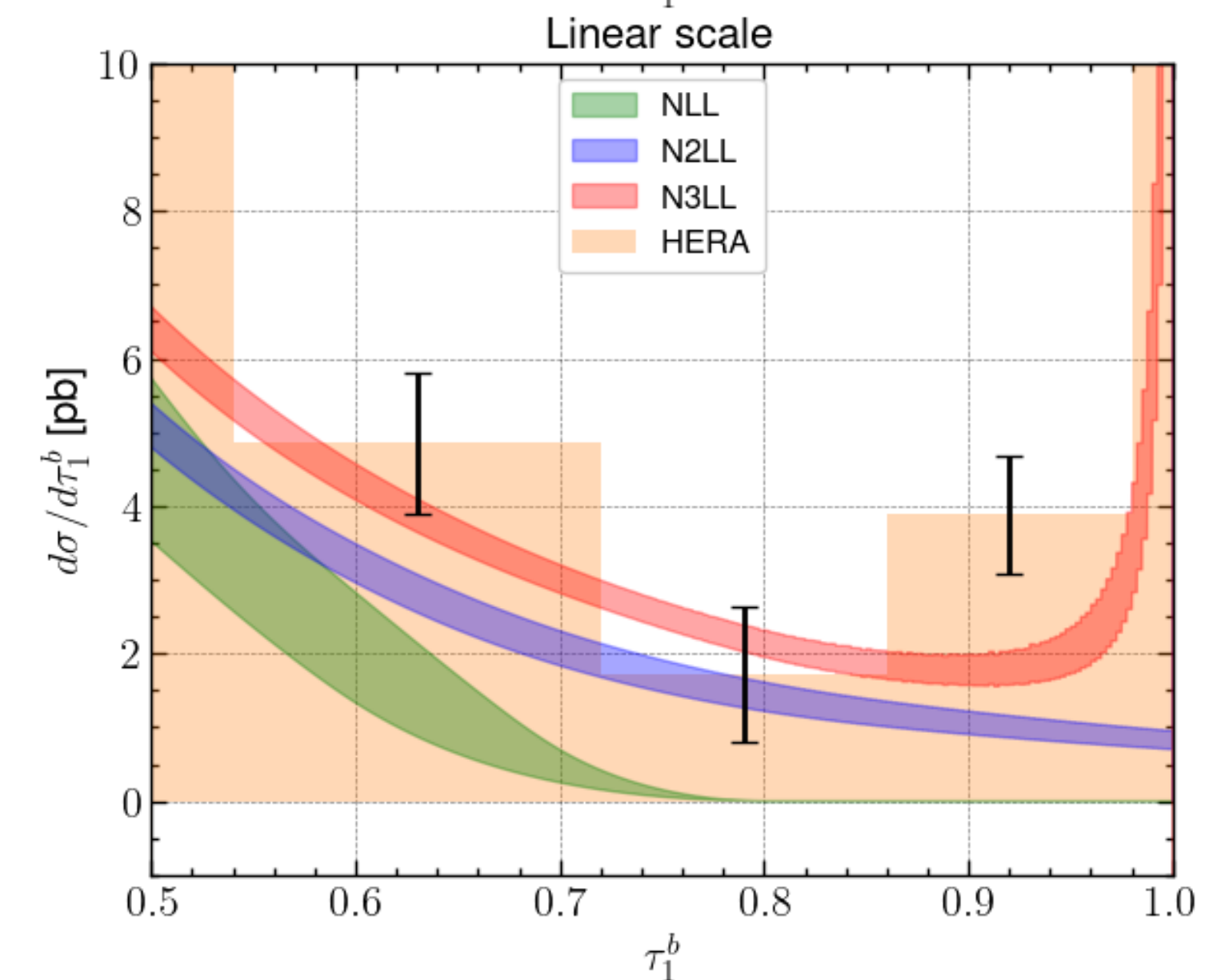
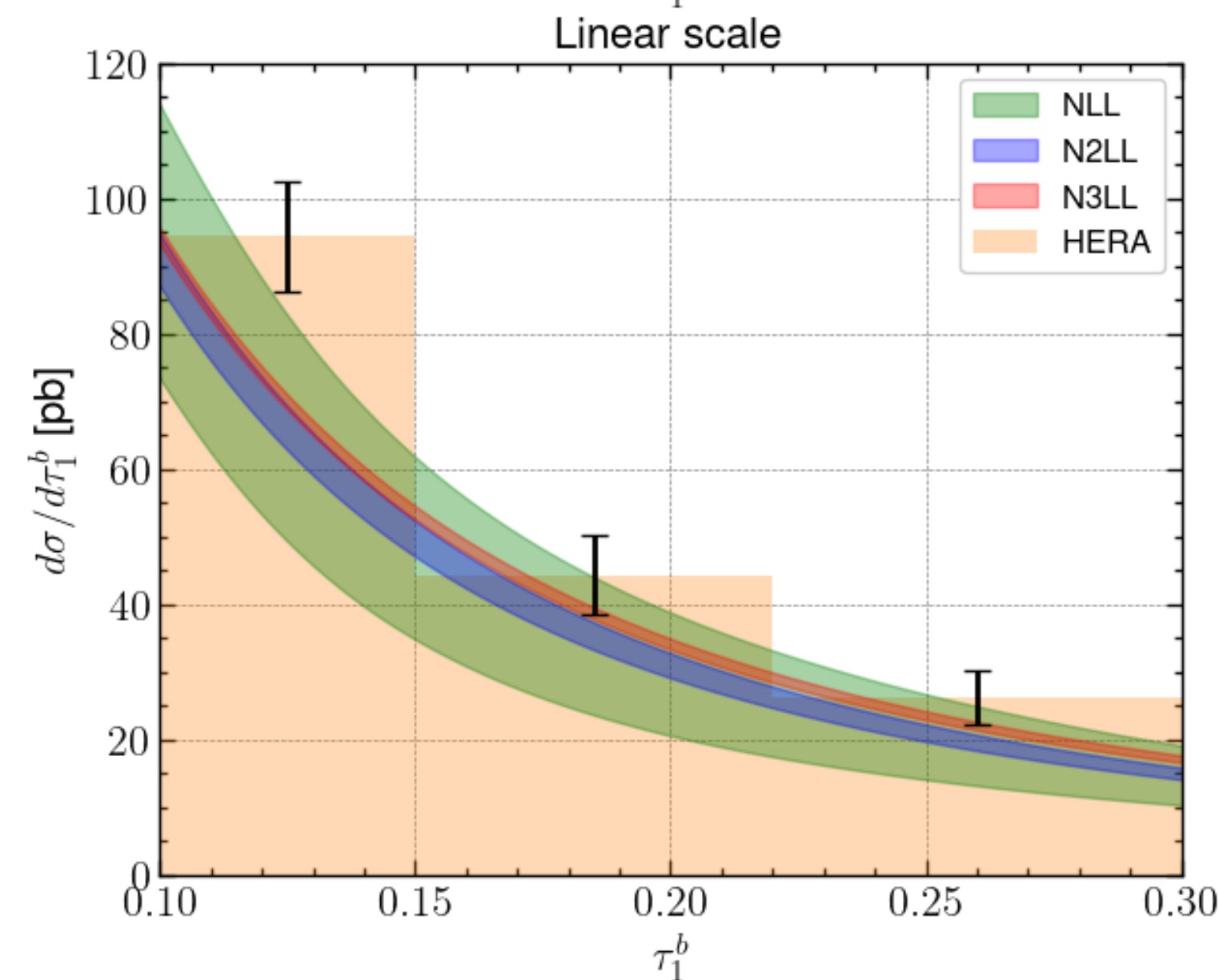
