# Critical fluctuations in heavy-ion collisions

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Institute for Nuclear Theory, Seattle International Visitor Program & "Heavy Ion Physics in the EIC Era"

# Extreme QCD in heavy-ion collisions

Understanding the dynamics of the strong interaction under extreme conditions of temperature and density!

Important questions:

- Onset of deconfinement and chiral symmetry restoration?
- Properties of the strongly coupled QGP?
- Existence of a phase transition with critical end point?
- What are the dof in the core of compact stars?



Connect first-principle QCD calculations with experimental observables via a realistic dynamical modeling of heavy-ion collisions and astrophysical events!

## How to observe the critical point in HIC

- At a critical point, the correlation length ξ diverges and so do the fluctuations.
- Observable in higher-order cumulants of net-baryon number.

$$\begin{split} \chi_1 &= \frac{1}{VT^3} \langle N \rangle \,, \quad \chi_2 = \frac{1}{VT^3} \langle (\Delta N)^2 \rangle \,, \quad \chi_3 = \frac{1}{VT^3} \langle (\Delta N)^3 \rangle \,, \\ \chi_4 &= \frac{1}{VT^3} \langle (\Delta N)^4 \rangle_c \equiv \frac{1}{VT^3} \left( \langle (\Delta N)^4 \rangle - 3 \langle (\Delta N)^2 \rangle^2 \right) \,. \end{split}$$

• To Oth order in V fluctuations:

$$\frac{\chi_2}{\chi_1} = \frac{\sigma^2}{M} \qquad \qquad \frac{\chi_3}{\chi_2} = S\sigma \qquad \qquad \frac{\chi_4}{\chi_2} = \kappa\sigma^2$$
variance Skewness Kurtosis

• At a CP the  $\tau_{relax}$  diverges with  $\xi$  which leads to critical slowing down



Interesting deviations from the baseline in the experimental data... are they due to the critical point of QCD?

#### Need a dynamical model!

# Importance of dynamical modeling

In a grand-canonical ensemble the system is...

- in thermal equilibrium (= long-lived)
- in equilibrium with a particle heat bath
- spatially infinite
- and static

Systems created in a heavy-ion collision are

- short-lived
- spatially small
- inhomogeneous
- and highly dynamical!

**Solution:** Develop dynamical models to describe the phase transition in heavy-ion collisions

**Event-by-event dynamical modeling** allows us in addition to study different particle species, experimental cuts, hadronic final interactions, etc.



madai.us

# Fluctuations all along the way



- Initial state fluctuations due to quantum mechanical fluctuations and multiplicity fluctuations
- Thermal fluctuations, including the formation of critical fluctuations
- Fluctuations due to the hadronization process
- Fate of fluctuations in the hadronic phase
- Imperfect detection efficiency and finite acceptance

# Approaches to fluid dynamical fluctuations

There are two main approaches of describing fluid dynamics with noise:

Hydro-kinetics

- Set of deterministic kinetic equations for n-point functions of fluid dynamical fields
- Renormalization

   (perturbatively) performed
   during the derivation
- Statistical average performed in the derivation of deterministic equations

A.Andreev, Sov. Phys. JETP 32 no. 5 (1971) and 48 no. 3 (1978); Y. Akamatsu et al., PRC 95 no. 1 (2017) and 97 no. 2 (2018); M. Stephanov et al., PRD 98 (2018); M. Martinez et al., PRC 99 no. 5 (2019); X. An et al., PRC 100 no. 2 (2019), PRL 127 (2021); L. Du et al., PRC 102 (2020); K. Rajagopal, NPA 1005 (2021) Stochastic/fluctuating fluid dynamics

 Numerical implementation of the fluid dynamical equations with stochastic conservation law:

$$\begin{split} \partial_{\mu}T^{\mu\nu} &= 0, \quad T^{\mu\nu} = T^{\mu\nu}_{\rm ideal} + T^{\mu\nu}_{\rm viscous} + S^{\mu\nu}_{\rm noise} \,, \\ \partial_{\mu}J^{\mu} &= 0, \quad J^{\mu} = J^{\mu}_{\rm ideal} + J^{\mu}_{\rm viscous} + I^{\mu}_{\rm noise} \,. \end{split}$$

- Sample discretized noise event-by-event
- Observables are calculated from statistical averaging over events.
- Can easily be integrated in standard event generators of HIC!
- Many challenges....

# Fluctuating Dissipative Fluid Dynamics

The correlators of the thermal noise terms in the energy momentum tensor and the conserved currents :  $\Gamma_{\alpha}(A\mu\alpha A\nu\beta + A\mu\beta A\nu\alpha)$ 

$$\langle S^{\mu\nu}(x_1)S^{\alpha\beta}(x_2)\rangle = 2T \begin{bmatrix} \eta \left(\Delta^{\mu\alpha}\Delta^{\nu\beta} + \Delta^{\mu\beta}\Delta^{\nu\alpha}\right) \\ + \left(\zeta - \frac{2}{3}\eta\right)\Delta^{\mu\nu}\Delta^{\alpha\beta} \end{bmatrix} \delta^{(4)}(x_1 - x_2).$$
$$\langle I^{\mu}(x_1)I^{\nu}(x_2)\rangle = 2T\sigma\Delta^{\mu\nu}\delta^{(4)}(x_1 - x_2).$$

Several issues arise from the discretization of the Dirac delta function in the noise

- Stochastic noise introduces a lattice spacing dependence.
- Correction terms due to renormalization become large for small lattice spacings.
- Large noise contributions can locally lead to negative energy densities.
- Large gradients introduced by the uncorrelated noise is a problem for PDE solvers.

# Fluctuating Dissipative Fluid Dynamics

First implementations of FDFD have shown: need to limit the resolution scale; simulate noise down to a particular filter length scale, for which:  $l_{\rm grid} < l_{\rm filter} \lesssim l_{\rm noise} \ll l_{\rm hydro}$ 

**Murase** et al.: noise is smeared by Gaussians with widths of 1-1.5 fm (choice not discussed), large enhancement of flow observed. K. Murase et al, NPA 956 (2016);

**Nahrgang** et al.: noise is coarse-grained over distances of approx. 1fm, lattice spacing dependence of the energy density and its fluctuations observed.



**Singh** et al.: high-mode Fourier filter with a coarse graining scale of > 1fm, multiplicities and flow are little affected by the inclusion of fluctuations M. Singh se et al, NPA 982 (2019);

# Renormalizing critical dynamics in model A of Hohenberg-Halperin in 3 dimensions

Stochastic relaxation equation of chiral order parameter

$$rac{\partial^2 arphi}{\partial t^2} - 
abla^2 arphi + \eta rac{\partial arphi}{\partial t} + rac{\partial V_{ ext{eff}}}{\partial arphi} = \xi$$

Ginzburg-Landau effective potential,  $\epsilon$  encodes phase transition

$$V_{\rm eff}(\varphi) = \frac{1}{2}\epsilon\varphi^2 + \frac{1}{4}\lambda\varphi^4$$

White thermal noise

$$\langle \xi(\vec{x},t) \rangle = 0$$
 and  $\langle \xi(\vec{x},t)\xi(\vec{x}',t') \rangle = 2\eta T \,\delta(\vec{x}-\vec{x}')\delta(t-t')$ 

Counterterm for mass renormalization

$$V_{\rm CT} = \left\{ -\frac{3\lambda\Sigma}{4\pi} \frac{T}{dx} + \frac{3}{8} \left(\frac{\lambda T}{\pi}\right)^2 \left[ \ln\left(\frac{6}{Mdx}\right) + \zeta \right] \right\} \frac{\varphi^2}{2}$$

Farakos et al. 9412091, 9404201

Gleiser, Ramos 9311278

# Renormalizing critical dynamics in model A

Time evolution near a critical point of



Restoration of lattice spacing independence after including the counterterm

N. Attieh, M. Bluhm, N. Touroux, M. Kitazawa, T. Sami, MN, in preparation

# Renormalizing critical dynamics in model A

Time evolution near a critical point of



A nonzero kurtosis is observed after inclusion of the counterterm. Lattice spacing dependence or independence cannot be resolved within the available statistics.