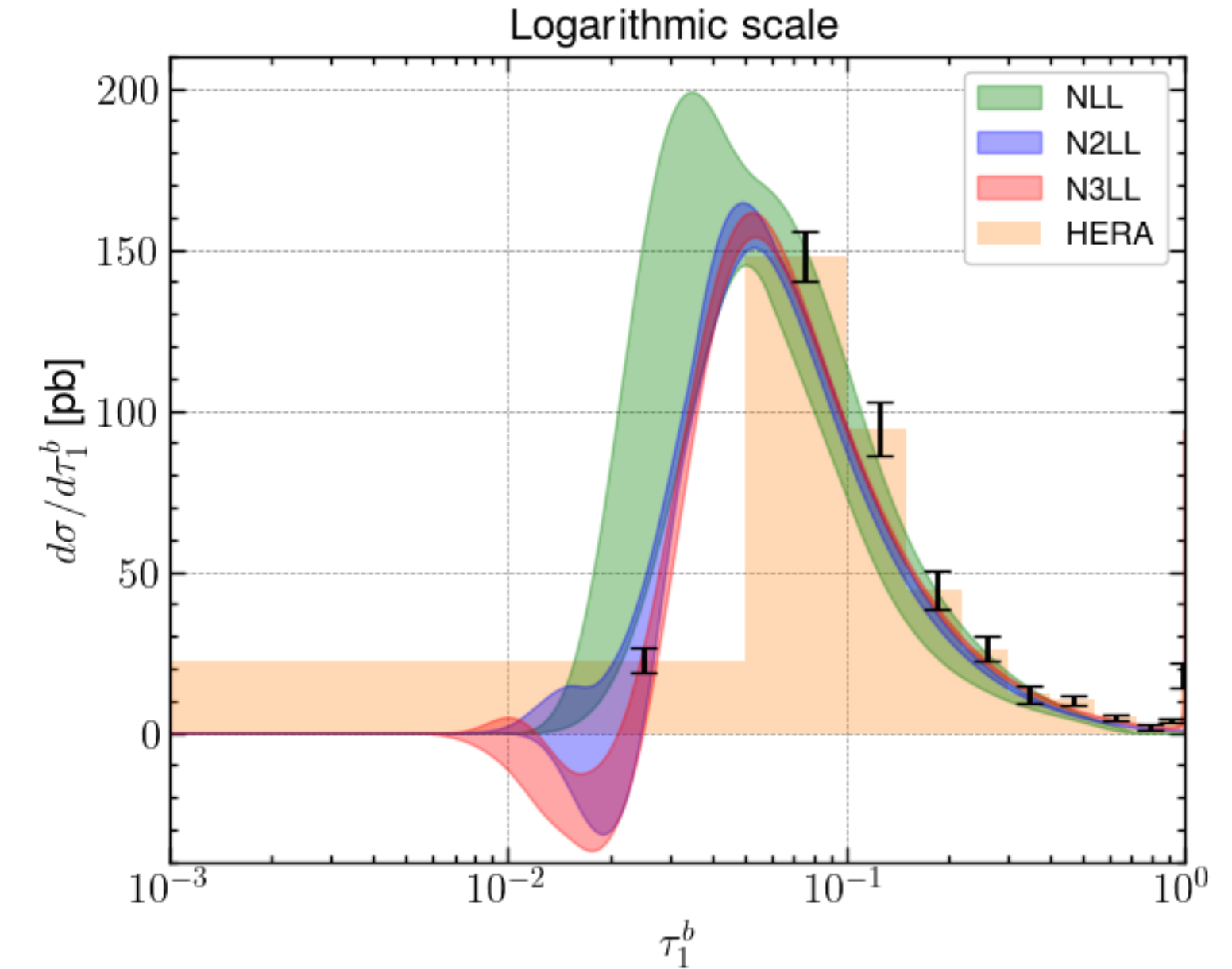
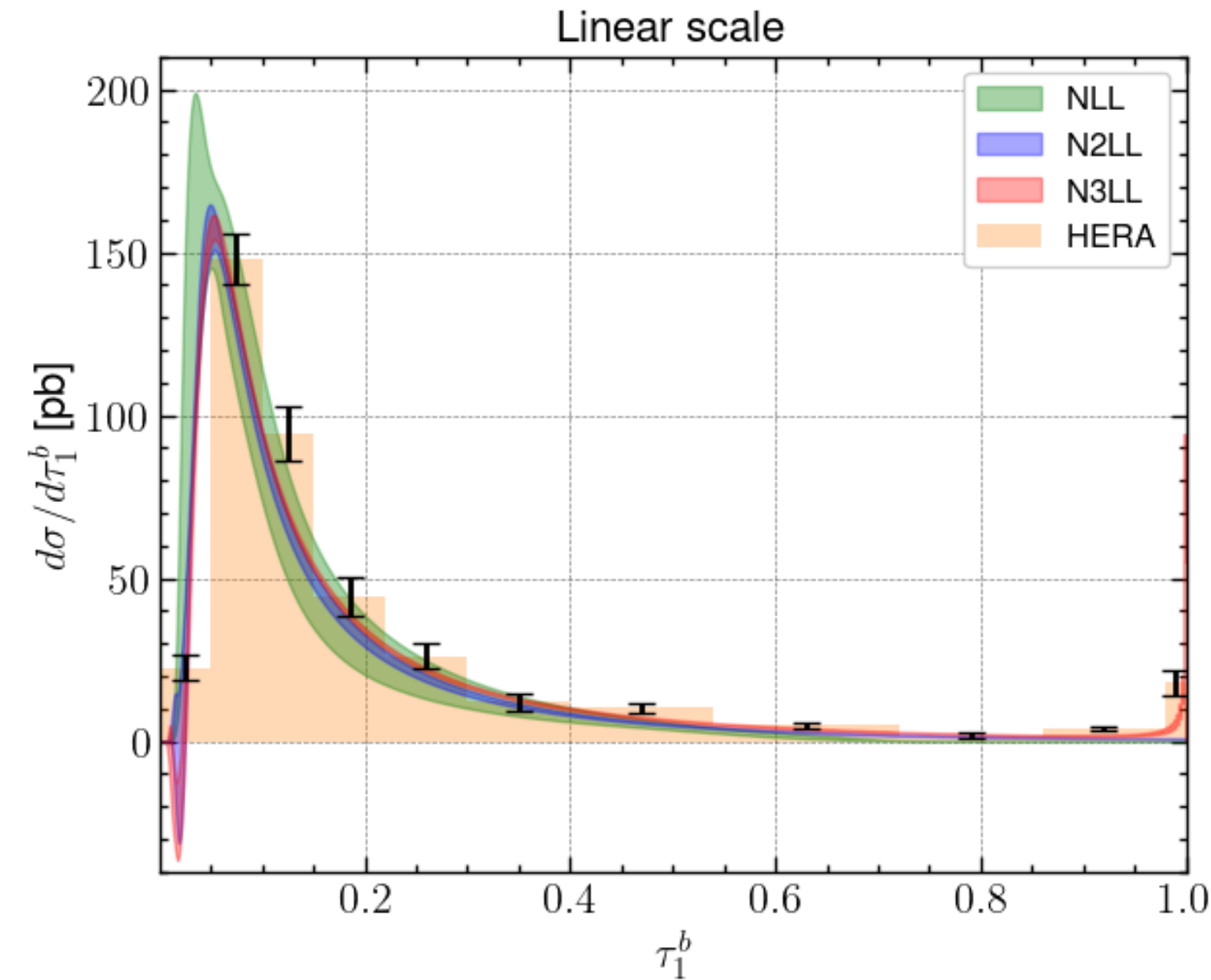
$$x_{\text{mean}} \approx 0.025$$