#### Net-baryon diffusion: model B in 1+1 dimensions

- In the long-time, equilibrium limit the net-baryon density is the slowest mode near the CP.
- For baryonic matter that decouples from the energy flow of the system (model B of Hohenberg, Halperin), the diffusive dynamics follows the minimization of the free energy *F*

$$\partial_t n_B(t, x) = \kappa \nabla^2 \left( \frac{\delta \mathcal{F}[n_B]}{\delta n_B} \right) + \nabla \mathbf{J}(\mathbf{t}, \mathbf{x})$$
  
Int 
$$J(t, x) = \sqrt{2T\kappa} \zeta(t, x), \quad \kappa = \frac{Dn_c}{T}$$

with the stochastic current (Gaussian, white noise)

MN and M. Bluhm, PRD 99 (2019) and PRD 102 (2020)

- Apply the evolution in a 1+1 dimensional, boost-invariant Bjorken expansion Fluctuations in an expanding background, e.g. J. Kapusta et al, PRC 85 (2012); Y. Akamatsu et al, PRC 95 (2017), M. Martinez et al, PRC 99 (2019)
- The nonlinear stochastic diffusion equation transforms as:

$$\partial_{\tau} n_B = \frac{Dn_c}{\tau \chi_2(\tau)} \partial_y^2 n_B - \frac{Dn_c K(\tau)}{\tau} \partial_y^4 n_B + \frac{Dn_c}{6 \tau \chi_4(\tau)} \partial_y^2 n_B^3 - \partial_y \xi.$$

In Gauss limit: M. Sakaida et al PRC 95 (2017); nonlinear (only critical): M. Kitazawa, G.Pihan, N. Touroux, M. Bluhm, MN NPA 1005 (2021)

# Singular and regular susceptibilities

• Parametrize the susceptibilities  $\chi_2(\tau)$  and  $\chi_4(\tau)$  with a regular part using the argument in

M. Asakawa, U. Heinz, B. Müller, PRL 85 (2000)

$$\chi_n(\tau) = \frac{\langle \Delta N_B^n \rangle}{S} \Big|_{\text{QGP/HRG}} = \frac{\chi_B^n}{s/T^3} \Big|_{\text{QGP/HRG}}$$

With  $\chi_B^n$  and the entropy fixed to lattice results at T=280 MeV for the QGP and T=130 MeV for the HRG, matched via tanh function.

• Couple with the singular contribution (3D Ising) via

$$\chi_n(T) = \chi_n^{sing}(T) + \chi_n^{reg}(T)$$

 Match to the coefficients in the expansion of the free energy density functional.

$$\chi_n(\tau) = \tau \left( \left. \frac{\delta^n \mathcal{F}}{\delta n_B^n} \right|_{\Delta n_B = 0} \right)^{-1}$$



• Investigate several trajectories in the QCD phase diagram.



# Validating the linear model

Important step for all fluctuating codes:

validation of the appropriate linear model:

- Structure factor and equal-time correlation function are well reproduced
- Approach to continuum as resolution is increased.
- Lower wavenumbers well described with the maximal resolution chosen for this work.
- Enhancement of fluctuations with low wavenumbers at  $T_c = 150$  MeV.
- Discretization and baryon conservation effects under control.





only here

λ<sub>4</sub> = 0 !

# Anticorrelations as a signal for the critical point

$\frac{\chi_2}{\chi_1} = \frac{\sigma^2}{M}$	$\frac{\chi_3}{\chi_2} = \boldsymbol{S}\sigma$	$\frac{\chi_4}{\chi_2} = \kappa \sigma^2$
variance	Skewness	Kurtosis

Higher-order moments are more sensitive

- to the divergence of the correlation length
- and to any other noncritical aspect of HIC...

Here: dynamical fluctuations of net-baryon density

- Large fluctuations are balanced by large anti-correlations (net-baryon conservation)
- Due to the dynamics these anti-correlations cannot diffuse fast enough
- Approaching Tc they are visible at y  $\sim$  1-2
- At lower T the minimum becomes smaller and moves to larger y
- Possible detection depends crucially on T<sub>FO</sub>
- Interesting experimental data: (STAR AuAu, 30-40% most central, 0.4<pT<2GeV)</li>





Word of caution: not yet an apple-to-to apple comparison possible

# Non-monotonic kurtosis as a signal for the critical point

- Monotonic increase in the variance
- Non-monotonic Kurtosis only for the trajectories with critical point.
- This non-monotonic behavior of the kurtosis survives the rapid expansion for a diffusion length D = 1 fm
- strong indication for the presence of the critical point.
- For increasing D the minimum moves to larger distances in rapidity.
- Essential for the experiment to cover a wide range in rapidity to see the non-monotonicity.
- Interesting experimental data: (STAR AuAu, 0-5% most central, 0.4<pT<2GeV, lyl<ymax)



rapidity dependence of fluctuation observables



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apple comparison possible

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T. Sami, MN, PRC 107 (2023 G. Pihan, M. Bluhm, M. Kitazawa,

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#### Fate of critical fluctuations in the hadronic phase

- Calculate up to 4th order cumulants of critical fluctuations from an 3d Ising model mapping to QCD and couple it to HRG cumulants ( $g_c=2,4$ ).
- Reconstruct the particle distributions from the cumulants + maximum entropy constraint
- Assume simple geometry at particlization: uniform spatial distribution in a sphere (R=9fm) Momentum distribution  $f_{i,k} = e^{-u \cdot k_i/T}$





J. Hammelmann,, M. Bluhm, MN, H. Elfner, 2310.06636

# Fate of critical fluctuations in the hadronic phase

- Apply smash (<u>https://smash-transport.github.io</u>) to the final hadronic interactions of the initialized particles.
- Resonance decay and regeneration are the dominant processes during the hadronic expansion.



Particle	Mass $[\text{GeV}/\text{c}^2]$	Degenercy
$\pi$	0.138	3
ρ	0.776	6
K	0.494	4
$K^{\star}(892)$	0.892	8
N	0.938	8
$\Delta$	1.232	32
Λ	1.116	2
$\Sigma$	1.189	12



J. Hammelmann,, M. Bluhm, MN, H. Elfner, 2310.06636

#### Impact of resonance dynamics vs decay

• Compare the dynamical effect of the resonance decay and regeneration compared to only resonance decay:

$$\tilde{\kappa}_n = \frac{\kappa_n^{\rm dynamical}}{\kappa_n^{\rm decays}}$$

 Net-proton cumulants are strongly impacted by the hadron dynamics compared to the net-nucleons -> importance of isospin randomization processes.

M. Bluhm, MN, S. Bass, T. Schaefer, Eur.Phys.J.C 77 (2017); MN, M. Bluhm, P. Alba, R. Bellwied, C. Ratti Eur.Phys.J.C 75 (2015); M. Kitazawa, M. Asakawa, Phys.Rev.C 85 (2012)



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#### Final proton and nucleon cumulant ratios

- For g<sub>c</sub> = 2, no critical signal is seen in the net-proton variance and skewness, a very small signal in the kurtosis survives.
- For g<sub>c</sub> = 4, the net-proton variance shows critical features -> not compatible with experiment.
- The nucleon critical signal is significantly more pronounced than for protons only.
- Signal depends strongly on the rapidity acceptance and can even change sign in the kurtosis.



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# Workshop at Subatech, Nantes, October 28-31, 2024 <u>Hydrodynamics and related observables in heavy-ion</u> <u>collisions</u>

https://indico.in2p3.fr/event/32630/

#### Broad topics:

- hydrodynamic fluctuations
- finite baryon density
- spin-hydrodynamics
- anisotropic hydrodynamics
- stable first-order hydrodynamics
- jets and heavy-flavor dynamics
- rapid hydrodynamization
- numerical developments





# Conclusions

Treating the dynamics of fluctuations near the **Critical Point** is important for quantitative statements about its existence based on heavy-ion collision data!

- Net-baryon fluctuations are strongly impacted by the expansion dynamics.
- Anticorrelations of baryons can signal the CP.
- Non-monotonic dependence of the kurtosis on the rapidity window near the CP.
- Resonance decay and regeneration strongly affects the critical fluctuations.