$$Q_{\text{mean}} \approx 37 \text{ GeV}$$

$$t_1 \approx \frac{8 \text{ GeV}}{Q_{\text{mean}}} \approx 0.21$$

$$t_2 = t_2(x_{\text{mean}}) \approx 0.47$$

$$\alpha_s(M_Z) = 0.118, \Omega_1 = 350 \text{ MeV}$$



Theory vs HERA:

- Bin #1
 $1100 < Q^2/\text{GeV} < 1700$,
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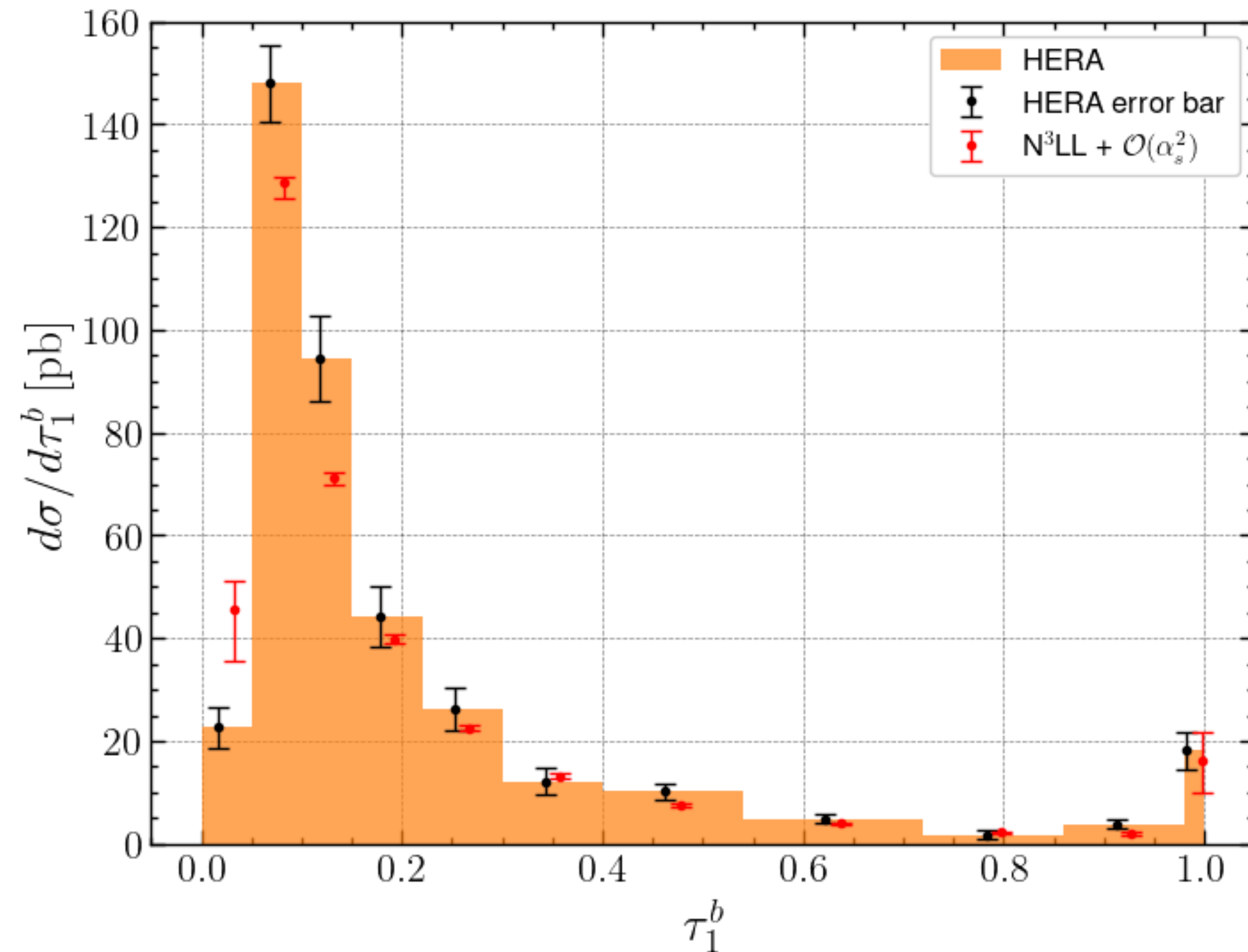
$$Q_{\text{mean}} \approx 37 \text{ GeV}$$

$$t_1 \approx \frac{8 \text{ GeV}}{Q_{\text{mean}}} \approx 0.21$$

$$t_2 = t_2(x_{\text{mean}}) \approx 0.47$$

$$\alpha_s(M_Z) = 0.118, \Omega_1 = 350 \text{ MeV}$$

Binned equivalently:



HERA total cross section
 23.04(90) pb

Theory total cross section: $20.82^{+1.17}_{-0.85}$ pb

Theory vs HERA:

- Bin #2
 $440 < Q^2/\text{GeV} < 700$,
 $0.1 < y < 0.2$:

$$x_{\text{mean}} \approx 0.016$$

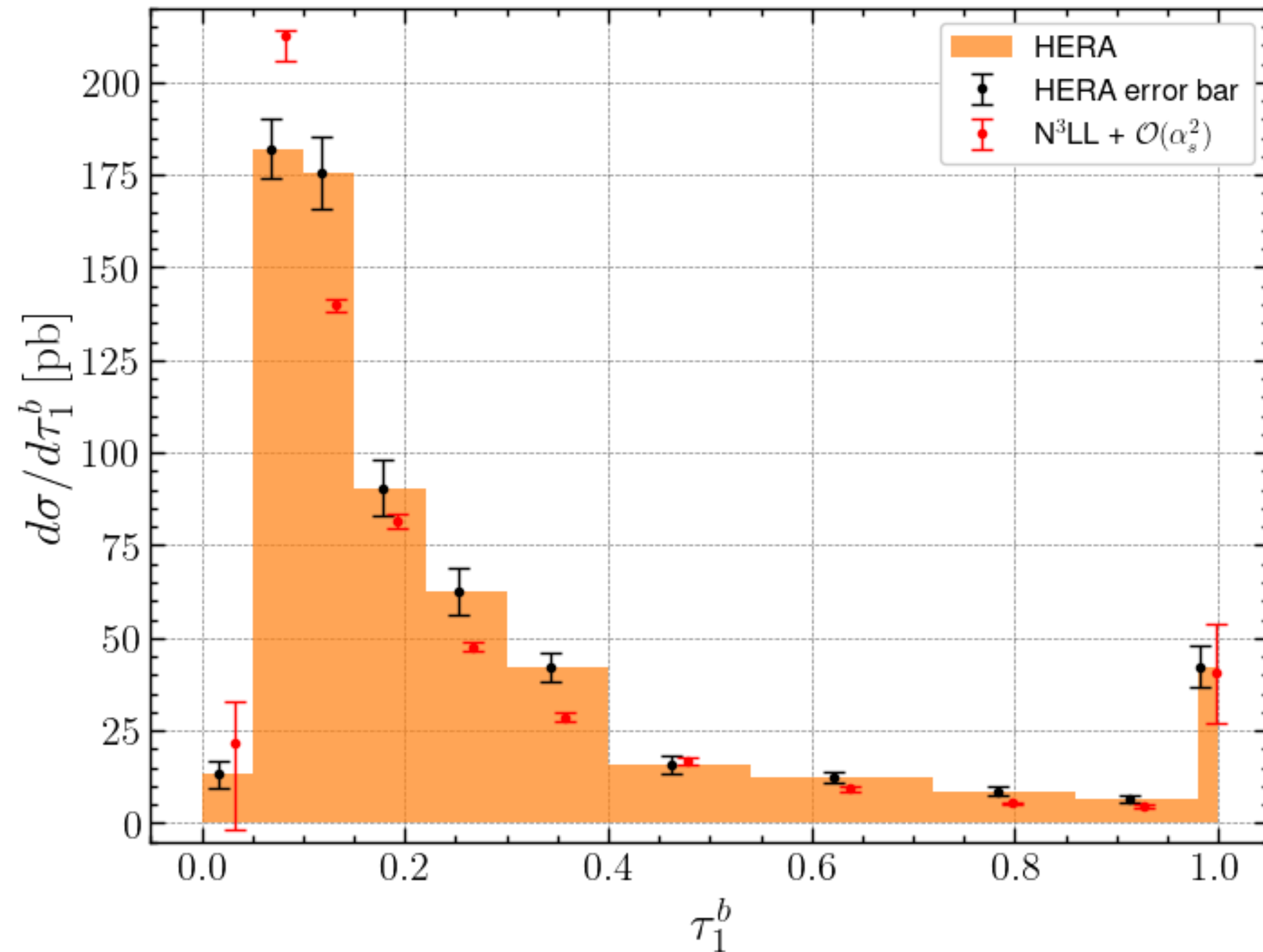
$$Q_{\text{mean}} \approx 30 \text{ GeV}$$

$$t_1 \approx \frac{8 \text{ GeV}}{Q_{\text{mean}}} \approx 0.27$$

$$t_2 = t_2(x_{\text{mean}}) \approx 0.51$$

$$\alpha_s(M_Z) = 0.118, \Omega_1 = 350 \text{ MeV}$$

Binned equivalently:



HERA total cross section
 $83.6(2.1) \text{ pb}$

Theory total cross section: $72.10^{+6.23}_{-4.61} \text{ pb}$

Theory vs HERA:

- Bin #3
 $700 < Q^2/\text{GeV} < 1100$,
 $0.4 < y < 0.7$:

$$x_{\text{mean}} \approx 0.037$$

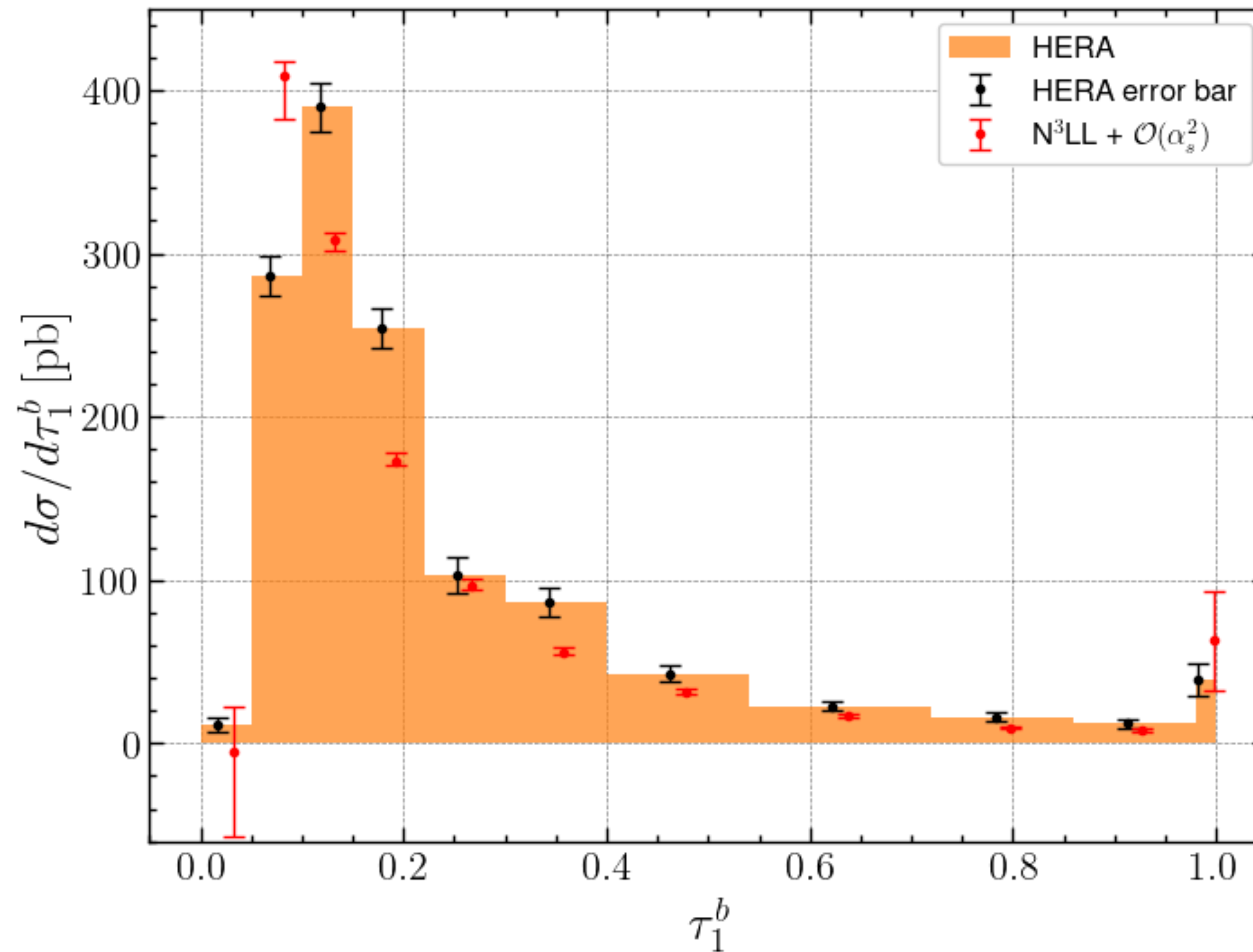
$$Q_{\text{mean}} \approx 24 \text{ GeV}$$

$$t_1 \approx \frac{8 \text{ GeV}}{Q_{\text{mean}}} \approx 0.34$$

$$t_2 = t_2(x_{\text{mean}}) \approx 0.43$$

$$\alpha_s(M_Z) = 0.118, \Omega_1 = 350 \text{ MeV}$$

Binned equivalently:



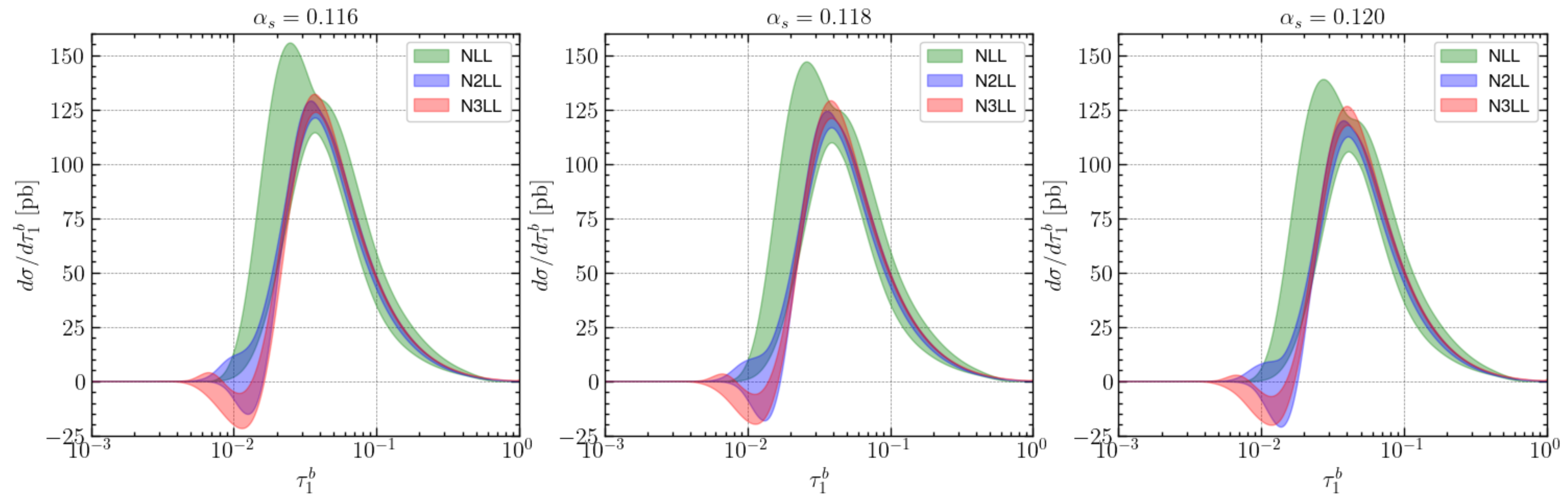
HERA total cross section
 41.4(1.2) pb

Theory total cross section: $37.38_{-1.75}^{+2.63}$ pb

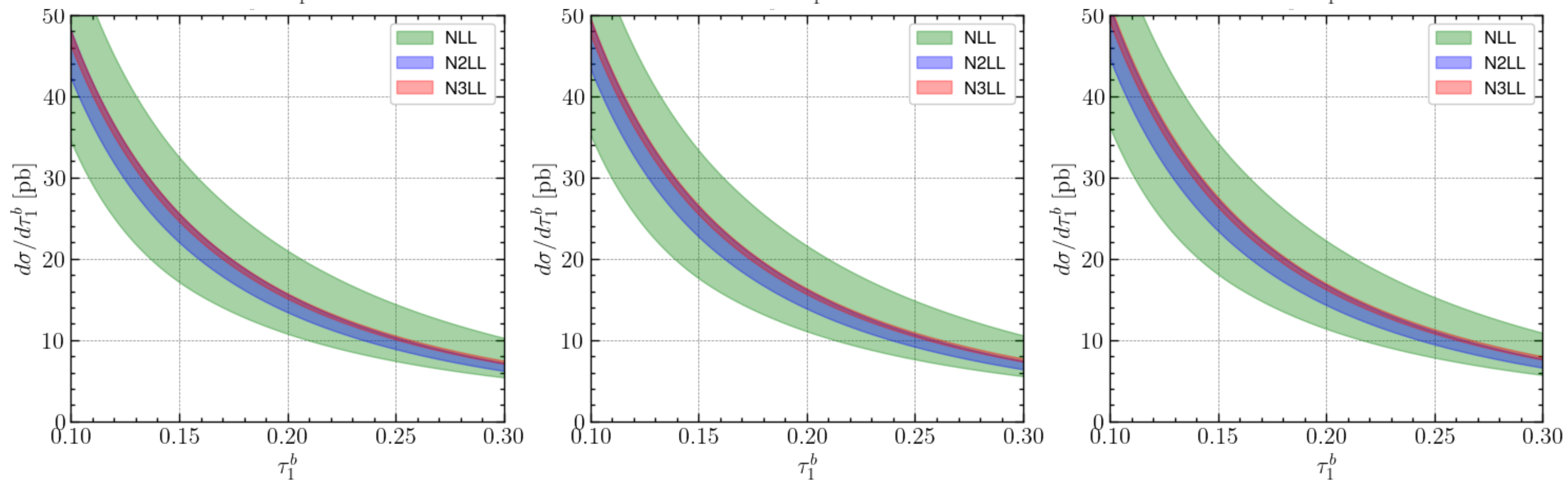
Sensitivity to α_s

- $\sqrt{s} = 300$ GeV, $Q = 50$ GeV, $x = 0.05$

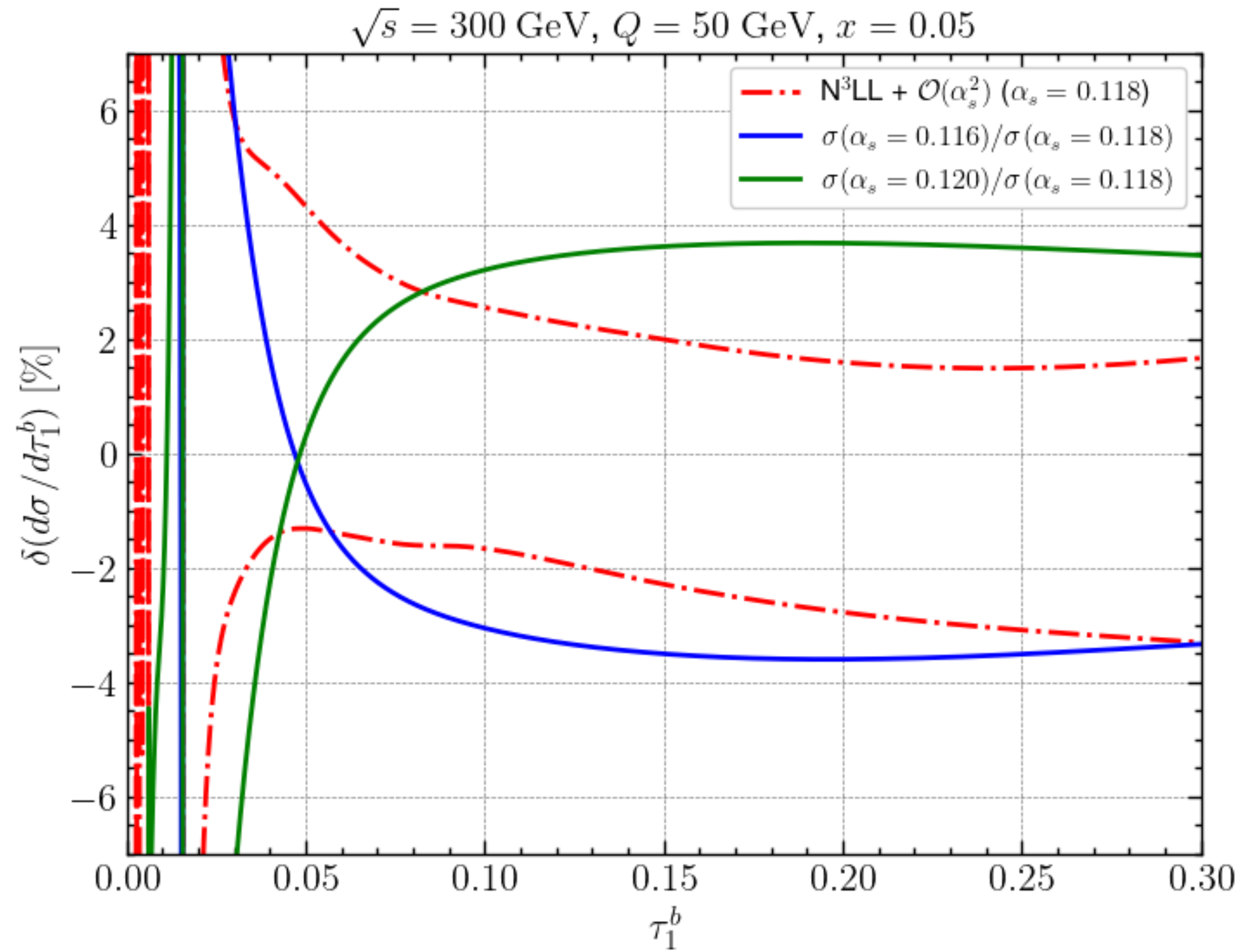
- Peak:



- Tail:



Sensitivity to α_s

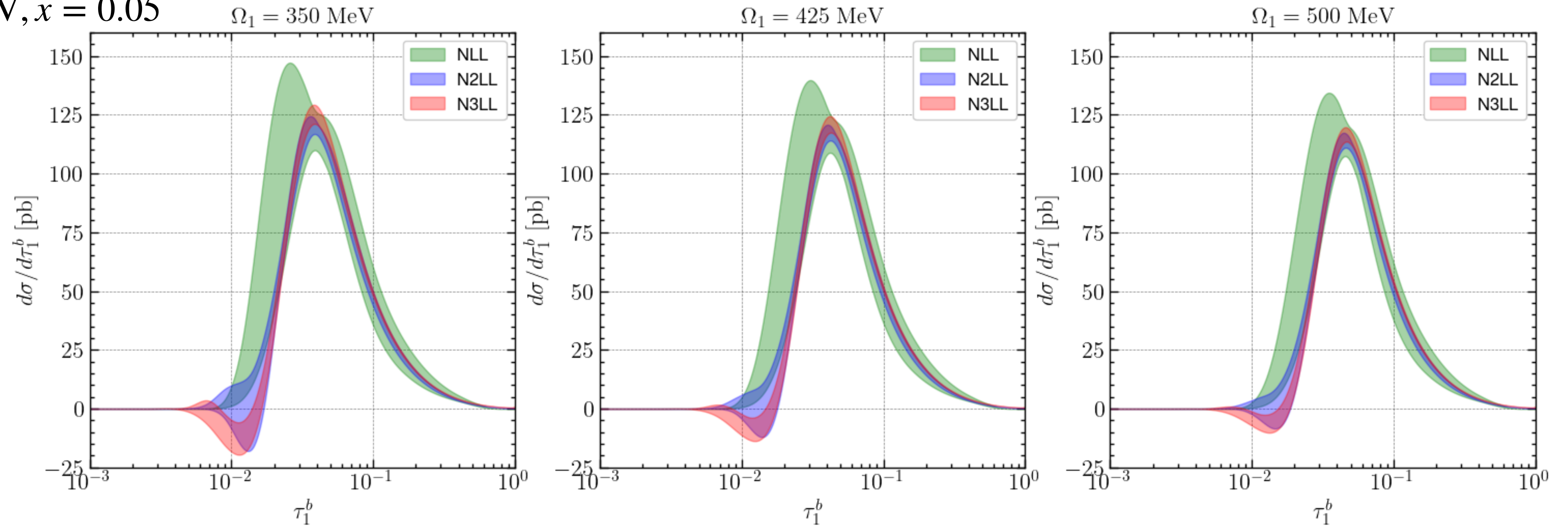


- At single x, Q we have potential percent-level sensitivity to α_s

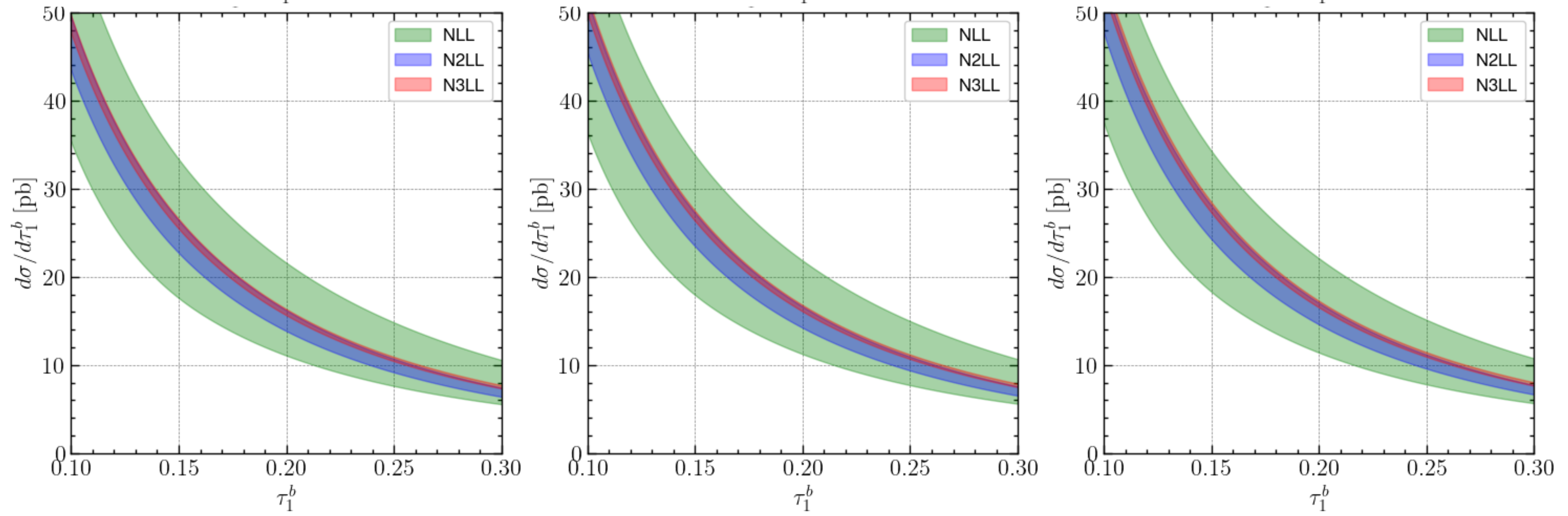
Sensitivity to Ω_1

- $\sqrt{s} = 300$ GeV, $Q = 50$ GeV, $x = 0.05$

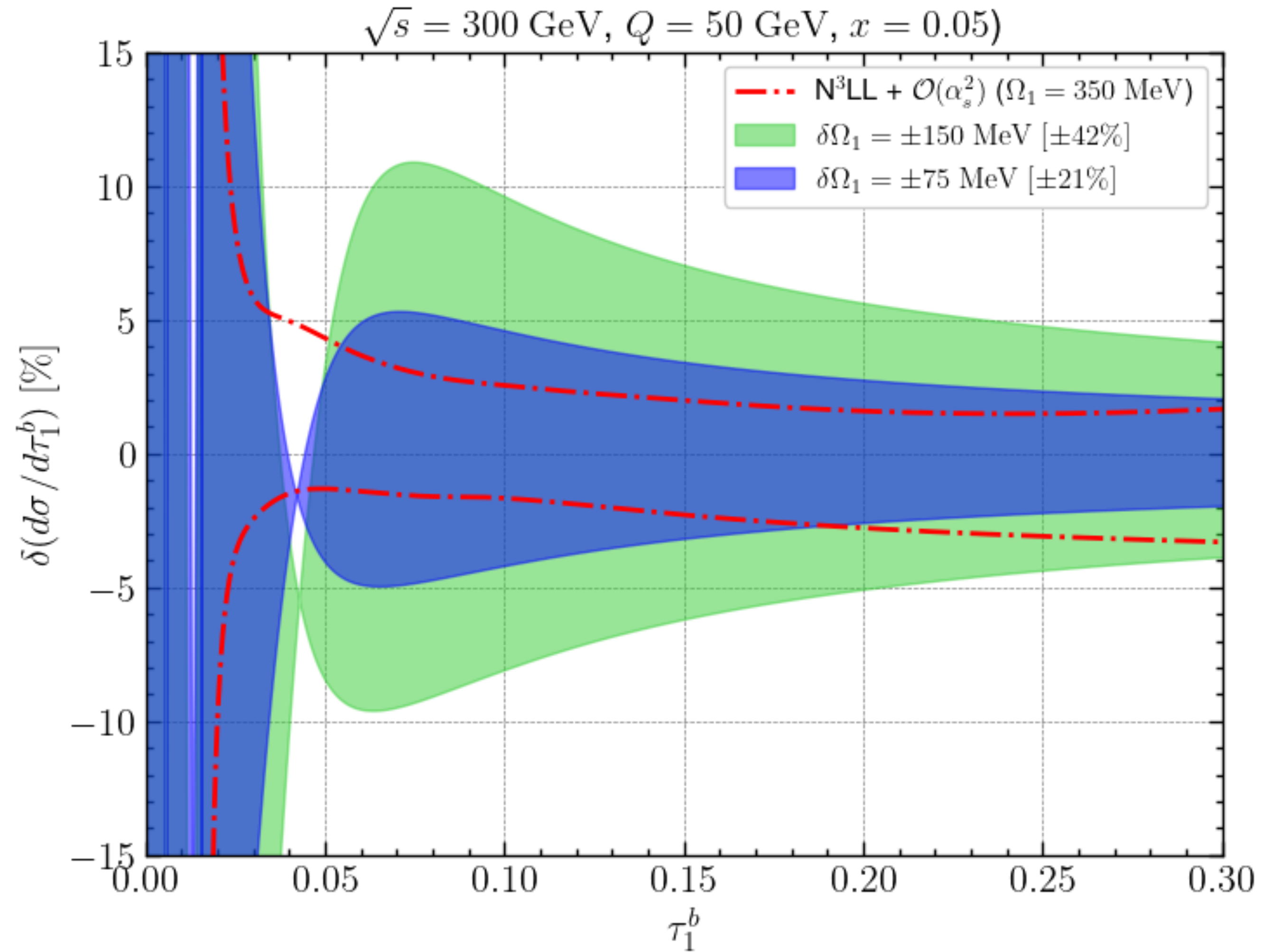
- Peak:



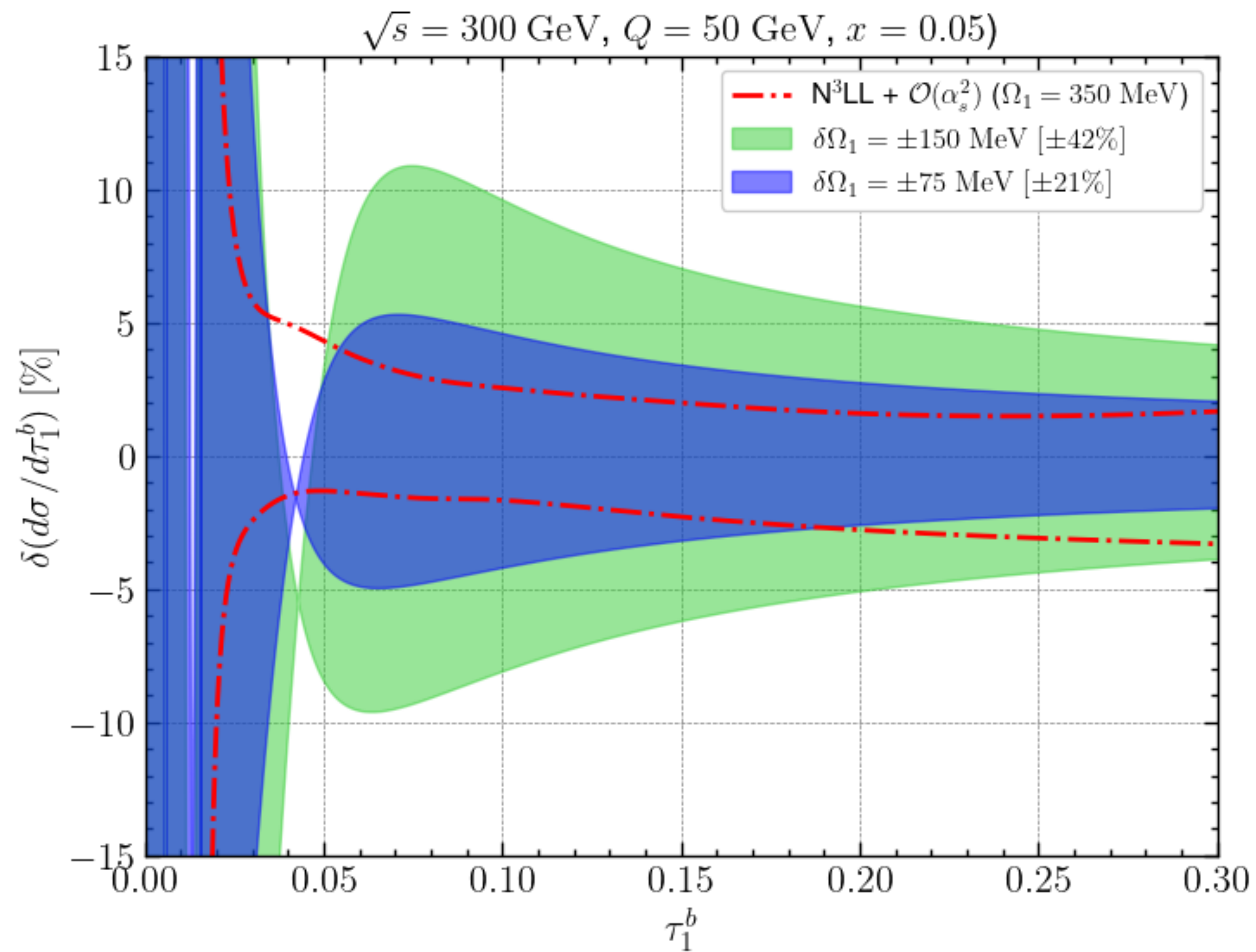
- Tail:



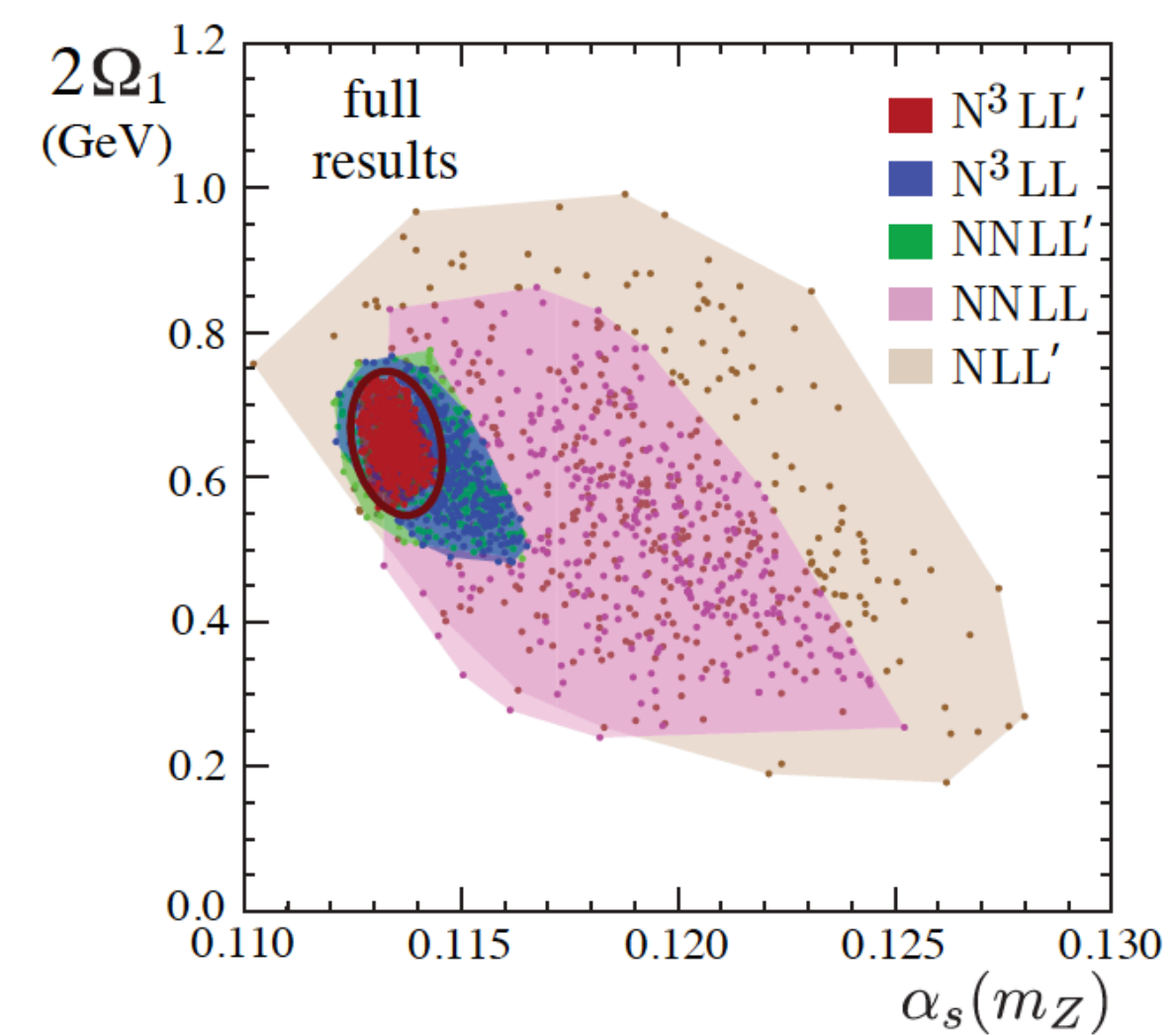
Sensitivity to Ω_1



- At single x, Q we have potential 10%-level sensitivity to Ω_1

Sensitivity to Ω_1 

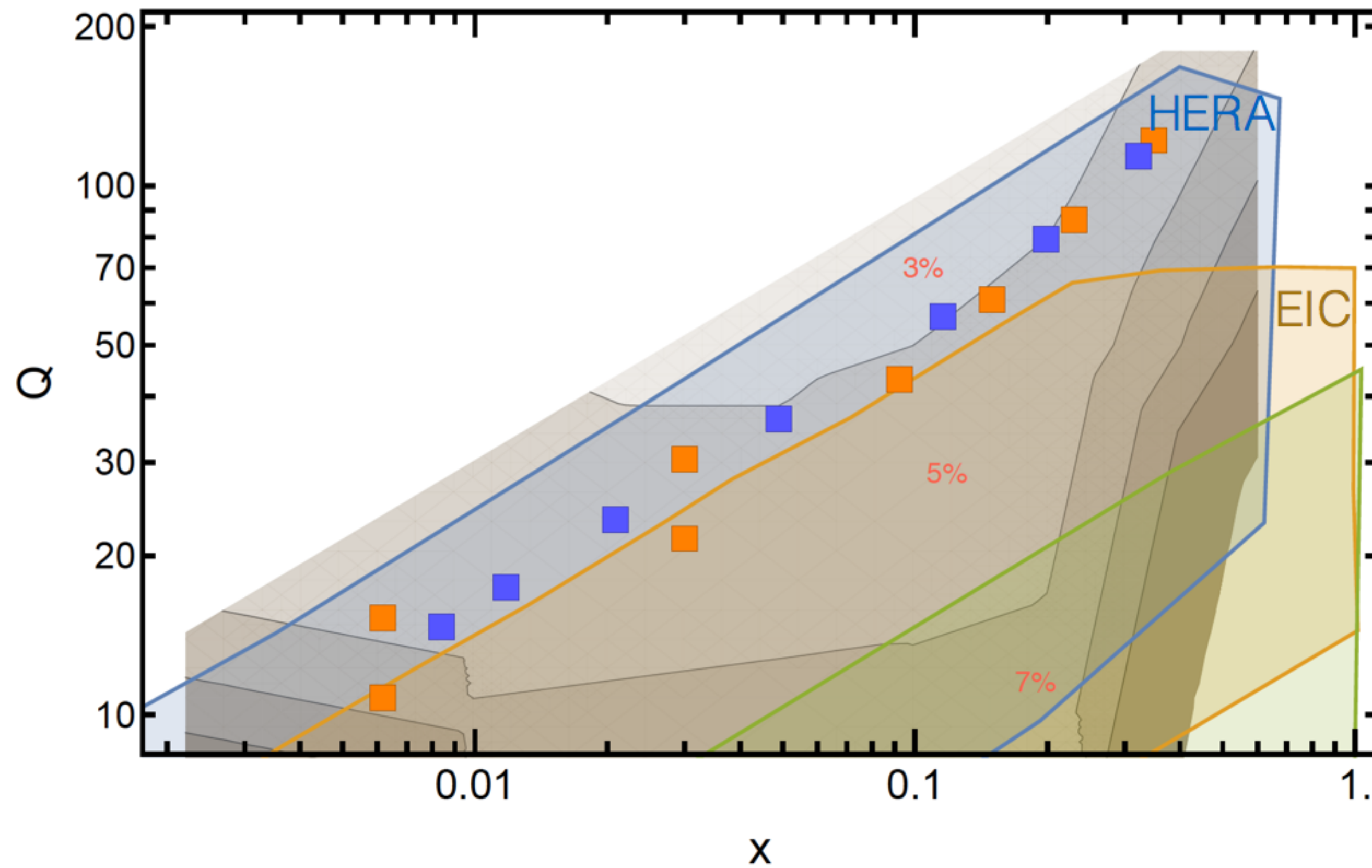
- At single x, Q we have potential 10%-level sensitivity to Ω_1



Experimental reach

Current theoretical uncertainty
vs. HERA or EIC coverage:

EIC Yellow Report [2103.05419]



**Many x , Q 's will help break degeneracies
between $\{\alpha_s, \Omega_1\}$, improve precision on both**

Outlook

- N³LL + $\mathcal{O}(\alpha_s^2)$ resummed + fixed-order predictions for DIS thrust available, our results to appear soon
- Event shapes in DIS promising candidates for precision determination of strong coupling, PDFs, and hadronization corrections, complementary/orthogonal to e^+e^- and other determinations
- Results from HERA encourage this promise as we enter the EIC era

Performance improvement: Summary

- We optimized our codes in mostly two ways, one as the bicubic interpolator (both for fixed and resummed) and the other as the convolution integrations (for resummed).