Currently explored:

- Renormalizing (chiral) fluid dynamics with N. Attieh
- Implementing (renormalized) fluctuating fluid Dynamics with J. Sterba, I. Karpenko, B. Tomasik

# **APPENDIX**

#### Importance of fluctuations for transport coefficients

η **~**∫d<sup>3</sup>xdt <**T**<sup>ij</sup>(x,t)**T**<sup>ij</sup>(0,0)>

Included in fluid dynamics

NOT included in fluid dynamics

symmetrized correlator:

$$G_{S}^{xyxy}(\omega,\mathbf{0}) = \int \mathrm{d}^{3}x \mathrm{d}t \, e^{i(\omega t - \mathbf{k} \cdot \mathbf{x})} \left\langle \frac{1}{2} \{ T^{xy}(t,\mathbf{x}), T^{xy}(0,\mathbf{0}) \} \right\rangle$$

• for the shear-shear contribution  $\Rightarrow$ 

$$G_{R,\text{shear-shear}}^{xyxy}(\omega,\mathbf{0}) = -\frac{7T}{90\pi^2}\Lambda^3 - i\omega\frac{7T}{60\pi^2}\frac{\Lambda}{\gamma_{\eta}} + (i+1)\omega^{3/2}\frac{7T}{90\pi^2}\frac{1}{\gamma_{\eta}^{3/2}}$$
  
cutoff-dependent  
fluctuation contribution  
cutoff-dependent  
cutoff-dependent  
cutoff-dependent  
cutoff-dependent  
cutoff-dependent  
cutoff-dependent  
cutoff-dependent  
cutoff-dependent

to the pressure

correction to 1

nt  $\eta$  and  $au_{\pi}$ 

# Net-proton fluctuations near the critical point

- UrQMD initial conditions rescaled to the EoS of the effective model.
- From densities to particles via Cooper-Frye particlization.
- At particlization: densities of the sigma field coupled to the FD densities.



C. Herold, MN, Y. Yan and C. Kobdaj, PRC 93 (2016) no.2

- No non-monotonic behavior in pure mean-field equilibrium calculations.
- Clear signal for criticality in net-proton fluctuations at transition energy density!
- Overall decreasing trend probably due to net-baryon number conservation

# NchiFD + FRG >>>> QCD assisted transport

 Include effective potential beyond mean field, momentum dependent equilibrium sigma spectral function ⇒ linear response regime of QCD.

First-principle approach to QCD from the Functional Renormalization Group (FRG) Cyrol, Mitter, Pawlowski, Strodthoff PRD97 (2018)



F. Gao, J. Pawlowski, 2010.13705; T. Herbst et al, PLB731 (2014); T. Herbst PRD88 (2013); F. Rennecke, J. Pawlowski, N. Wink

- Excellent description of phase structure at vanishing chemical potential.
- Phase structure qualitatively similar to the conjectured QCD phase diagram.
- Obtain spectral functions from analytical continuation.



# NchiFD + FRG >>>> QCD assisted transport

M. Bluhm et al., NPA982 (2019)

Transport equation:  $\frac{\delta\Gamma}{\delta\sigma} = \xi$ , where  $\{\Re\Gamma_{\sigma}^{(2)}(\omega, \vec{p}), \Im\Gamma_{\sigma}^{(2)}(\omega, \vec{p}), U\} \in \Gamma$ 



- Critical end point and the phase structure are clearly identifiable.
- Critical slowing down in the vicinity of the critical point, but no dramatic enhancement of  $\tau_{relax}$  in a dynamic setup!

### Diffusive dynamics of net-baryon density

- In the long-time, equilibrium limit the net-baryon density is the slowest mode near the CP.
- For baryonic matter that decouples from the energy flow of the system (model B of Hohenberg, Halperin), the diffusive dynamics follows the minimization of the free energy *F*

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int 
$$J(t, x) = \sqrt{2T\kappa} \zeta(t, x), \quad \kappa = \frac{Dn_c}{T}$$

with the stochastic current (Gaussian, white noise)

MN and M. Bluhm, PRD 99 (2019) and PRD 102 (2020)

First: static box with fixed temperature

#### Critical energy density from 3d Ising Model

**Ginzburg-Landau** 

$$\mathcal{F}[n_B] = T \int d^3 \left( \left( \frac{m^2}{2n_c^2} \Delta n_B^2 + \frac{K}{2n_c^2} (\nabla \Delta n_B)^2 \right) + \frac{\lambda_3}{3n_c^3} \Delta n_B^3 + \frac{\lambda_4}{4n_c^4} \Delta n_B^4 + \frac{\lambda_6}{6n_c^6} \Delta n_B^6 \right)$$
  
The couplings depend on temperature via the correlation length  $\xi(T)$ :

$$m^{2} = 1/(\xi_{0}\xi^{2})$$
  

$$K = \tilde{K}/\xi_{0}$$
  

$$\lambda_{3} = n_{c} \tilde{\lambda}_{3} (\xi/\xi_{0})^{-3/2}$$
  

$$\lambda_{4} = n_{c} \tilde{\lambda}_{4} (\xi/\xi_{0})^{-1}$$
  

$$\lambda_{6} = n_{c} \tilde{\lambda}_{6}$$
  
Gauss + surface  

$$K = \xi/\xi_{0}$$

M. Tsypin PRL 73 (1994); PRB 55 (1997)

parameter choice:  $\Delta n_B = n_B - n_c$   $\xi_0 \sim 0.5 \text{ fm}, T_c = 0.15 \text{ GeV}, n_c = 1/3 \text{ fm}^{-3}$   $K = 1/\xi_0 \text{ (surface tension)}$  $\tilde{\lambda}_3, \tilde{\lambda}_4, \tilde{\lambda}_6 \text{ (universal, but mapping to QCD)}$ 



# Studied in a static and cooling box of QGP

Validated in the equilibrium limit for the Gauss + surface model:

- Structure factor and equal-time correlation function are well reproduced
- Approaches continuum as resolution is increased
- Baryon conservation effects under control

Important step for all fluctuating codes!



### Scaling of equilibrium cumulants

- Expected scaling in an infinite system  $(\boldsymbol{\xi} \ll \boldsymbol{V})$ : M. Stephanov PRL 102 (2009)  $\sigma_V^2 \propto \xi^2$ ,  $(S\sigma)_V \propto \xi^{2.5}$ ,  $(\kappa\sigma^2)_V \propto \xi^5$
- Here, a finite system with exact baryon conservation (ξ ≤ V)! Can be systematically studied in ξ/V ⇒ affects equilibrium scaling!
- E.g. for the skewness terms  $\propto \lambda_3 \lambda_4$ and  $\propto \lambda_3 \lambda_6$  contribute with opposite sign.

$$\sigma_V^2 \propto \xi^{1.3\pm0.05}$$
  
 $(S\sigma)_V \propto -\#\xi^{1.47\pm0.05} + \#\xi^{2.4\pm0.05}$   
 $(\kappa\sigma^2)_V \propto \xi^{2.5\pm0.1}$ 



## Dynamical critical scaling

- Dynamical structure factor for Gaussian model in continuum:  $S(k,t) = S(k) \exp(-t/\tau_k)$  with  $\tau_k^{-1} = \frac{Dm^2}{n_c} \left(1 + \frac{K}{m^2}k^2\right)k^2$
- Analyze  $\xi$ -dependence of relaxation time for modes with  $k^* = 1/\xi$ :



# Time evolution of critical fluctuations





- shift of extrema for variance/kurtosis (retardation effects) to later times corresponding to T(τ) < T<sub>c</sub>
- |extremal values| in dyn simulations < equilibrium values (nonequilibrium effects):

 $(\sigma_V^2)_{
m dyn}^{
m max} \approx 0.75 \, (\sigma_V^2)_{
m eq}^{
m max}$  $((\kappa\sigma^2)_V)_{
m dyn}^{
m min} \approx 0.5 \, (\kappa\sigma_V^2)_{
m eq}^{
m min}$ 

• expected behavior with varying D and  $c_s^2$  (expansion rate)

## The role of numerics and computational resources

Time for two heavy nuclei to collide and produce particles:

~ 10<sup>-23</sup> seconds

Time for a simulation of two colliding heavy nuclei and particle production:

~ 1 hour

Example: even with a Gaussian Process Emulator the Bayesian analysis of the temperature dependence of bulk and shear viscosity costs 100 Mio CPU hours.

This assumes O(10<sup>4</sup>) events per point, fluctuation studies easily require O(10<sup>8</sup>) events per point...