Fixed-order singular (5 scale variations)

Original codes: ~ 40 mins



New codes: ~ 10 mins

Optimized bicubic
interpolator for the
interpolated beam
function

Use the integration
method implementing
tanh-sinh method
(mpmath)

Resummed singular (17 scale variations)

Original codes: 13 hours



New codes: ~ 7 hours

But turned out the
convolution integrations are
subject to the numerical
instabilities due to the
singularities at the
boundaries.



New codes: ~ 2.5 hours

And this is numerically stable!

Contrast with other work

Cao, Kang, Liu, Mantry
[2401..01941]

- Same theoretical accuracy, but different definition of DIS I-jettiness

$$1) \quad \tau_1 = \sum_k \min \left\{ \frac{2q_B \cdot p_k}{Q_B}, \frac{2q_J \cdot p_k}{Q_J} \right\}$$

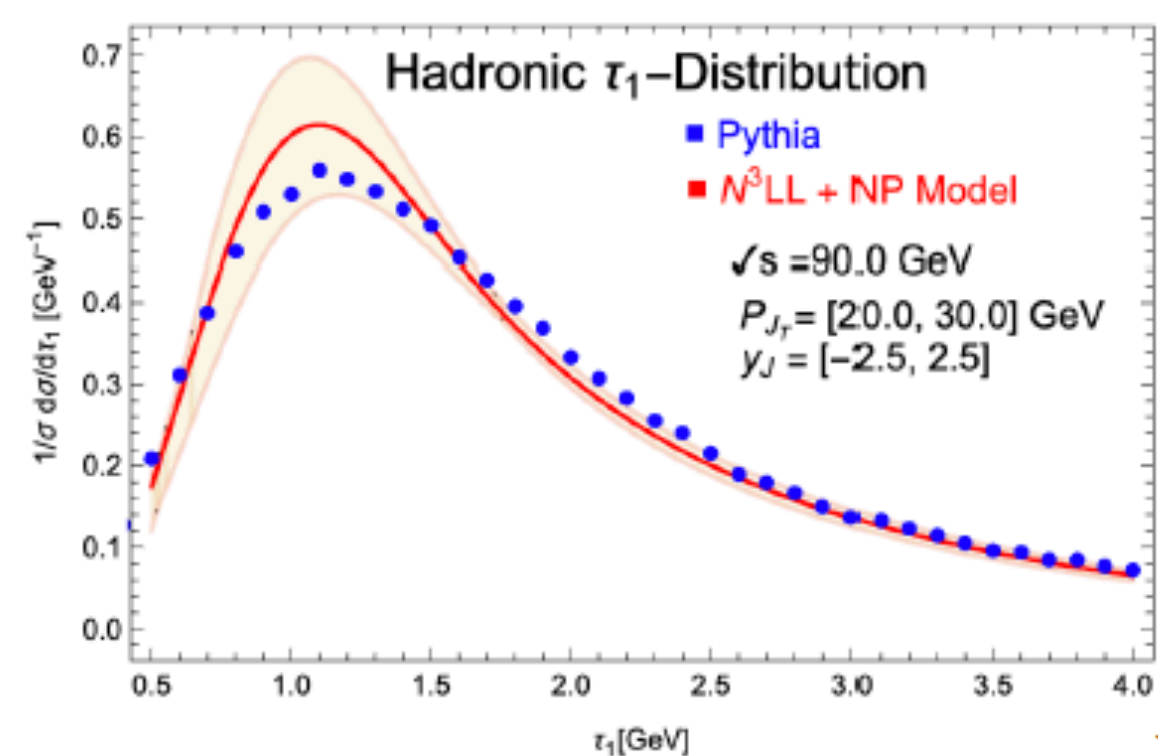
$$q_B = xP, \quad Q_B = x\sqrt{s}.$$

$$Q_J = 2K_{J_T} \cosh y_K, \quad q_J = (K_{J_T} \cosh y_K, \vec{K}_{J_T}, K_{J_T} \sinh y_K).$$

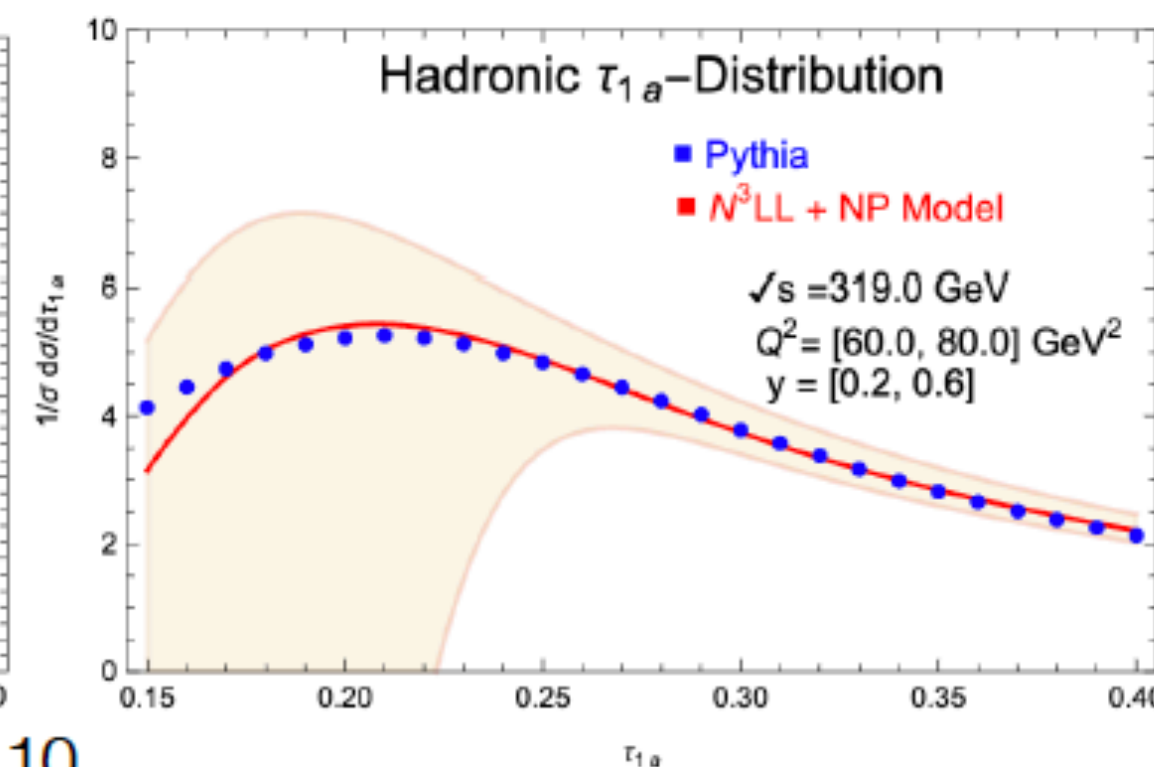
$$2) \quad \tau_{1a} = \sum_k \min \left\{ \frac{2q_B \cdot p_k}{Q^2}, \frac{2q_J \cdot p_k}{Q^2} \right\}.$$

- Requires use of jet algorithm

- The jet axis is chosen to be along the actual jet momentum found from jet algorithm
 $\rightarrow \mathbf{p}_\perp^2$ is not convoluted between jet and beam functions, so written in terms of the ordinary beam function



10



- Does not make use of universal Ω_1 in shape function
- Simpler profile functions
- No renormalon subtractions

Singular vs. non-singular

Region where resummation is important is thus a function of x :

Crossing point between singular and non-singular contributions is, empirically, about:

$$t_2 = \frac{1 - \log(x + x_c)}{10}$$

$$x_c = 0.0001234$$

